



मध्यप्रदेश राजपत्र

प्राधिकार से प्रकाशित

क्रमांक 51]

भोपाल, शुक्रवार, दिनांक 18 दिसम्बर 2015—अग्रहायण 27, शक 1937

भाग ४

विषय-सूची

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| (क) (1) मध्यप्रदेश विधेयक, | (2) प्रवर समिति के प्रतिवेदन, | (3) संसद में पुरःस्थापित विधेयक. |
| (ख) (1) अध्यादेश, | (2) मध्यप्रदेश अधिनियम, | (3) संसद के अधिनियम. |
| (ग) (1) प्रारूप नियम, | (2) अन्तिम नियम. | |

भाग ४ (क)—कुछ नहीं

भाग ४ (ख)

अध्यादेश

विधि और विधायी कार्य विभाग

Bhopal, the 11th December 2015

No. 8942-351-XXI-A(Dr.).—The following Ordinance promulgated by the President of India published in the Gazette of India Extra-ordinary, Part II, Section I, dated the 23rd October, 2015 is hereby republished for general information.

By order and in the name of the Governor of Madhya Pradesh,
RAJESH YADAV, Addl. Secy.

THE ARBITRATION AND CONCILIATION (AMENDMENT) ORDINANCE, 2015

No. 9 of 2015

Promulgated by the President in the Sixty-sixth Year of the Republic of India.

An Ordinance to amend the Arbitration and Conciliation Act, 1996.

WHEREAS, Parliament is not in session and the President is satisfied that circumstances exist which render it necessary for him to take immediate action;

Now, THEREFORE, in exercise of the powers conferred by clause (1) of Article 123 of the Constitution, the President is pleased to promulgate the following Ordinance:—

1. (1) This Ordinance may be called the Arbitration and Conciliation (Amendment) Ordinance, 2015

Sort title and
commencement.

(2) It shall come into force at once.

Amendment of
section 2.

2. In the Arbitration and Conciliation Act, 1996 26 of 1996.
(hereinafter referred to as the principal Act), in section 2,—

(I) in sub-section (1)—

(A) for clause (e), the following clause shall be
substituted, namely:—

‘(e) “Court” means—

(i) in the case of an arbitration other than international commercial arbitration, the principal Civil Court of original jurisdiction in a district, and includes the High Court in exercise of its ordinary original civil jurisdiction, having jurisdiction to decide the questions forming the subject-matter of the arbitration if the same had been the subject-matter of a suit, but does not include any civil court of a grade inferior to such principal Civil Court, or any Court of Small Causes;

(ii) in the case of international commercial arbitration, the High Court in exercise of its ordinary original civil jurisdiction, having jurisdiction to decide the questions forming the subject-matter of the arbitration if the same had been the subject-matter of a suit, and in other cases, a High Court having jurisdiction to hear appeals from decrees of courts subordinate to that High Court;’;

(B) in clause (f), in sub-clause (iii), the words
“a company or” shall be omitted;

(II) in sub-section (2), the following proviso shall be
inserted, namely:—

“Provided that subject to an agreement to the contrary, the provisions of sections 9, 27, and clause (a) of sub-section (1) and sub-section (3) of section 37 shall also apply to international commercial arbitration, even if the place of arbitration is outside India, and an arbitral award made or to be made in such place is enforceable and recognised under the provisions of Part II of this Ordinance.”.

Amendment of
section 7.

3. In section 7 of the principal Act, in sub-section (4), in clause (b), after the words “or other means of telecommunication”, the words “including communication through electronic means” shall be inserted.

4. In section 8 of the principal Act,—

Amendment of section 8.

(i) for sub-section (1), the following sub-section shall be substituted, namely:—

“(1) A judicial authority, before which an action is brought in a matter which is the subject of an arbitration agreement shall, if a party to the arbitration agreement or any person claiming through or under him, so applies not later than the date of submitting his first statement on the substance of the dispute, then, notwithstanding any judgment, decree or order of the Supreme Court or any Court, refer the parties to arbitration unless it finds that *prima facie* no valid arbitration agreement exists.”;

(ii) in sub-section (2), the following proviso shall be inserted, namely:—

“Provided that where the original arbitration agreement or a certified copy thereof is not available with the party applying for reference to arbitration under sub-section (1), and the said agreement or certified copy is retained by the other party to that agreement, then, the party so applying shall file such application along with a copy of the arbitration agreement and a petition praying the Court to call upon the other party to produce the original arbitration agreement or its duly certified copy before that Court.”.

5. Section 9 of the principal Act shall be re-numbered as sub-section (1) thereof, and after sub-section (1) as so re-numbered, the following sub-sections shall be inserted, namely:—

Amendment of section 9.

“(2) Where, before the commencement of the arbitral proceedings, a Court passes an order for any interim measure of protection under sub-section (1), the arbitral proceedings shall be commenced within a period of ninety days from the date of such order or within such further time as the Court may determine.

(3) Once the arbitral tribunal has been constituted, the Court shall not entertain an application under sub-section (1), unless the Court finds that circumstances exist which

may not render the remedy provided under section 17 efficacious.”.

Amendment of
section 11.

6. In section 11 of the principal Act,—

(i) in sub-sections (4), (5) and (6), for the words “the Chief Justice or any person or institution designated by him” wherever they occur, the words “the Supreme Court or, as the case may be, the High Court or any person or institution designated by such Court” shall be substituted;

(ii) after sub-section (6), the following sub-sections shall be inserted, namely:—

“(6A) The Supreme Court or, as the case may be, the High Court, while considering any application under sub-section (4) or sub-section (5) or sub-section (6), shall, notwithstanding any judgment, decree or order of any court, confine to the examination of the existence of an arbitration agreement.

(6B) The designation of any person or institution by the Supreme Court or, as the case may be, the High Court, for the purposes of this section shall not be regarded as a delegation of judicial power by the Supreme Court or the High Court.”;

(iii) in sub-section (7), for the words “the Chief Justice or the person or institution designated by him is final”, the words “the Supreme Court or, as the case may be, the High Court or the person or institution designated by such Court is final and no appeal including Letters Patent Appeal shall lie against such decision” shall be substituted;

(iv) for sub-section (8), the following sub-section shall be substituted, namely:—

“(8) The Supreme Court or, as the case may be, the High Court or the person or institution designated by such Court, before appointing an arbitrator, shall seek a disclosure in writing from the prospective arbitrator in terms of sub-section (1) of section 12, and have due regard to—

(a) any qualifications required for the arbitrator by the agreement of the parties; and

(b) the contents of the disclosure and other considerations as are likely to secure the appointment of

an independent and impartial arbitrator.”;

(v) in sub-section (9), for the words “the Chief Justice of India or the person or institution designated by him”, the words “the Supreme Court or the person or institution designated by that Court” shall be substituted;

(vi) for sub-section (10), the following sub-section shall be substituted, namely:—

“(10) The Supreme Court or, as the case may be, the High Court, may make such scheme as the said Court may deem appropriate for dealing with matters entrusted by sub-section (4) or sub-section (5) or sub-section (6), to it.”;

(vii) in sub-section (11), for the words “the Chief Justices of different High Courts or their designates, the Chief Justice or his designate to whom the request has been first made”, the words “different High Courts or their designates, the High Court or its designate to whom the request has been first made” shall be substituted;

(viii) for sub-section (12), the following sub-section shall be substituted, namely:—

“(12) (a) Where the matters referred to in sub-sections (4), (5), (6), (7), (8) and sub-section (10) arise in an international commercial arbitration, the reference to the “Supreme Court or, as the case may be, the High Court” in those sub-sections shall be construed as a reference to the “Supreme Court”; and

(b) where the matters referred to in sub-sections (4), (5), (6), (7), (8) and sub-section (10) arise in any other arbitration, the reference to “the Supreme Court or, as the case may be, the High Court” in those sub-sections shall be construed as a reference to the “High Court” within whose local limits the principal Civil Court referred to in clause (e) of sub-section (1) of section 2 is situate, and where the High Court itself is the Court referred to in that clause, to that High Court.”;

(ix) after sub-section (12), the following sub-sections shall be inserted, namely:—

“(13) An application made under this section for appointment of an arbitrator or arbitrators shall be disposed of by the Supreme Court or the High Court or the person or institution designated by such Court, as the case may be, as expeditiously as possible and an endeavor shall be made to dispose of the matter within a period of sixty days from the date of service of notice on the opposite party.

(14) For the purpose of determination of the fees of the arbitral tribunal and the manner of its payment to the arbitral tribunal, the High Court may frame such rules as may be

necessary, after taking into consideration the rates specified in the Fourth Schedule.

Explanation.—For the removal of doubts, it is hereby clarified that this sub-section shall not apply to international commercial arbitration and in arbitrations (other than international commercial arbitration) in case where parties have agreed for determination of fees as per the rules of an arbitral institution.”

Insertion of
new section
11A.

Power of
Central
Government to
amend Fourth
Schedule.

7. After section 11 of the principal Act, the following new section shall be inserted, namely :—

“11A.(1) If the Central Government is satisfied that it is necessary or expedient so to do, it may, by notification in the Official Gazette, amend the Fourth Schedule and thereupon the Fourth Schedule shall be deemed to have been amended accordingly.

(2) A copy of every notification proposed to be issued under sub-section (1), shall be laid in draft before each House of Parliament, while it is in session, for a total period of thirty days which may be comprised in one session or in two or more successive sessions, and if, before the expiry of the session immediately following the session or the successive sessions aforesaid, both Houses agree in disapproving the issue of the notification or both Houses agree in making any modification in the notification, the notification shall not be issued or as the case may be, shall be issued only in such modified form as may be agreed upon by both the Houses of Parliament.”

Amendment of
section 12.

8. In section 12 of the principal Act,—

(i) for sub-section (1), the following sub-section shall be substituted, namely:—

“(1) When a person is approached in connection with his possible appointment as an arbitrator, he shall disclose in writing any circumstances,—

(a) such as the existence either direct or indirect, of any past or present relationship with or interest in any of the parties or in relation to the subject matter in dispute, whether financial, business, professional or other kind, which is likely to give rise to justifiable doubts as to his independence or impartiality; and

(b) which are likely to affect his ability to devote sufficient time to the arbitration and in particular his ability to complete the entire arbitration within a period of twelve months.

Explanation 1.— The grounds stated in the Fifth Schedule shall guide in determining whether circumstances exist which give rise to justifiable doubts as to the independence or impartiality of an arbitrator.

Explanation 2.— The disclosure shall be made by such person in the form specified in the Sixth Schedule.”;

(ii) after sub-section (4), the following sub-section shall be inserted, namely:—

“(5) Notwithstanding any prior agreement to the contrary, any person whose relationship, with the parties or counsel or the subject matter of the dispute, falls under any of the categories specified in the Seventh Schedule shall be ineligible to be appointed as an arbitrator:

Provided that parties may, subsequent to disputes having arisen between them, waive the applicability of this sub-section by an express agreement in writing:

Provided further that this sub-section shall not apply to cases where an arbitrator has already been appointed on or before the commencement of the Arbitration and Conciliation (Amendment) Ordinance, 2015.”.

9. In section 14 of the principal Act, in sub-section (1), in the opening portion, for the words “The mandate of an arbitrator shall terminate if”, the words “The mandate of an arbitrator shall terminate and he shall be substituted by another arbitrator, if” shall be substituted.

Amendment of section 14.

10. For section 17 of the principal Act, the following section shall be substituted, namely:—

Substitution of new section for section 17..

“17.(1) A party may, during the arbitral proceedings or at any time after the making of the arbitral award but before it is enforced in accordance with section 36, apply to the arbitral tribunal—

Interim measures ordered by arbitral tribunal.

(i) for the appointment of a guardian for a minor or person of unsound mind for the purposes of arbitral proceedings; or

(ii) for an interim measure of protection in respect of any of the following matters, namely:—

(a) the preservation, interim custody or sale of any goods which are the subject matter of the arbitration agreement;

(b) securing the amount in dispute in the arbitration;

(c) the detention, preservation or inspection of any property or thing which is the subject-matter of the dispute in arbitration, or as to which any question may arise therein and authorising for any of the aforesaid purposes any person to enter upon any land or building in

the possession of any party, or authorising any samples to be taken, or any observation to be made, or experiment to be tried, which may be necessary or expedient for the purpose of obtaining full information or evidence;

(d) interim injunction or the appointment of a receiver;

(e) such other interim measure of protection as may appear to the arbitral tribunal to be just and convenient,

and the arbitral tribunal shall have the same power for making orders, as the court has for the purpose of, and in relation to, any proceedings before it.

(2) Subject to any orders passed in an appeal under section 37, any order issued by the arbitral tribunal under this section shall be deemed to be an order of the Court for all purposes and shall be enforceable under the Code of Civil Procedure, 1908, in the same manner as if it were an order of the Court.”

5 of 1908.

Amendment of
section 23.

11. In section 23 of the principal Act, after sub-section (2), the following sub-section shall be inserted, namely:—

“(2A) The respondent, in support of his case, may also submit a counter claim or plead a set-off, which shall be adjudicated upon by the arbitral tribunal, if such counterclaim or set-off falls within the scope of the arbitration agreement.”

Amendment of
section 24.

12. In section 24 of the principal Act, after the proviso to sub-section (1), the following proviso shall be inserted, namely:—

“Provided further that the arbitral tribunal shall, as far as possible, hold oral hearings for the presentation of evidence or for oral argument on day-to-day basis, and not grant any adjournments unless sufficient cause is made out, and may impose costs including exemplary costs on the party seeking adjournment without any sufficient cause.”

Amendment of
section 25.

13. In section 25 of the principal Act, in clause (b), at the end, after the words “allegations by the claimant”, the words “and shall have the discretion to treat the right of the respondent to file such statement of defence as having been forfeited” shall be inserted.

Amendment of
section 28.

14. In section 28 of the principal Act, for sub-section (3), the following sub-section shall be substituted, namely:—

“(3) While deciding and making an award, the arbitral tribunal shall, in all cases, take into account the terms of the

contract and trade usages applicable to the transaction.”.

15. After section 29 of the principal Act, the following new sections shall be inserted, namely:—

Insertion of new sections 29A and 29B.

“29A. (1) The award shall be made within a period of twelve months from the date the arbitral tribunal enters upon the reference.

Time limit for arbitral award.

Explanation.—For the purpose of this sub-section, an arbitral tribunal shall be deemed to have entered upon the reference on the date on which the arbitrator or all the arbitrators, as the case may be, have received notice, in writing, of their appointment.

(2) If the award is made within a period of six months from the date the arbitral tribunal enters upon the reference, the arbitral tribunal shall be entitled to receive such amount of additional fees as the parties may agree.

(3) The parties may, by consent, extend the period specified in sub-section (1) for making award for a further period not exceeding six months.

(4) If the award is not made within the period specified in sub-section (1) or the extended period specified under sub-section (3), the mandate of the arbitrator(s) shall terminate unless the Court has, either prior to or after the expiry of the period so specified, extended the period:

Provided that while extending the period under this sub-section, if the Court finds that the proceedings have been delayed for the reasons attributable to the arbitral tribunal, then, it may order reduction of fees of arbitrator(s) by not exceeding five per cent. for each month of such delay.

(5) The extension of period referred to in sub-section (4) may be on the application of any of the parties and may be granted only for sufficient cause and on such terms and conditions as may be imposed by the Court.

(6) While extending the period referred to in sub-section (4), it shall be open to the Court to substitute one or all of the arbitrators and if one or all of the arbitrators are substituted, the arbitral proceedings shall continue from the stage already reached and on the basis of the evidence and material already on record, and the arbitrator(s) appointed under this section shall be deemed to have received the said evidence and material.

(7) In the event of arbitrator(s) being appointed under this section, the arbitral tribunal thus reconstituted shall be deemed to be in continuation of the previously appointed arbitral tribunal.

(8) It shall be open to the Court to impose actual or exemplary costs upon any of the parties under this section.

(9) An application filed under sub-section (5) shall be disposed of by the Court as expeditiously as possible and endeavour shall be made to dispose of the matter within a period of sixty days from the date of service of notice on the opposite party.

Fast track
procedure.

29B. (1) Notwithstanding anything contained in this Act, the parties to an arbitration agreement, may, at any stage either before or at the time of appointment of the arbitral tribunal, agree in writing to have their dispute resolved by fast track procedure specified in sub-section (3).

(2) The parties to the arbitration agreement, while agreeing for resolution of dispute by fast track procedure, may agree that the arbitral tribunal shall consist of a sole arbitrator who shall be chosen by the parties.

(3) The arbitral tribunal shall follow the following procedure while conducting arbitration proceedings under sub-section (1):

(a) The arbitral tribunal shall decide the dispute on the basis of written pleadings, documents and submissions filed by the parties without any oral hearing;

(b) The arbitral tribunal shall have power to call for any further information or clarification from the parties in addition to the pleadings and documents filed by them;

(c) An oral hearing may be held only, if, all the parties make a request or if the arbitral tribunal considers it necessary to have oral hearing for clarifying certain issues;

(d) The arbitral tribunal may dispense with any technical formalities, if an oral hearing is held, and adopt such procedure as deemed appropriate for expeditious disposal of the case.

(4) The award under this section shall be made within a period of six months from the date the arbitral tribunal enters upon the reference.

(5) If the award is not made within the period specified in sub-section (4), the provisions of sub-sections (3) to (9) of section 29A shall apply to the proceedings.

(6) The fees payable to the arbitrator and the manner of payment of the fees shall be such as may be agreed between the arbitrator and the parties.”.

Amendment of
section 31.

16. In section 31 of the principal Act,—

(i) in sub-section (7), for clause (b), the following shall be substituted, namely:—

“(b) A sum directed to be paid by an arbitral award shall, unless the award otherwise directs, carry interest at the rate of two per cent. higher than the current rate of interest prevalent on the date of award, from the date of award to the date of payment.

14 of 1978.

Explanation.—The expression “current rate of interest” shall have the same meaning as assigned to it under clause (b) of section 2 of the Interest Act, 1978.”;

(ii) for sub-section (8), the following sub-section shall be substituted, namely:—

“(8) The costs of an arbitration shall be fixed by the arbitral tribunal in accordance with section 31A.”.

17. After section 31 of the principal Act, the following new section shall be inserted, namely:—

Insertion of new section
31A.

5 of 1908.

“31A.(1) In relation to any arbitration proceeding or a proceeding under any of the provisions of this Act pertaining to the arbitration, the Court or arbitral tribunal, notwithstanding anything contained in the Code of Civil Procedure, 1908, shall have the discretion to determine—

Regime for costs.

- (a) whether costs are payable by one party to another;
- (b) the amount of such costs; and
- (c) when such costs are to be paid.

Explanation.—For the purpose of this sub-section, “costs” means reasonable costs relating to—

- (i) the fees and expenses of the arbitrators, Courts and witnesses;
- (ii) legal fees and expenses;
- (iii) any administration fees of the institution

supervising the arbitration; and

(iv) any other expenses incurred in connection with the arbitral or Court proceedings and the arbitral award.

(2) If the Court or arbitral tribunal decides to make an order as to payment of costs,—

(a) the general rule is that the unsuccessful party will be ordered to pay the costs of the successful party; or

(b) the Court or arbitral tribunal may make a different order for reasons to be recorded in writing.

(3) In determining the costs, the Court or arbitral tribunal shall have regard to all the circumstances, including—

(a) the conduct of all the parties;

(b) whether a party has succeeded partly in the case;

(c) whether the party had made a frivolous counter claim leading to delay in the disposal of the arbitral proceedings; and

(d) whether any reasonable offer to settle the dispute is made by a party and refused by the other party.

(4) The Court or arbitral tribunal may make any order under this section including the order that a party shall pay—

(a) a proportion of another party's costs;

(b) a stated amount in respect of another party's costs;

(c) costs from or until a certain date only;

(d) costs incurred before proceedings have begun;

(e) costs relating to particular steps taken in the proceedings;

(f) costs relating only to a distinct part of the proceedings; and

(g) interest on costs from or until a certain date.

(5) An agreement which has the effect that a party is to pay the whole or part of the costs of the arbitration in any event shall be only valid if such agreement is made after the dispute in question has arisen.”

Amendment of
section 34.

18. In section 34 of the principal Act,—

(1) in sub-section (2), in clause (b), for the *Explanation*, the following *Explanations* shall be substituted, namely:—

“*Explanation 1.*—For the avoidance of any doubt, it is clarified that an award is in conflict with the public policy of

India, only if,—

(i) the making of the award was induced or affected by fraud or corruption or was in violation of section 75 or section 81; or

(ii) it is in contravention with the fundamental policy of Indian law; or

(iii) it is in conflict with the most basic notions of morality or justice.

Explanation 2.—For the avoidance of doubt, the test as to whether there is a contravention with the fundamental policy of Indian law shall not entail a review on the merits of the dispute.”;

(II) after sub-section (2), the following sub-section shall be inserted, namely:—

“(2A) An arbitral award arising out of arbitrations other than international commercial arbitrations, may also be set aside by the Court, if the Court finds that the award is vitiated by patent illegality appearing on the face of the award:

Provided that an award shall not be set aside merely on the ground of an erroneous application of the law or by re-appreciation of evidence.”;

(III) after sub-section (4), the following sub-sections shall be inserted, namely:—

“(5) An application under this section shall be filed by a party only after issuing a prior notice to the other party and such application shall be accompanied by an affidavit by the applicant endorsing compliance with the said requirement.

(6) An application under this section shall be disposed of expeditiously, and in any event, within a period of one year from the date on which the notice referred to in sub-section (5) is served upon the other party.”.

19. For section 36 of the principal Act, the following section shall be substituted, namely:—

Substitution of new section for section 36.

Enforcement.

“36.(1) Where the time for making an application to set aside the arbitral award under section 34 has expired, then, subject to the provisions of sub-section (2), such award shall be enforced in accordance with the provisions of the Code of Civil Procedure, 1908, in the same manner as if it were a decree of the court.

5 of 1908.

(2) Where an application to set aside the arbitral award has been filed in the Court under section 34, the filing of such an application shall not by itself render that award unenforceable, unless the Court grants an order of stay of the operation of the said arbitral award in accordance with the provisions of sub-section (3), on a separate application made for that purpose.

(3) Upon filing of an application under sub-section (2) for stay of the operation of the arbitral award, the Court may, subject to such conditions as it may deem fit, grant stay of the operation of such award for reasons to be recorded in writing:

Provided that the Court shall, while considering the application for grant of stay in the case of an arbitral award for payment of money, have due regard to the provisions for grant of stay of a money decree under the provisions of the Code of Civil Procedure, 1908.”

5 of 1908.

Amendment of section 37.

20. In section 37 of the principal Act, in sub-section (1), for clauses (a) and (b), the following clauses shall be substituted, namely:—

“(a) refusing to refer the parties to arbitration under section 8;

(b) granting or refusing to grant any measure under section 9;

(c) setting aside or refusing to set aside an arbitral award under section 34.”

Amendment of section 47.

21. In section 47 of the principal Act, for the *Explanation*, the following *Explanation* shall be substituted, namely:—

‘*Explanation*.—In this section and in the sections following in this Chapter, “Court” means the High Court having original jurisdiction to decide the questions forming the subject-matter of the arbitral award if the same had been the subject matter of a suit on its original civil jurisdiction and in other cases, in the High Court having jurisdiction to

hear appeals from decrees of courts subordinate to such High Court.’.

22. In section 48 of the principal Act, for the *Explanation* to sub-section (2), the following *Explanations* shall be substituted, namely:—

Amendment of section 48.

“*Explanation 1.*—For the avoidance of any doubt, it is clarified that an award is in conflict with the public policy of India, only if,—

(i) the making of the award was induced or affected by fraud or corruption or was in violation of section 75 or section 81; or

(ii) it is in contravention with the fundamental policy of Indian law; or

(iii) it is in conflict with the most basic notions of morality or justice.

Explanation 2.—For the avoidance of doubt, the test as to whether there is a contravention with the fundamental policy of Indian law shall not entail a review on the merits of the dispute.”.

23. In section 56 of the principal Act, the *Explanation* shall be renumbered as *Explanation 1* thereof, and after the *Explanation 1* as so renumbered, the following *Explanation* shall be inserted, namely:—

Amendment of section 56.

‘*Explanation 2.*—In this section and in the sections following in this Chapter, “Court” means the High Court having original jurisdiction to decide the questions forming the subject-matter of the arbitral award if the same had been the subject matter of a suit on its original civil jurisdiction and in other cases, in the High Court having jurisdiction to hear appeals from decrees of courts subordinate to such High Court.’.

24. In section 57 of the principal Act, in sub-section (1), for the *Explanation*, the following *Explanations* shall be substituted, namely:—

Amendment of section 57.

“*Explanation 1.*— For the avoidance of any doubt, it is clarified that an award is in conflict with the public policy of India, only if,—

(i) the making of the award was induced or affected by fraud or corruption or was in violation of section 75 or

section 81; or

(ii) it is in contravention with the fundamental policy of Indian law; or

(iii) it is in conflict with the most basic notions of morality or justice.

Explanation 2.— For the avoidance of doubt, the test as to whether there is a contravention with the fundamental policy of Indian law shall not entail a review on the merits of the dispute.”

Insertion of
new Fourth
Schedule, Fifth
Schedule, Sixth
Schedule and
Seventh
Schedule.

25. After the Third Schedule to the principal Act, the following new Schedules shall be inserted, namely:—

‘THE FOURTH SCHEDULE

[See section 11 (14)]

Sum in dispute		Model fee
Upto	Rs.	Rs. 45,000/-
5,00,000/-		
Above Rs. 5,00,000/-		Rs. 45,000/- plus 3.5 per cent.
and upto Rs. 20,00,000/-		of the claim amount over and
		above Rs. 5,00,000/-
Above	Rs.	Rs. 97,500/- plus 3 per cent.
20,00,000/-	and	of the claim amount over and
upto	Rs.	above Rs. 20,00,000/-
1,00,00,000/-		
Above	Rs.	Rs. 3,37,500/- plus 1 per cent.
1,00,00,000/-	and	of the claim amount over and
upto	Rs.	above Rs. 1,00,00,000/-
10,00,00,000/-		
Above	Rs.	Rs. 12,37,500/- plus 0.75 per cent
10,00,00,000/-	and	of the claim amount over
upto	Rs.	and above Rs. 1,00,00,000/-
20,00,00,000/-		
Above	Rs.	Rs. 19,87,500/- plus 0.5 per cent
20,00,00,000/-		of the claim amount over
		and above Rs. 20,00,00,000/-
		with a ceiling of Rs. 30,00,000/-

Note:— In the event, the arbitral tribunal is a sole arbitrator, he shall be entitled to an additional amount of twenty-five per cent. on the fee payable as per the table set out above.

THE FIFTH SCHEDULE

[See section 12 (1)(b)]

The following grounds give rise to justifiable doubts as to the independence or impartiality of arbitrators :

Arbitrator's relationship with the parties or counsel

1. The arbitrator is an employee, consultant, advisor or has any other past or present business relationship with a party.
2. The arbitrator currently represents or advises one of the parties or an affiliate of one of the parties.
3. The arbitrator currently represents the lawyer or law firm acting as counsel for one of the parties.
4. The arbitrator is a lawyer in the same law firm which is representing one of the parties.
5. The arbitrator is a manager, director or part of the management, or has a similar controlling influence, in an affiliate of one of the parties if the affiliate is directly involved in the matters in dispute in the arbitration.
6. The arbitrator's law firm had a previous but terminated involvement in the case without the arbitrator being involved himself or herself.
7. The arbitrator's law firm currently has a significant commercial relationship with one of the parties or an affiliate of one of the parties.
8. The arbitrator regularly advises the appointing party or an affiliate of the appointing party even though neither the arbitrator nor his or her firm derives a significant financial income therefrom.

9. The arbitrator has a close family relationship with one of the parties and in the case of companies with the persons in the management and controlling the company.

10. A close family member of the arbitrator has a significant financial interest in one of the parties or an affiliate of one of the parties.

11. The arbitrator is a legal representative of an entity that is a party in the arbitration.

12. The arbitrator is a manager, director or part of the management, or has a similar controlling influence in one of the parties.

13. The arbitrator has a significant financial interest in one of the parties or the outcome of the case.

14. The arbitrator regularly advises the appointing party or an affiliate of the appointing party, and the arbitrator or his or her firm derives a significant financial income therefrom.

Relationship of the arbitrator to the dispute

15. The arbitrator has given legal advice or provided an expert opinion on the dispute to a party or an affiliate of one of the parties.

16. The arbitrator has previous involvement in the case.

Arbitrator's direct or indirect interest in the dispute

17. The arbitrator holds shares, either directly or indirectly, in one of the parties or an affiliate of one of the parties that is privately held.

18. A close family member of the arbitrator has a significant financial interest in the outcome of the dispute.

19. The arbitrator or a close family member of the arbitrator has a close relationship with a third party who may be liable to recourse on the part of the unsuccessful party in the dispute.

Previous services for one of the parties or other involvement in the case

20. The arbitrator has within the past three years served as counsel for one of the parties or an affiliate of one of the parties or has previously advised or been consulted by the party or an affiliate of the party making the appointment in an unrelated matter, but the arbitrator and the party or the affiliate of the party have no ongoing relationship.

21. The arbitrator has within the past three years served as counsel against one of the parties or an affiliate of one of the parties in an unrelated matter.

22. The arbitrator has within the past three years been appointed as arbitrator on two or more occasions by one of the parties or an affiliate of one of the parties.

23. The arbitrator's law firm has within the past three years acted for one of the parties or an affiliate of one of the parties in an unrelated matter without the involvement of the arbitrator.

24. The arbitrator currently serves, or has served within the past three years, as arbitrator in another arbitration on a related issue involving one of the parties or an affiliate of one of the parties.

Relationship between an arbitrator and another arbitrator or counsel.

25. The arbitrator and another arbitrator are lawyers in the same law firm.

26. The arbitrator was within the past three years a partner of, or otherwise affiliated with, another arbitrator or any of

the counsel in the same arbitration.

27. A lawyer in the arbitrator's law firm is an arbitrator in another dispute involving the same party or parties or an affiliate of one of the parties.

28. A close family member of the arbitrator is a partner or employee of the law firm representing one of the parties, but is not assisting with the dispute.

29. The arbitrator has within the past three years received more than three appointments by the same counsel or the same law firm.

Relationship between arbitrator and party and others involved in the arbitration

30. The arbitrator's law firm is currently acting adverse to one of the parties or an affiliate of one of the parties.

31. The arbitrator had been associated within the past three years with a party or an affiliate of one of the parties in a professional capacity, such as a former employee or partner.

Other circumstances

32. The arbitrator holds shares, either directly or indirectly, which by reason of number or de-nomination constitute a material holding in one of the parties or an affiliate of one of the parties that is publicly listed.

33. The arbitrator holds a position in an arbitration institution with appointing authority over the dispute.

34. The arbitrator is a manager, director or part of the management, or has a similar controlling influence, in an affiliate of one of the parties, where the affiliate is not directly involved in the matters in dispute in the arbitration.

Explanation 1.— The term "close family member" refers to a

spouse, sibling, child, parent or life partner.

Explanation 2.—The term “affiliate” encompasses all companies in one group of companies including the parent company.

Explanation 3.— For the removal of doubts, it is clarified that it may be the practice in certain specific kinds of arbitration, such as maritime or commodities arbitration, to draw arbitrators from a small, specialised pool. If in such fields it is the custom and practice for parties frequently to appoint the same arbitrator in different cases, this is a relevant fact to be taken into account while applying the rules set out above.

THE SIXTH SCHEDULE

[See section 12 (1)(b)]

NAME:

CONTACT DETAILS:

PRIOR EXPERIENCE (INCLUDING EXPERIENCE WITH ARBITRATIONS):

NUMBER OF ON-GOING ARBITRATIONS:

CIRCUMSTANCES DISCLOSING ANY PAST OR PRESENT RELATIONSHIP WITH OR INTEREST IN ANY OF THE PARTIES OR IN RELATION TO THE SUBJECT MATTER IN DISPUTE, WHETHER FINANCIAL, BUSINESS, PROFESSIONAL OR OTHER KIND, WHICH IS LIKELY TO GIVE RISE TO JUSTIFIABLE DOUBTS AS TO YOUR INDEPENDENCE OR IMPARTIALITY (LIST OUT):

CIRCUMSTANCES WHICH ARE LIKELY TO AFFECT YOUR ABILITY TO DEVOTE SUFFICIENT TIME TO THE ARBITRATION AND IN PARTICULAR YOUR ABILITY TO FINISH THE ENTIRE ARBITRATION WITHIN TWENTY-FOUR MONTHS AND RENDER AN AWARD WITHIN THREE MONTHS (LIST OUT): .’

THE SEVENTH SCHEDULE*[See section 12(5)]***Arbitrator's relationship with the parties or counsel**

1. The arbitrator is an employee, consultant, advisor or has any other past or present business relationship with a party.
2. The arbitrator currently represents or advises one of the parties or an affiliate of one of the parties.
3. The arbitrator currently represents the lawyer or law firm acting as counsel for one of the parties.
4. The arbitrator is a lawyer in the same law firm which is representing one of the parties.
5. The arbitrator is a manager, director or part of the management, or has a similar controlling influence, in an affiliate of one of the parties if the affiliate is directly involved in the matters in dispute in the arbitration.
6. The arbitrator's law firm had a previous but terminated involvement in the case without the arbitrator being involved himself or herself.
7. The arbitrator's law firm currently has a significant commercial relationship with one of the parties or an affiliate of one of the parties.
8. The arbitrator regularly advises the appointing party or an affiliate of the appointing party even though neither the arbitrator nor his or her firm derives a significant financial income therefrom.
9. The arbitrator has a close family relationship with one of the parties and in the case of companies with the persons in the management and controlling the company.
10. A close family member of the arbitrator has a significant financial interest in one of the parties or an affiliate of one of

the parties.

11. The arbitrator is a legal representative of an entity that is a party in the arbitration.

12. The arbitrator is a manager, director or part of the management, or has a similar controlling influence in one of the parties.

13. The arbitrator has a significant financial interest in one of the parties or the outcome of the case.

14. The arbitrator regularly advises the appointing party or an affiliate of the appointing party, and the arbitrator or his or her firm derives a significant financial income therefrom.

Relationship of the arbitrator to the dispute

15. The arbitrator has given legal advice or provided an expert opinion on the dispute to a party or an affiliate of one of the parties.

16. The arbitrator has previous involvement in the case.

Arbitrator's direct or indirect interest in the dispute

17. The arbitrator holds shares, either directly or indirectly, in one of the parties or an affiliate of one of the parties that is privately held.

18. A close family member of the arbitrator has a significant financial interest in the outcome of the dispute.

19. The arbitrator or a close family member of the arbitrator has a close relationship with a third party who may be liable to recourse on the part of the unsuccessful party in the dispute.

Explanation 1.— The term “close family member” refers to a spouse, sibling, child, parent or life partner.

Explanation 2.— The term “affiliate” encompasses all companies in one group of companies including the parent company.

Explanation 3.— For the removal of doubts, it is clarified that it may be the practice in certain specific kinds of arbitration, such as maritime or commodities arbitration, to draw arbitrators from a small, specialised pool. If in such fields it is the custom and practice for parties frequently to appoint the same arbitrator in different cases, this is a relevant fact to be taken into account while applying the rules set out above.’

PRANAB MUKHERJEE,
President.

DR. G. NARAYANA RAJU,
Secretary to the Govt. of India.

भाग ४ (ग)**अंतिम नियम****श्रम विभाग**

मंत्रालय, वल्लभ भवन, भोपाल

मध्यप्रदेश, भवन एवं अन्य संनिर्माण कर्मकार कल्याण मण्डल, भोपाल

भोपाल, दिनांक 4 दिसम्बर 2015

अधिसूचना क्रमांक-भ.स.क.म.म-2015-9784.—मध्यप्रदेश भवन एवं अन्य संनिर्माण कर्मकार कल्याण मण्डल की अधिसूचना क्रमांक भ.स.क.म.-4069, दिनांक 5 सितम्बर 2014 द्वारा निर्माण स्थल पर कार्य के दौरान अपंजीकृत श्रमिक की मृत्यु की दशा में अंत्येष्टि एवं अनुग्रह राशि भुगतान योजना 2014 प्रकाशित की गई है। मध्यप्रदेश भवन एवं अन्य संनिर्माण कर्मकार (नियोजन तथा सेवा शर्तों का विनियमन) नियम, 2002 के नियम 278 के अधीन प्रदत्त शक्तियों का प्रयोग करते हुए, मध्यप्रदेश भवन एवं अन्य संनिर्माण कर्मकार कल्याण मण्डल, द्वारा राज्य शासन के पूर्व अनुमोदन से, निर्माण स्थल पर कार्य के दौरान अपंजीकृत श्रमिक की मृत्यु की दशा में अंत्येष्टि एवं अनुग्रह राशि भुगतान योजना 2014 में निम्नलिखित संशोधन किया जाता है:—

अर्थात् —

(1) योजना की कंडिका ग(6) “मृत्यु के तीन माह तक प्राप्त आवेदन ही स्वीकृति योग्य होंगे.”

के स्थान पर.—

“मृत्यु दिनांक से छह माह तक प्राप्त आवेदन ही स्वीकृति योग्य होंगे.” प्रतिस्थापित किया जाता है।

एस. एस. दीक्षित, सचिव.

भोपाल, दिनांक 16 दिसम्बर 2015

अधिसूचना क्रमांक-भ.स.क.म.म-2015-9924.—मध्यप्रदेश भवन एवं अन्य संनिर्माण कर्मकार कल्याण मण्डल की अधिसूचना क्रमांक भ.स.क.म.-8168, दिनांक 21 अगस्त 2015 द्वारा “पंजीकृत निर्माण श्रमिकों के लिये शौचालय निर्माण हेतु अनुदान योजना” प्रकाशित की गई है। उक्त अधिसूचना में मध्यप्रदेश भवन एवं अन्य संनिर्माण कर्मकार (नियोजन तथा सेवा शर्तों का विनियमन) नियम, 2002 के नियम 278 के अधीन प्रदत्त शक्तियों का प्रयोग करते हुए, मध्यप्रदेश भवन एवं अन्य संनिर्माण कर्मकार कल्याण मण्डल, द्वारा राज्य शासन के पूर्व अनुमोदन से, “पंजीकृत निर्माण श्रमिकों के लिये शौचालय निर्माण हेतु अनुदान योजना” में निम्नलिखित संशोधन करता है:—

अर्थात् —

योजना की कंडिका (घ) योजना का विवरण—1. योजनान्तर्गत पात्रताधारी निर्माण श्रमिक को स्वयं के मकान में शौचालय निर्माण हेतु रु. 15,000 का अनुदान जीवनकाल में एक बार दिया जाएगा।

के स्थान पर.—

“योजनान्तर्गत पात्रताधारी निर्माण श्रमिक के स्वयं अथवा परिवार (योजना की कंडिका ग में उल्लेखित) के किसी सदस्य के नाम से मकान होने पर मकान में शौचालय निर्माण हेतु रु. 15,000 का अनुदान जीवनकाल में एक बार दिया जाएगा.”

“पति/पत्नी दोनों के निर्माण श्रमिक होने की स्थिति में भी एक परिवार (योजना की कंडिका ग में उल्लेखित) में एक ही शौचालय निर्माण हेतु राशि प्रदान की जावेगी” प्रतिस्थापित किया जाता है।

एस. एस. दीक्षित, सचिव.

मध्यप्रदेश विद्युत नियामक आयोग

पंचम तल, मेट्रो प्लाजा, बिट्टन मार्केट, भोपाल-462016

भोपाल, दिनांक 17 दिसम्बर 2015

क्रमांक-2256-मप्रविनिआ-2015-यतः आयोग द्वारा दिनांक 9 दिसम्बर, 2009 को मध्यप्रदेश विद्युत नियामक आयोग (विद्युत् प्रदाय व चक्रण के टैरिफ अवधारण संबंधी निबंधन तथा शर्तें तथा प्रभारों के निर्धारण के संबंध में विधियां तथा सिद्धान्त) विनियम 2012 (आरजी-35(I), वर्ष 2012) दिनांक 7 दिसम्बर, 2012 को अधिनियमित किये गये थे तथा जबकि बहुवर्षीय टैरिफ की तृतीय नियंत्रण अवधि दिनांक 31 मार्च, 2016 को समाप्त हो जाएगी, अतएव वित्तीय वर्ष 2016-17 से वित्तीय वर्ष 2018-19 की आगामी नियंत्रण अवधि हेतु विद्युत् वितरण विद्युत्-दर (टैरिफ) की निबन्धन तथा शर्तें विनिर्दिष्ट किये जाने की दृष्टि से, ये विनियम अधिसूचित किया जाना आवश्यक हो गया है,

अतएव विद्युत् अधिनियम, 2003 (2003 का 36) की धारा 181(2)(जेड डी) सहपठित धारा 45 तथा 61 द्वारा प्रदत्त शक्तियों का प्रयोग करते हुए, मध्यप्रदेश विद्युत् नियामक आयोग, एतद्वारा, वितरण अनुज्ञापिधारी द्वारा प्रदाय की जा रही विद्युत् के प्रभार निर्धारित किये जाने बाबत विधियां तथा सिद्धान्तों तथा दिनांक 1 अप्रैल, 2016 से आरंभ होने वाली अवधि के दौरान जो दिनांक 31 मार्च, 2019 तक अर्थात् तीन वर्ष तक जारी रहेगी । मध्यप्रदेश राज्य में विद्युत् के चक्रण तथा विद्युत् प्रदाय की टैरिफ संबंधी निबंधन एवं शर्तें विनिर्दिष्ट करने हेतु निम्नलिखित विनियम बनाता है, अर्थात् :-

विनियम

अध्याय एक

प्रारंभिक

1. संक्षिप्त नाम , विस्तार और प्रारंभ :

- 1.1 इन विनियमों का संक्षिप्त नाम "मध्यप्रदेश विद्युत् नियामक आयोग (विद्युत् प्रदाय व चक्रण के टैरिफ अवधारण संबंधी निबंधन तथा शर्तें तथा प्रभारों के निर्धारण के संबंध में विधियां तथा सिद्धान्त) विनियम, 2015 {आरजी-35(II) वर्ष 2015}" है।
- 1.2 इनका विस्तार सम्पूर्ण मध्यप्रदेश राज्य पर होगा।
- 1.3 ये विनियम विद्युत्-दर (टैरिफ) अवधि दिनांक 01 अप्रैल, 2016 से 31 मार्च, 2019 तक प्रभावशील रहेंगे। दिनांक 1 अप्रैल, 2016 से प्रारंभ होने वाली अवधि हेतु सम्पूर्ण राजस्व आवश्यकता तथा विद्युत् चक्रण एवं प्रदाय संबंधी टैरिफ याचिकाएं केवल इन विनियमों के अनुसार ही दायर की जाएंगी।

2. विस्तार तथा लागू किये जाने की सीमा :

- 2.1 ये विनियम विद्युत् अधिनियम, 2003 की धारा 62 के अन्तर्गत राज्य के वितरण अनुज्ञप्तिधारियों द्वारा उपभोक्ताओं से विद्युत् चक्रण तथा प्रदाय हेतु प्रभारित की जाने वाली विद्युत्-दर (टैरिफ) के अवधारण संबंधी समस्त प्रकरणों को लागू होंगे।

3. प्रचालन के मानदण्डों के परिसीमन का उच्चस्थ होना :

- 3.1 शंकाओं के निवारण के उद्देश्य से यह स्पष्ट किया जाता है कि इन विनियमों के अन्तर्गत विनिर्दिष्ट प्रचालन के मानदण्डों का परिसीमन उच्चस्थ है तथा यह वितरण अनुज्ञप्तिधारियों तथा उपभोक्ताओं को प्रोन्नत मानदण्डों पर सहमति से प्रतिबाधित नहीं करेगा तथा इस प्रकार के प्रोन्नत मानदण्डों पर जब भी सहमति हो जाएगी, वे विद्युत्-दर (टैरिफ) के अवधारण हेतु प्रयोज्य होंगे।

4. परिभाषाएं :

- 4.1 इन विनियमों में, जब तक संदर्भ अन्यथा अपेक्षित न हो,

(क) "अधिनियम" से अभिप्रेत है, विद्युत् अधिनियम, 2003 (2003 का 36);

(ख) "सम्पूर्ण राजस्व आवश्यकता" से अभिप्रेत है किसी वितरण अनुज्ञप्तिधारी द्वारा उसके अनुज्ञप्ति-प्राप्त व्यापारों हेतु उक्त वर्ष/वर्षों हेतु सम्पूर्ण व्ययों का प्राक्कलन, जिस /जिन हेतु इसे तैयार किया जाता है ;

(ग) "आवेदक" से अभिप्रेत है कोई वितरण अनुज्ञप्तिधारी जिसके द्वारा इन विनियमों के अनुसार विद्युत् चक्रण तथा प्रदाय की विद्युत्-दर (टैरिफ) अवधारण हेतु आवेदन प्रस्तुत किया गया है;

(घ) "अंकेक्षक" से अभिप्रेत है वितरण अनुज्ञप्तिधारी द्वारा यथसंशोधित कम्पनी अधिनियम, 1956 (1956 का 1) की धारा 224, 233(बी) तथा 619 के उपबन्धों, तथा कंपनी अधिनियम, 2013 (2013 का 18) के अध्याय-दस अथवा तत्समय प्रवृत्त किसी अन्य विधि के अन्तर्गत नियुक्त किया गया कोई अंकेक्षक ;

(ङ) "अधिकृत भार" को किलोवाट, केवीए अथवा अश्वशक्ति यूनिटों में अभिव्यक्त किया जाएगा तथा इसे यथासंशोधित मध्यप्रदेश विद्युत् प्रदाय संहिता, 2013 में निर्धारित की गई प्रक्रिया के अनुसार अवधारित किया जाएगा ;

(च) "बैंक दर" से अभिप्रेत है भारतीय रिजर्व बैंक की सुसंगत वर्ष की दिनांक 1 अप्रैल को लागू की गई बैंक दर ;

(छ) "आयोग" से अभिप्रेत है मध्यप्रदेश विद्युत् नियामक आयोग;

(ज) "सचिव" से अभिप्रेत है आयोग का सचिव;

- (झ) "संविदाकृत ऊर्जा" से अभिप्रेत है मेगावाट में अभिव्यक्त की गई ऊर्जा जिसे वितरण अनुज्ञप्तिधारी द्वारा अपनी वितरण प्रणाली में चक्रण किये जाने हेतु सहमति प्रदान की गई है;
- (ञ) "क्रेता" से अभिप्रेत है, कोई ऐसा व्यक्ति जिसके द्वारा कोई कैप्टिव विद्युत् उत्पादक संयंत्र स्थापित किया गया है अथवा एक अनुज्ञप्तिधारी अथवा खुली पहुंच का लाभ प्राप्त करने वाला कोई उपभोक्ता जो वितरण अनुज्ञप्तिधारी की वितरण प्रणाली का उपयोग कर रहा हो;
- (ट) "वाणिज्यिक प्रचालन की तिथि" से अभिप्रेत है किसी वितरण अनुज्ञप्तिधारी के विद्युत् तन्तुपथ (लाईन) अथवा विद्युत् उपकेन्द्र को उसके घोषित वोल्टेज स्तर पर प्रभारित किये जाने अथवा वितरण अनुज्ञप्तिधारी द्वारा इसे प्रभारित करने की तिथि अथवा वितरण अनुज्ञप्तिधारी द्वारा प्रभारित करने हेतु तैयार घोषित किए जाने की तिथि से सात दिवस के पश्चात् की तिथि, किन्तु जो क्रेताओं पर आरोप कारणवश वास्तविक रूप से प्रभारित न किया जा सका हो ;
- (ठ) "घोषित वोल्टेज" से अभिप्रेत है यथासंशोधित म.प्र. विद्युत् प्रदाय संहिता, 2013 के अंतर्गत विनिर्दिष्ट किया गया वोल्टेज;
- (ड) "डिकाम" से अभिप्रेत है वितरण कंपनी अथवा विद्युत् वितरण कम्पनी जिसके अंतर्गत "ईस्ट डिकाम " से अभिप्रेत है। मध्यप्रदेश पूर्व क्षेत्र विद्युत् वितरण कंपनी लिमिटेड, "वेस्ट डिकाम" से अभिप्रेत है मध्य प्रदेश पश्चिम क्षेत्र विद्युत् वितरण कंपनी लिमिटेड तथा "सेंट्रल डिकाम" से अभिप्रेत है मध्यप्रदेश मध्य क्षेत्र विद्युत् वितरण कंपनी लिमिटेड;
- (ढ) "वितरण अनुज्ञप्तिधारी" से अभिप्रेत है कोई अनुज्ञप्तिधारी जो उसके विद्युत् प्रदाय क्षेत्र में विद्युत् प्रदाय हेतु किसी वितरण प्रणाली को संचालित तथा संधारित करने हेतु प्राधिकृत है ;
- (ण) "वितरण हानि" से अभिप्रेत है वितरण अनुज्ञप्तिधारी की विद्युत् वितरण प्रणाली में घटित कुल ऊर्जा की हानियां जिन्हें प्रणाली बाबत ऊर्जा निवेश तथा इसके विक्रय के अन्तर के प्रतिशत के रूप में व्यक्त किया गया हो ;
- (त) "विद्यमान परियोजना" से अभिप्रेत है दिनांक 1.4.2016 से पूर्व भी किसी तिथि को वाणिज्यिक प्रचालन के अंतर्गत घोषित की गई कोई परियोजना ;
- (थ) "किया गया व्यय" से अभिप्रेत है कोई निधि, भले ही वह पूंजी अथवा ऋण हो अथवा दोनों हों जिस के लिए उपयोगी परिसम्पत्तियों के सृजन अथवा अधिप्राप्ति हेतु वास्तविक रूप से रोकड़ अथवा रोकड़ समतुल्य भुगतान किया गया है तथा इनमें वे वचनबद्धताएं अथवा दायित्व शामिल न होंगे, जिन हेतु कोई राशि आवंटित न की गई हो;
- (ध) "अति उच्च दाब उपभोक्ता " से अभिप्रेत है कोई उपभोक्ता जिसे सामान्य परिस्थितियों के अंतर्गत 33000 वोल्ट से अधिक विद्युत् प्रदाय की जा रही है जो यथासंशोधित, मध्यप्रदेश विद्युत् प्रदाय संहिता, 2013, के अंतर्गत अनुज्ञेय किये गये प्रतिशत विचलन के अधधीन होगी ;
- (न) "वित्तीय विवरण-पत्र" को कंपनी अधिनियम 2013 की सुसंगत अनुसूचियों के अनुसार तैयार कराया जाएगा, और उसमें निम्नलिखित सम्मिलित होंगे:-

(एक) वित्तीय वर्ष के अंत में तैयार किया गया तुलन-पत्र;

(दो) लाभ तथा हानि का लेखा या किसी ऐसी कंपनी की दशा में जो अपनी गतिविधियों का संचालन लाभार्जन हेतु न कर रही हो, वित्तीय वर्ष हेतु कोई आय तथा व्यय लेखा;

(तीन) वित्तीय वर्ष हेतु रोकड़-प्रवाह विवरण-पत्र,

(चार) पूंजी में परिवर्तन संबंधी विवरण पत्र, यदि लागू हो; तथा

(पांच) परिशिष्टबद्ध किया गया व्याख्यात्मक विवरण जैसा कि इसे उपरोक्त उपखण्ड

(एक) से उपखण्ड (चार) के अंतर्गत किसी अभिलेख में संदर्भित किया गया हो;

- (न) "उच्च दाब उपभोक्ता" से अभिप्रेत है कोई उपभोक्ता जिसे सामान्य परिस्थितियों के अंतर्गत 650 वोल्ट से अधिक तथा 33000 वोल्ट से अनधिक विद्युत् प्रदाय की जा रही है जो यथासंशोधित, मध्यप्रदेश विद्युत् प्रदाय संहिता, 2013 के अंतर्गत अनुज्ञेय किये गये प्रतिशत विचलन के अध्यक्षीन होगी ;
- (प) "अनुज्ञप्तिधारी" से अभिप्रेत है ऐसा व्यक्ति जिसे विद्युत् अधिनियम, 2003 की धारा 14 के अंतर्गत अनुज्ञप्ति प्रदान की गई हो ;
- (फ) "निम्न दाब उपभोक्ता" से अभिप्रेत है कोई उपभोक्ता जिसे सामान्य परिस्थितियों के अंतर्गत 650 वोल्ट से अनधिक विद्युत् प्रदाय की जा रही है जो यथासंशोधित, मध्यप्रदेश विद्युत् प्रदाय संहिता, 2013 के अंतर्गत अनुज्ञेय किये गये प्रतिशत विचलन के अध्यक्षीन होगी ;
- (ब) "दीर्घ-अवधि क्रेता" से अभिप्रेत है ऐसा व्यक्ति जो चक्रण प्रभारों के भुगतान के आधार पर अन्तर्राज्यीय/राज्यान्तरिक-विद्युत् वितरण कम्पनी प्रणाली के संबंध में तीन वर्षों से अधिक की अवधि का धारणाधिकार रखता हो ;
- (भ) "मध्यम-अवधि क्रेता" से अभिप्रेत है ऐसा व्यक्ति जो चक्रण प्रभारों के भुगतान के आधार पर अन्तर्राज्यीय/राज्यान्तरिक-विद्युत् वितरण कम्पनी प्रणाली के संबंध में तीन माह से अधिक तथा तीन वर्ष तक की अवधि का धारणाधिकार रखता हो ;
- (म) "अधिकारी" से अभिप्रेत है, आयोग का कोई अधिकारी;
- (य) "प्रचालन तथा संधारण व्यय से अभिप्रेत है वितरण अनुज्ञप्तिधारी के वितरण तथा प्रदाय-तन्त्र (नेटवर्क) के प्रचालन तथा संधारण पर किया गया कोई व्यय, उसके किसी भाग को सम्मिलित करते हुए तथा इसमें शामिल होंगे जनशक्ति, मरम्मत कल-पुर्जे, उपभोज्य वस्तुएं, बीमा तथा अतिरिक्त किये गये कोई व्यय ;
- (यक) "परियोजना" से अभिप्रेत है विद्युत् वितरण प्रणाली में की गई किसी वृद्धि, परिवर्तन अथवा आवर्धन संबंधी योजना ;
- (यख) "निर्धारित वोल्टेज" से अभिप्रेत है कोई वोल्टेज जिस पर विद्युत् वितरण प्रणाली परिचालन बाबत रूपांकित की गई हो;

- (यग) "लघु-अवधि क्रेता" से अभिप्रेत है ऐसा व्यक्ति जो चक्रण प्रभारों के भुगतान के आधार पर अन्तर्राज्यीय/राज्यान्तरिक-विद्युत् वितरण कम्पनी प्रणाली के संबंध में तीन माह तक की अवधि का धारणाधिकार रखता हो;
- (यघ) "विद्युत्-दर" से अभिप्रेत है विद्युत् वितरण तथा प्रदाय हेतु उसके निबंधन तथा शर्तों सहित उपभोक्ताओं द्वारा देय प्रभारों की अनुसूची;
- (यड) "विद्युत्-दर अवधि" से अभिप्रेत है अवधि जिस हेतु आयोग द्वारा इन विनियमों के अन्तर्गत विद्युत्-दर (टैरिफ) का अवधारण किया गया है ;
- (यच) "अनियन्त्रणीय लागत" से अभिप्रेत है ऐसी लागतें जिनमें सम्मिलित होंगी। परन्तु जो इन्हीं तक ही सीमित न होंगी, ईंधन लागतें, मुद्रा-स्फीति के कारण लागतें, कर तथा उपकर, विद्युत् क्रय इकाई लागतों में विषमताओं के साथ-साथ प्रतिकूल प्राकृतिक विपदाओं अथवा आकस्मिक विशेष परिस्थितियों के कारण जल-विद्युत् व ताप-विद्युत् मिश्र में किये गये कोई परिवर्तन अथवा अन्य कोई मदें जो कि आयोग द्वारा अवधारित की जाए; ;
- (यछ) "उपयोगी जीवन काल" से अभिप्रेत है किसी विद्युत् वितरण प्रणाली की इकाई के संबंध में वाणिज्यिक प्रचालन तिथि से उपकेन्द्र हेतु 25 वर्ष तथा तन्तु-पथों (लाईनों) हेतु 35 वर्ष;
- (यज) "वर्ष" से अभिप्रेत है दिनांक 01 अप्रैल को प्रारंभ होकर अनुवर्ती वर्ष के 31 मार्च को समाप्त होने वाला वित्तीय वर्ष, जिसके अनुसार :
- (एक) "चालू वर्ष" से अभिप्रेत है वह वर्ष जिसमें वार्षिक लेखा का विवरण-पत्र अथवा विद्युत्-दर (टैरिफ) अवधारण हेतु याचिका दायर की गई हो;
- (दो) "पिछला वर्ष" से अभिप्रेत है चालू वर्ष से ठीक पूर्व का वर्ष;
- (तीन) "आगामी वर्ष" से अभिप्रेत है चालू वर्ष के बाद आने वाला अगला वर्ष।
- 4.2 उन शब्दों तथा अभिव्यक्तियों के, जो इन विनियमों में प्रयुक्त हुए हैं किन्तु परिभाषित नहीं किए गए हैं, वे ही अर्थ होंगे जो अधिनियम में उनके लिए दिए गए हैं।
5. **विद्युत्-दर (टैरिफ) का अवधारण :**
- आयोग, उपभोक्ताओं से विद्युत् चक्रण तथा प्रदाय हेतु विद्युत्-दर एवं प्रभारों का निबंधन तथा शर्तों को शामिल करते हुए अधिनियम की धारा 62 सहपठित धारा 86 के अंतर्गत अवधारण होगा।
6. **विद्युत्-दर (टैरिफ) के अवधारण के सिद्धान्त :**
- 6.1 आयोग द्वारा इन विनियमों के अन्तर्गत विद्युत्-दर (टैरिफ) के अवधारण हेतु निबंधन एवं शर्तों को विनिर्दिष्ट करते समय अधिनियम की धारा 61 में निहित सिद्धान्तों से मार्गदर्शन प्राप्त किया गया है।

- 6.2 विद्युत्-दर (टैरिफ) के अंतर्गत विद्युत् वितरण अनुज्ञप्तिधारी द्वारा विद्युत् चक्रण तथा प्रदाय की अनुज्ञप्ति-प्राप्त गतिविधियों के परिचालन में उपगत युक्तियुक्त लागतों की वसूली का प्रावधान किया जाएगा जिसमें निष्पादन के विनिर्दिष्ट स्तर पर पूंजी पर प्रतिलाभ को भी जोड़ा जाएगा। अनुज्ञप्तिधारियों को उनके लेखांकन विवरण-पत्र तैयार करने होंगे जिन्हें उनके द्वारा विनियम 10 में दर्शाए गए अनुसार नियमित रूप से आयोग के समक्ष प्रस्तुत करना होगा।
- 6.3 इन विनियमों में अपनाए गये बहुवर्षीय टैरिफ सिद्धान्तों का उद्देश्य प्रतिस्पर्धा को बढ़ावा देना, वाणिज्यिक सिद्धान्तों को अपनाया जाना, वितरण अनुज्ञप्तिधारी कार्य प्रणाली को दक्ष बनाना तथा उपभोक्ताओं के हितों को संरक्षण प्रदान करना है। टैरिफ अवधि हेतु परिचालन तथा लागत मानदण्ड, पूर्व अवधि में किये गये निष्पादन के आधार पर निर्दिष्ट किये गये हैं। स्वीकार्य विद्युत्-दरों (टैरिफ) का अवधारण इन मानदण्डों के अनुसार किया जाएगा। वितरण अनुज्ञप्तिधारियों को इन विनियमों में निर्दिष्ट मानदण्डों से बेहतर निष्पादन प्रस्तुत करने पर बचत का एक भाग पुरस्कारस्वरूप उपभोक्ताओं के साथ परस्पर वितरित किये जाने हेतु भी अनुज्ञेय किया गया है। इसके द्वारा वितरण अनुज्ञप्तिधारियों से दक्ष अनुपालन तथा संसाधनों के मितव्ययी उपयोग हेतु उन्हें प्रोत्साहित किये जाने की अपेक्षा की जाती है।
- 6.4 केवल उन्हीं निवेशों तथा पूंजीगत व्ययों को विद्युत्-दर (टैरिफ) के माध्यम से सेवाकृत किये जाने की लागतों को इस संबंध में वसूली बाबत अनुज्ञेय किया जाएगा जो आयोग द्वारा जारी किये गये दिशा-निर्देशों के अनुरूप हों। इससे वितरण अनुज्ञप्तिधारियों द्वारा युक्तियुक्त पूंजी निवेश सुनिश्चित किये जाएंगे। वितरण अनुज्ञप्तिधारी को अनुकूलतम पूंजी निवेश सुनिश्चित करने होंगे तथा वितरण प्रणाली क्षमता में वृद्धि तथा आयोग द्वारा विनिर्दिष्ट अनुपालन मानदण्डों की पूर्ति हेतु सम्पूर्ण राजस्व आवश्यकता में पर्याप्त प्रावधान करने होंगे।
- 6.5 टैरिफ नीति के अनुरूप, प्रति-सहायतानुदान को उत्तरोत्तर कम किया जाएगा।
7. विद्युत्-दर (टैरिफ) अवधारण हेतु आवेदन प्रस्तुति की प्रक्रिया :
- 7.1 विद्युत् चक्रण तथा प्रदाय हेतु विद्युत्-दर (टैरिफ) अवधारण प्रक्रिया को पूर्व में यथासंशोधित मध्यप्रदेश विद्युत् नियामक आयोग (टैरिफ अवधारण के लिये अनुज्ञप्तिधारी या उत्पादन कंपनी द्वारा दिये जाने वाला विवरण और इसके लिये भुगतान योग्य फीस) विनियम, 2004 के अनुसार अधिसूचित किया जा चुका है। अनुज्ञप्तिधारी को बहुवर्षीय अवधि के लिए विद्युत्-दर अवधारण हेतु आवेदन विनिर्दिष्ट शुल्क के साथ जमा करना होगा।
- 7.2 वितरण अनुज्ञप्तिधारी द्वारा सम्पूर्ण राजस्व आवश्यकता तथा बहुवर्षीय अवधि हेतु टैरिफ अवधारण के लिये जानकारी इन विनियमों में संलग्न प्ररूपों (परिशिष्ट-1) के अनुसार प्रस्तुत की जाएगी। अनुज्ञप्तिधारी द्वारा इन प्ररूपों में प्रस्तुत जानकारी आवेदन का एक भाग होगी। अनुज्ञप्तिधारी को विनिर्दिष्ट प्ररूपों में बहुवर्षीय अवधि के टैरिफ अवधारण हेतु आवेदन की संक्षेपिका प्रकाशित करनी होगी जैसा कि आयोग द्वारा इस बारे में निर्देशित किया जाए। अनुज्ञप्तिधारी को आयोग द्वारा दिये गये निर्देशों के अनुसार अतिरिक्त जानकारी, आयोग द्वारा जब भी सम्पूर्ण राजस्व आवश्यकता/विद्युत्-दर (टैरिफ) को अंतिम किये जाने के प्रयोजन हेतु निर्देशित की जाए, ऐसे प्ररूपों में प्रस्तुत करनी होगी।

- 7.3 आयोग को सदैव वितरण अनुज्ञप्तिधारी से संबंधित किसी स्वविवेक याचिका द्वारा अथवा किसी अभिरुचि रखने वाले या प्रभावित पक्षकार द्वारा विद्युत्-दर (टैरिफ) का तथा उसके निबन्धन तथा शर्तों के अवधारण का अधिकार होगा तथा वह ऐसे अवधारण के लिए ऐसी प्रक्रिया के अनुसार, जैसी कि विनिर्दिष्ट की जाए, कार्यवाही करेगा :

परन्तु ऐसी विद्युत्-दर (टैरिफ) के साथ संबंधित निबन्धन तथा शर्तों के अवधारण संबंधी कार्यवाही को यथासंशोधित कार्य संचालन विनियमों, में निर्धारित की गई प्रक्रिया के अनुसार क्रियान्वित किया जाएगा।

- 7.4 आयोग अथवा आयोग सचिव अथवा आयोग द्वारा इस प्रयोजन के लिए पदाभिहित से किसी अधिकारी द्वारा आवेदन के सूक्ष्म परीक्षण उपरांत आवेदक को कतिपय अतिरिक्त जानकारी अथवा विवरण अथवा अभिलेख जो आवेदन को प्रक्रियाबद्ध किये जाने के प्रयोजन हेतु आवश्यक समझे जाएं, प्रस्तुत करने हेतु निर्देशित किया जाएगा। अपूर्ण आवेदन प्राप्त होने पर अथवा निर्धारित समयावधि के भीतर किसी अतिरिक्त जानकारी अथवा अभिलेखों के प्राप्त न होने पर, जैसा कि वे किसी आवेदन के प्रक्रियाबद्ध किये जाने हेतु आवश्यक समझे जाएं, आयोग द्वारा आवेदन को अस्वीकार किया जा सकेगा।
- 7.5 केवल, पूर्ण आवेदन के साथ समस्त वांछित जानकारी, विवरण एवं अभिलेख जो अर्हताओं के परिपालनार्थ आवश्यक हों, प्राप्त होने की दशा में ही आवेदन को प्राप्त किया गया माना जाएगा तथा आयोग अथवा सचिव अथवा इस प्रयोजन के लिए पदाभिहित अधिकारी द्वारा आवेदक को इस प्रकार संक्षिप्त रूप में एवं विधि अनुसार सूचित किया जाएगा कि आवेदन प्रकाशन हेतु तैयार है, जैसा कि इस बारे में उसे निर्दिष्ट किया जाए [कृपया देखें, यथासंशोधित मध्यप्रदेश विद्युत् नियामक आयोग (टैरिफ अवधारण के लिये अनुज्ञप्तिधारी या उत्पादन कंपनी द्वारा दिये जाने वाला विवरण और इसके लिये भुगतान योग्य फीस) विनियम, 2004 ।
- 7.6 वितरण अनुज्ञप्तिधारियों को आयोग को प्रस्तुत की गई अपनी याचिका के समस्त विवरण आयोग द्वारा उसे स्वीकार किये जाने संबंधी जारी किये गये औपचारिक आदेश से तीन कार्यकारी दिवस के भीतर अपनी तत्संबंधी वेबसाइट पर प्रदर्शित करने होंगे।
- 7.7 आवेदक, आयोग को ऐसी समस्त पुस्तकों तथा अभिलेखों (अथवा उनकी प्रमाणित सत्य प्रतिलिपियों) के साथ-साथ लेखांकन विवरण-पत्र, परिचालन तथा लागत आंकड़े, जैसे कि वे आयोग द्वारा विद्युत्-दर (टैरिफ) के अवधारण हेतु चाहे जाएं, प्रस्तुत करेगा। आयोग, यदि उचित समझे, तो वह किसी भी समय किसी भी व्यक्ति को ऐसी जानकारी जो आवेदक ने आयोग को प्रस्तुत की है, मय ऐसी पुस्तकों तथा अभिलेखों की संक्षेपिका के (अथवा, उनकी प्रमाणित सत्य-प्रतिलिपियों) उपलब्ध करा सकेगा:

परन्तु आयोग कतिपय आदेश जारी कर यह निर्देशित कर सकेगा कि आयोग द्वारा संधारित की जाने वाली ऐसी जानकारी, अभिलेख व पत्र/सामग्रियां गोपनीय अथवा विशेषाधिकार से युक्त होंगी जो निरीक्षण हेतु अथवा प्रमाणित प्रतिलिपियों के रूप में उपलब्ध नहीं कराई जा सकेंगी तथा आयोग यह भी निर्देशित कर सकेगा कि ऐसे अभिलेख, पत्र अथवा सामग्री को किसी ऐसी रीति द्वारा उपयोग न किया जा सकेगा सिवाय उसके, जो कि आयोग द्वारा विशिष्ट रूप से इस संबंध में प्राधिकृत की जाए।

8. विद्युत-दर (टैरिफ) के अवधारण तथा उसके सत्यापन की क्रियाविधि :

- 8.1 आयोग समय-समय पर वितरण अनुज्ञप्तिधारी की विद्युत-दर (टैरिफ) अवधियों को परिभाषित करेगा। विद्युत-दर (टैरिफ) अवधारण के सिद्धान्त टैरिफ अवधि के दौरान ही प्रयोज्य होंगे। इन विनियमों के अन्तर्गत, टैरिफ अवधारण के मार्गदर्शी सिद्धान्त इन विनियमों के लागू होने की तिथि से दिनांक 31 मार्च, 2019 को समाप्त होने वाली तीन वर्ष की अवधि के लिये मान्य होंगे।
- 8.2 वितरण अनुज्ञप्तिधारियों द्वारा टैरिफ अवधि के आरंभ में तथा तदोपरांत प्रति वर्ष अपनी याचिकाएं दाखिल की जाएंगी। आयोग द्वारा विद्युत-दर (टैरिफ) तथा उसका सत्यापन, जिस हेतु इसके बारे में अनुरोध किया जा रहा है, पूंजीगत व्यय तथा वर्ष के दौरान किये गये अतिरिक्त पूंजीगत व्यय के आधार पर सूक्ष्म परीक्षण द्वारा समीक्षा की जाएगी। तथापि, इस प्रकार के सत्यापन के संबंध में किसी प्रकार की असामान्य तथा अनियंत्रणीय विषमता पर भी विचार किया जा सकेगा।
- 8.3 यदि अद्यतन रूप से वसूल की गई विद्युत-दर (टैरिफ) की राशि सत्यापन उपरान्त अवधारित की गई विद्युत-दर से अधिक हो तो ऐसी दशा में, वितरण अनुज्ञप्तिधारी उपभोक्ताओं को इस प्रकार वसूल की गई राशि के आधिक्य का प्रत्यर्पण उक्त रीति द्वारा करेंगे जैसा कि आयोग द्वारा सत्यापन आदेश के अन्तर्गत आदेशित किया जाए। इसी प्रकार, यदि सत्यापन उपरान्त इस प्रकार वसूल की गई विद्युत-दर अवधारित विद्युत-दर से कम हो तो ऐसी दशा में वितरण अनुज्ञप्तिधारी से कम वसूल की गई राशि की वसूली उपभोक्ताओं से ऐसी विधि द्वारा, जैसा कि आयोग द्वारा इसके संबंध में निर्णय लिया जाए, करेगा जो आयोग द्वारा सत्यापन याचिका को दाखिल किये जाने हेतु निर्दिष्ट समय-सीमा के परिपालन के अध्यक्षीन होगा। कम वसूल की गई राशि के कारण बकाया वसूलीयोग्य राशि की विधि के संबंध में आयोग का निर्णय अंतिम होगा।
- 8.4 विद्युत-दर (टैरिफ) तथा सत्यापन याचिका की प्रस्तुति, मध्यप्रदेश विद्युत नियामक आयोग यथासंशोधित (टैरिफ अवधारण के लिये अनुज्ञप्तिधारी तथा उत्पादन कम्पनी द्वारा दिये जाने वाला विवरण और इसके लिये भुगतान योग्य फीस) विनियम, 2004 के अनुसार तथा निर्धारित प्ररूपों में, प्रतिवर्ष दिनांक 31 अक्टूबर तक की जाएगी।
- 8.5 वितरण अनुज्ञप्तिधारी की सम्पूर्ण राजस्व आवश्यकता आयोग को याचिका के माध्यम से तीन सुव्यक्त भागों में प्रस्तुत की जाएगी, अर्थात्, प्रथम ऊर्जा लागत हेतु अर्थात् विद्युत क्रय लागत, पारेषण तथा वितरण हानियां तथा अन्तर्राज्यीय व राज्यान्तरिक पारेषण प्रभारों के साथ-साथ राज्य भार प्रेषण केन्द्र (एसएलडीसी) प्रभार भी सम्मिलित होंगे, द्वितीय, चक्रण व्ययों के संबंध में तथा तृतीय, उपभोक्ताओं को ऊर्जा प्रदाय के संबंध में क्रेता सेवाओं को शामिल करते हुए।
- 8.6 तन्तु-तंत्र (वायर नेटवर्क) के सृजन, संधारण, देख-रेख, नवीनीकरण तथा विकास संबंधी कार्य जिनमें तन्तु-तन्त्र को बदले जाने तथा विस्तार कार्य भी शामिल होंगे, से संबंधित व्ययों को

चक्रण गतिविधि माना जाएगा। इसमें वितरण अनुज्ञप्तिधारी द्वारा विद्युत् वितरण प्रणाली के अंतर्गत विद्युत् चक्रण हेतु प्रयोग किये जा रहे उपकेन्द्र, संवाहक (कंडक्टर) ट्रांसफार्मर, संयन्त्र तथा उपकरण भी शामिल होंगे।

8.7 वितरण अनुज्ञप्तिधारी की विद्युत् प्रदाय गतिविधि से संबंधित लागतों में शामिल होंगी :

(क) उपभोक्ताओं की विद्युत् प्रदाय की व्यवस्था हेतु स्थापना लागत तथा (ख) उपभोक्ता सेवाएं प्रदान किया जाना, जिनमें मीटरीकरण, बिलिंग, वसूली तथा संबद्ध गतिविधियों से संबंधित व्यय भी शामिल किये जा सकेंगे।

8.8 कोई वितरण अनुज्ञप्तिधारी, जो किसी अन्य व्यापारिक गतिविधि में भी लिप्त है तथा वितरण व्यापार की परिसम्पत्तियों का भी उपयोग करता हो, वह उसके अनुज्ञप्ति-प्राप्त व्यापार तथा ऐसे अन्य व्यापार से संबंधित पृथक् लेखे संधारित करेगा तथा इन्हें आयोग के समक्ष भी प्रस्तुत करेगा।

8.9 आयोग, सम्पूर्ण विद्युत्-दर (टैरिफ) अवधि बाबत, इन विनियमों में अन्तर्निहित सिद्धान्तों पर आधारित वितरण अनुज्ञप्तिधारी की विद्युत् चक्रण तथा प्रदाय गतिविधियों बाबत सम्पूर्ण राजस्व आवश्यकता का अनुमोदन कर सकेगा एवं वितरण अनुज्ञप्तिधारी को प्राधिकृत अवधि के अन्तर्गत उपभोक्ताओं से प्रभारों की वसूली बाबत प्राधिकृत कर सकेगा। बहुवर्षीय टैरिफ सिद्धान्तों के आधार पर, वितरण अनुज्ञप्तिधारी को विद्युत्-दर(टैरिफ)/सत्यापन याचिकाएं विनियम 8.4 में विनिर्दिष्ट की गई विधि के अनुसार दायर करनी होंगी।

8.10 विद्युत्-दर (टैरिफ) अवधि बाबत, एक बार अनुमोदित की गई सम्पूर्ण राजस्व आवश्यकता में इन्हें लागतों तथा राजस्वों की अनियन्त्रणीय विषमताओं के माध्यम से निकाले जाने हेतु केवल वार्षिक समायोजनों की आवश्यकता होगी। समस्त नियन्त्रणीय विषमताओं के संबंध में संव्यवहार सामान्य तौर पर विद्युत्-दर अवधि के अन्त में किया जाएगा; तथापि, यदि ऐसी विषमताओं की मात्रा प्रचुर हो तो इनकी समीक्षा टैरिफ अवधि के दौरान भी की जा सकेगी। तथापि, ऐसे प्रकरण में जहां वितरण अनुज्ञप्तिधारी को प्रतिवर्ष अन्तिम रूप से दिनांक 31 अक्टूबर तक उपभोक्ताओं से प्रभारों की वसूली के संबंध में, उक्त अवधि के उपरांत, जिस हेतु उसे आयोग द्वारा पूर्व में वसूली हेतु प्राधिकृत किया जा चुका हो, वहां प्राधिकार का नवीनीकरण चाहे जाने के संबंध में उसे आयोग के समक्ष एक आवेदन प्रस्तुत करना होगा।

8.11 आयोग, वितरण अनुज्ञप्तिधारी की चक्रण गतिविधि को, आवंटन योग्य व्ययों की वसूली के प्रयोजन से प्रत्येक अनुज्ञप्ति क्षेत्र को, एकल क्षेत्र मानेगा तथा तदनुसार प्रत्येक अनुज्ञप्तिधारी हेतु पृथक्-पृथक् चक्रण प्रभारों का अवधारण किया जा सकेगा।

8.12 बाधित तथा अबाधित विद्युत् प्रदाय में भेद किये जाने की उपयोग की परिकल्पना है। आयोग, अनुज्ञप्तिधारी को अबाधित विद्युत् प्रदाय हेतु एक विद्युत्-प्रदाय विश्वसनीयता प्रभार की वसूली बाबत प्राधिकृत कर सकेगा।

8.13 आयोग किसी विशिष्ट अनुज्ञप्तिधारी द्वारा उपभोक्ताओं से वसूलीयोग्य ऊर्जा की प्रति यूनिट औसत लागत का अवधारण उक्त टैरिफ अवधि बाबत अनुज्ञेय की गई वितरण हानियों पर यथोचित विचार करते हुए करेगा।

8.14 अनुमोदित सम्पूर्ण राजस्व आवश्यकता में विद्युत् क्रय लागत, चक्रण व्यय तथा विद्युत् प्रदाय व्यय से संबंधित घटक सम्मिलित होंगे तथा ये वितरण अनुज्ञप्तिधारी से विद्युत् प्रदाय का लाभ प्राप्त कर रहे समस्त उपभोक्ताओं से वसूलीयोग्य होंगे।

8.15 किसी वितरण अनुज्ञप्तिधारी की सम्पूर्ण राजस्व आवश्यकता का अवधारण करते समय, अनुज्ञप्तिधारी विद्युत् लागत के विवरण प्रदान किये जाने के अतिरिक्त, चक्रण (वितरण तन्तुपथ) तथा विद्युत् प्रदाय से संबंधित गतिविधियों के संबंध में, पृथक्-पृथक् लेखांकन विवरण/लागत आवंटन विवरण भी प्रस्तुत करेगा :

(क) ऊर्जा लागत, अर्थात् विद्युत् क्रय लागत को आवंटित मर्दें :

- (एक) विद्युत् क्रय की स्थाई लागत ;
- (दो) विद्युत् क्रय की परिवर्तनीय लागत ;
- (तीन) अन्तर्राज्यीय पारेषण हानियां ;
- (चार) अन्तर्राज्यीय पारेषण प्रभार ;
- (पांच) राज्यान्तरिक पारेषण हानियां ;
- (छह) राज्यान्तरिक पारेषण प्रभार;
- (सात) राज्य भार प्रेषण केन्द्र (एसएलडीसी) प्रभार
- (आठ) विधि अनुसार प्रयोज्य कोई कर या उदग्रहण, तथा
- (नौ) विद्युत् क्रय पर आरोप्य कोई अन्य प्रभार।

(ख) चक्रण गतिविधियों को आवंटन योग्य मर्दों में सम्मिलित होंगे :

- (एक) विद्युत् वितरण-तंत्र की चक्रण गतिविधि से संबंधित प्रचालन तथा संधारण व्यय;
- (दो) चक्रण गतिविधि से चिन्हांकित परिसंपत्तियों पर अवमूल्यन;
- (तीन) यथासंभव अथवा आकल्पन पर आधारित चक्रण गतिविधि से चिन्हांकित परियोजना ऋणों पर ब्याज तथा वित्त प्रभार ;
- (चार) चक्रण गतिविधि से चिन्हांकित कार्यकारी पूंजी पर ब्याज तथा वित्त प्रभार;
- (पांच) चक्रण गतिविधि को विनियोजन योग्य पूंजी पर प्रतिलाभ;
- (छह) पट्टा/भाड़ा क्रय प्रभार; तथा
- (सात) विदेशी विनिमय दर परिवर्तन से संबद्ध घटत-बढ़त अथवा समायोजन की लागत।

(ग) विद्युत् प्रदाय गतिविधि से संबंधित आवंटन योग्य व्ययों में सम्मिलित होंगे :

- (एक) विद्युत् प्रदाय गतिविधि से संबंधित प्रचालन तथा संधारण व्यय;
- (दो) विद्युत् प्रदाय गतिविधि से चिन्हांकित परिसम्पत्तियों के संबंध में अवक्षयण/ अवमूल्यन;

- (तीन) यथासंभव अथवा आकल्पन पर आधारित, विद्युत् प्रदाय गतिविधि से चिन्हांकित परियोजना ऋणों पर ब्याज तथा वित्त प्रभार;
- (चार) विद्युत् प्रदाय गतिविधि से चिन्हांकित कार्यकारी पूंजी पर ब्याज तथा वित्त प्रभार;
- (पांच) उपभोक्ता प्रतिभूति निक्षेप पर ब्याज;
- (छह) विद्युत् प्रदाय गतिविधि को विनियोजनयोग्य पूंजी पर प्रतिलाभ;
- (सात) डूबन्त तथा संदिग्ध ऋण; और
- (आठ) पट्टा/भाड़ा क्रय प्रभार।

8.16 इन विनियमों के अन्य उपबंधों के अध्यक्षीन, किसी वित्तीय वर्ष में अनुज्ञेय किये गये व्यय, जिनकी वसूली अनुज्ञेय किया जाना अपेक्षित हो, अनुवर्ती अवधि हेतु निर्धारित की जाने वाली किसी विद्युत्-दर (टैरिफ) के समायोजन के अध्यक्षीन होंगे, यदि आयोग इस संबंध में सन्तुष्ट हो कि वास्तविक वसूल की गई राशि अथवा किये गये व्यय आधिक्य राशि अथवा राशि में कमी के संबंध में अत्यावश्यक हैं तथा वे विद्युत् वितरण अनुज्ञप्तिधारी पर आरोप्य किसी भी कारण से नहीं हैं अथवा उसके नियंत्रण से बाहर किन्हीं परिस्थितियों के कारणों से हैं।

9. ईंधन लागत समायोजन :

9.1 अधिनियम की धारा 62(4) में किए गए प्रावधान के अनुसार आयोग द्वारा एक ईंधन लागत समायोजन प्रभार सूत्र विनिर्दिष्ट किया जा सकेगा तथा विद्युत्-दर (टैरिफ) को विनिर्दिष्ट किये गये सूत्र के निबंधनों के अन्तर्गत प्रभारित किये जाने हेतु अनुज्ञेय किया जा सकेगा। आयोग सुसंबद्ध वर्ष के विद्युत्-दर आदेश या पृथक् आदेश के द्वारा भी ईंधन लागत समायोजन प्रभार के उद्ग्रहण हेतु एक सूत्र निर्दिष्ट कर सकेगा तथा इस सूत्र में अनुवर्ती परिवर्तन भी कर सकेगा, जैसा कि इसे आवश्यकतानुसार उचित समझा जाए। किसी विद्युत् उत्पादक कंपनी अथवा वितरण अनुज्ञप्तिधारी के संबंध में ईंधन लागत समायोजन प्रभार, जहां इसे अनुज्ञेय किया गया हो, के प्रभाव को उपभोक्ताओं के साथ-साथ खुली पहुंच उपभोक्ताओं से ऐसी विद्युत् प्रदाय की मात्रा के संबंध में, जो कि उनके द्वारा वितरण अनुज्ञप्तिधारी से प्राप्त की गई हो, उनकी खुली पहुंच उपभोक्ता से असंबद्ध वसूल किया जा सकेगा।

9.2 आयोग द्वारा किसी धनात्मक विद्युत् क्रय लागत की वसूली भी त्रैमासिक आधार पर अनुज्ञेय की जा सकेगी। धनात्मक विद्युत् क्रय लागत की गणना सुसंबद्ध वर्ष के टैरिफ आदेश के अंतर्गत इस प्रयोजन हेतु आयोग द्वारा विनिर्दिष्ट सूत्र के अनुसार या पृथक् आदेश के माध्यम से की जाएगी तथा इस सूत्र में अनुवर्ती परिवर्तन किया जा सकेगा, जैसा कि इसे आवश्यकतानुसार उचित समझा जाए। यह धनात्मक विद्युत् क्रय लागत ईंधन लागत समायोजन प्रभार के अतिरिक्त होगी। धनात्मक विद्युत् क्रय लागत मानदण्डीय हानियों पर आधारित होगी तथा इन्हें ऐसी परिस्थितियों में अनुज्ञेय किया जाएगा जहां अनुज्ञप्तिधारी द्वारा विद्युत् क्रय संबंधी कारक उसके नियंत्रण से परे हों तथा इनमें टैरिफ आदेश के अंतर्गत चिन्हांकित किये गये विद्युत् प्रदाय स्रोतों से विद्युत् प्रदाय में कमी परिलक्षित हुई हो जिसके अनुसार अनुज्ञप्तिधारी को विद्युत् का क्रय विद्युत् बाजार या किसी अन्य स्रोत से विद्युत् मांग की पूर्ति के लिये किया जाना अनिवार्य हो गया हो। इसके अंतर्गत टैरिफ आदेश में अनुज्ञेय किये गये विक्रय से अधिक मात्रा में विद्युत् का क्रय टैरिफ आदेश में दर्शाई गई दर से उच्चतर दर पर उपभोक्ताओं को

विक्रय हेतु शामिल होगा जिसके परिणामस्वरूप अतिरिक्त विद्युत् मात्रा का क्रय विद्युत् बाजार या अन्य स्रोतों से किया जाना अनिवार्य हो गया हो।

10. वार्षिक लेखों, प्रतिवेदनों आदि को तैयार करना तथा उनका प्रस्तुतिकरण:

प्रत्येक वितरण अनुज्ञप्तिधारी लेखों का वार्षिक विवरण-पत्र तथा ऐसी अन्य जानकारी, जैसा कि आयोग द्वारा विनिर्दिष्ट किया जाए, प्रस्तुत करेगा। वार्षिक लेखे प्रस्तुत किये जाने के अतिरिक्त, वितरण अनुज्ञप्तिधारी को आयोग द्वारा समय-समय पर अधिसूचित विभिन्न विनियमों एवं अनुज्ञप्ति शर्तों की सूचना संबंधी अर्हताओं का भी परिपालन करना होगा।

11. विद्युत्-दर अवधारण में अंतराल :

किसी वित्तीय वर्ष में, विद्युत्-दर (टैरिफ) अथवा विद्युत्-दर के किसी भी भाग को सामान्यतः एक वर्ष में एक से अधिक बार संशोधित नहीं किया जा सकेगा। आयोग, अपना समाधान हो जाने पर उपरान्त तथा इस हेतु कारण लिखित में अभिलिखित किये जाने के पश्चात् ही, विद्युत्-दर एक वर्ष से कम के अन्तराल में संशोधित किये जाने की अनुमति प्रदान कर सकेगा।

12. सार्वजनिक सुझाव, आपत्तियां तथा सुनवाईयां:

अधिनियम की धारा 64(3) के उपबंधों के अनुसार, आयोग द्वारा सम्पूर्ण राजस्व आवश्यकता तथा विद्युत्-दर (टैरिफ) के अवधारण से पूर्व सार्वजनिक सुझाव तथा आपत्तियां आमंत्रित की जाएंगी। तत्पश्चात्, आयोग यदि उचित समझे तो हितधारकों से प्राप्त किये गये सुझावों तथा आपत्तियों पर सुनवाईयां का आयोजन कर सकेगा तथा उनसे प्राप्त किये गये सुझावों तथा आपत्तियों पर यथोचित विचार करते हुए सम्पूर्ण राजस्व आवश्यकता तथा विद्युत्-दर का निर्धारण कर सकेगा। आयोग आवेदकों की सुनवाई का आयोजन, जब भी आवश्यक समझा जाए, कर सकेगा।

13. याचिका की अभिस्वीकृति तथा आयोग के आदेश:

- 13.1 अनुज्ञप्तिधारी द्वारा सम्पूर्ण राजस्व आवश्यकता/विद्युत्-दर (टैरिफ) अवधारण के साथ-साथ सत्यापन याचिका से संबंधित प्रस्तुत की गई याचिका को एक अनंतिम प्राप्ति क्रमांक आवंटित किया जाएगा। याचिका में प्रस्तुत की गई अपूर्ण जानकारी अथवा वांछित अतिरिक्त जानकारी के संबंध में आयोग द्वारा अनुज्ञप्तिधारी को सूचित किया जाएगा। आयोग द्वारा चाही गई जानकारी को, अनुज्ञप्तिधारी द्वारा निर्दिष्ट की गई समय-सीमा के भीतर प्रस्तुत करना होगा जिसका परिपालन न किये जाने की दशा में याचिका को निरस्त किया जा सकेगा तथा इसे अनुज्ञप्तिधारी को लौटा दिया जाएगा। याचिका को स्वीकारयोग्य उसी दशा में माना जाएगा जब इसे अनुज्ञप्तिधारी द्वारा सम्पूर्ण जानकारी के साथ-साथ अतिरिक्त वांछित जानकारी सहित प्रस्तुत किया गया हो। इस प्रकार स्वीकार की गई याचिका को आयोग द्वारा अन्तिम याचिका क्रमांक आवंटित किया जाएगा तथा अधिनियम की धारा 64(3) के अंतर्गत निर्धारित की गई

समय-सीमा के अंतर्गत याचिका को प्रक्रियाबद्ध किये जाने हेतु विद्युत्-दर (टैरिफ) आदेश जारी किये जाने बाबत पूर्ण माना जाएगा।

13.2 किसी याचिका की अभिस्वीकृति होने पर, आयोग वितरण अनुज्ञप्तिधारी से किसी विशिष्ट जानकारी, विवरण, दस्तावेज/अभिलेख, सार्वजनिक अभिलेख आदि, जैसा कि आयोग उचित समझे, की मांग कर सकेगा ताकि आयोग याचिकाकर्ता द्वारा प्रस्तुत गणनाओं, अनुमानों एवं अभिकथनों की समीक्षा तथा मूल्यांकन हेतु समर्थ हो सके।

13.3 जानकारी प्राप्त होने पर अथवा अन्यथा भी, आयोग सम्पूर्ण राजस्व आवश्यकता/विद्युत्-दर (टैरिफ) की अवधारण प्रक्रिया जारी रखे जाने या फिर आवेदन निरस्त करने के बारे में समुचित आदेश जारी कर सकेगा।

14. अनुमोदित विद्युत्-दर से भिन्न दर पर प्रभारित किये जाने पर कार्यवाही:

किसी वितरण अनुज्ञप्तिधारी के संबंध में, जिसे उपभोक्ताओं से आयोग द्वारा अनुमोदित की गई विद्युत्-दर (टैरिफ) से अधिक प्रभारित करते हुए पाया जाएगा, में यह माना जाएगा कि उसके द्वारा आयोग के निदेशों का परिपालन नहीं किया गया है तथा उसे अधिनियम की धारा 142 के अन्तर्गत तथा अधिनियम के किन्हीं अन्य उपबंधों के अन्तर्गत अनुज्ञप्तिधारी पर शोध्य किसी अन्य दायित्व पर प्रतिकूल प्रभाव डले बिना दण्डित किये जाने की पात्रता होगी। ऐसी दशा में जहां वसूल की गई राशि, आयोग द्वारा अनुज्ञेय की गई राशि से अधिक हो, वहां इस प्रकार अधिक वसूल की गई राशि को उन उपभोक्ताओं को, जिनके द्वारा अधिक राशि का भुगतान किया गया हो, मय उक्त अवधि के साधारण ब्याज के, जिसकी दर भारतीय रिजर्व बैंक की तत्संबंधी वर्ष की दिनांक 1 अप्रैल की स्थिति में बैंक दर के बराबर होगी, प्रत्यर्पण (रिफंड) किया जाएगा।

15. विद्युत्-दर (टैरिफ) आदेश की अवधि के दौरान तथा उसके अन्त में समीक्षा:

15.1 वितरण अनुज्ञप्तिधारी द्वारा विनिर्दिष्ट की गई नियतकालिक विवरणिकाएं प्रस्तुत की जाएंगी जिनमें परिपालन तथा लागत आंकड़े सम्मिलित किये जाएंगे जिससे आयोग को आदेश के कार्यान्वयन का अनुश्रवण किया जाना सुलभ हो सके।

15.2 वितरण अनुज्ञप्तिधारी द्वारा उसके निष्पादन तथा लेखों के वार्षिक विवरण-पत्रों के साथ-साथ अंकेक्षित लेखों के नवीनतम प्रतिवेदन भी आयोग को प्रस्तुत किये जाएंगे।

15.3 विद्युत्-दर (टैरिफ) अवधि के प्रत्येक वर्ष हेतु विद्युत् विक्रयों को हानियों के अनुज्ञेय स्तर द्वारा समेकित किया जाएगा जैसा कि इसे बहुवर्षीय टैरिफ प्रक्षेप-वक्र (ट्रेजेक्टरी) में ऊर्जा क्रय लागत को प्राक्कलित किये जाने हेतु दर्शाया गया हो जो विनियमों के अनुसार न्यायसंगत विद्युत् क्रय मिश्र विचलन के अध्यधीन होगा (उदाहरण के तौर पर अल्प वर्षा की स्थिति में ताप ऊर्जा उत्पादक संयंत्रों से अधिक विद्युत् ऊर्जा की मात्रा क्रय की जा सकेगी)।

15.4 विद्युत्-दर (टैरिफ) अवधि के दौरान कतिपय अन्य अनुमोदित लागतों की किन्ही विषमताओं पर, आयोग द्वारा केवल उसी दशा में विचार किया जाएगा यदि अनुज्ञप्तिधारी आयोग कर यह समाधान करा देना है कि ये विषमताएं उसके युक्तियुक्त नियंत्रण से बाहर परिस्थितियों के

कारण हैं। नियंत्रण-योग्य कारणों के अन्तर्गत विषमताओं पर भी उसी दशा में विचार किया जाएगा यदि इनका अनुज्ञप्तिधारी के व्यापार पर ठोस प्रभाव पड़ता हो।

- 15.5 विद्युत्-दर (टैरिफ) अवधि की समाप्ति से न्यूनतम बारह माह पूर्व, आयोग इन विनियमों में निहित मानदण्डों एवं दीर्घ-अवधि विद्युत्-दर (टैरिफ) सिद्धान्तों की विस्तृत समीक्षा प्रारंभ करेगा।
- 15.6 ऐसी समीक्षा दीर्घ-अवधि सिद्धान्तों के कार्यान्वयन के विश्लेषण के उद्देश्य से तथा मानदण्डों, सिद्धान्तों, प्रक्रियाओं एवं कार्य-विधि में संशोधन अथवा सुधार की दृष्टि से की जाएगी।

अध्याय दो विद्युत्-दर (टैरिफ) अवधारण के सिद्धान्त

16. विद्युत्-दर (टैरिफ) अवधारण संबंधी याचिका :

- 16.1 वितरण अनुज्ञप्तिधारी इन विनियमों के अध्याय-1 कें उपबधों के परिपालन में विद्युत्-दर (टैरिफ) अवधारण हेतु निर्दिष्ट किये गये ऐसे प्ररूपों में संलग्न कर तथा यथासंशोधित मध्यप्रदेश विद्युत् नियामक आयोग(टैरिफ अवधारण के लिये अनुज्ञप्तिधारी अथवा उत्पादन कंपनी द्वारा दिये जाने वाला विवरण एवं आवेदन देने की रीति एवं इसके लिये भुगतान योग्य फीस) विनियम 2004, में निर्दिष्ट किये गये अनुसार आयोग द्वारा इन विनियमों के अंतर्गत निर्दिष्ट सिद्धान्तों के आधार पर एक याचिका दायर करेगा। ये सिद्धान्त दिनांक 01 अप्रैल, 2016 से कार्यान्वित किये जाएंगे तथा 31 मार्च, 2019 तक की अवधि तक लागू रहेंगे।

17. विद्युत्-दर (टैरिफ) अवधारण का आधार:

- 17.1 जैसा कि उल्लेख किया गया है, बहुवर्षीय टैरिफ सिद्धान्त दिनांक 1 अप्रैल, 2016 से तीन वर्षों की अवधि हेतु लागू रहेंगे तथा वितरण अनुज्ञप्तिधारियों को तदनुसार टैरिफ निर्धारण अवधि हेतु निर्धारित समय-सीमा के भीतर अपने प्रस्ताव अनिवार्य रूप से प्रस्तुत करने होंगे।
- 17.2 आयोग द्वारा प्रति वर्ष विद्युत् चक्रण तथा विद्युत् प्रदाय व्यय अवधारित किये जाएंगे। इसके अतिरिक्त, आयोग द्वारा विद्युत् वितरण तंत्र हेतु वांछित अप्रत्याशित अतिरिक्त तथा असाधारण निवेश के कारण चक्रण तथा व्ययों में किसी परिवर्तन को सत्यापन आवेदनों के प्राप्त होने पर अनुज्ञेय किया जा सकेगा।

18. नियन्त्रणीय तथा अनियन्त्रणीय कारक :

- 18.1 "अनियन्त्रणीय कारको" में निम्न कारकों शामिल किये जाएंगे, जो अनुज्ञप्तिधारी के नियंत्रण से बाहर किन्हीं परिस्थितियों के कारण हैं तथा जिनका निराकरण अनुज्ञप्तिधारी द्वारा किया जाना संभव न हो :

- (क) अपरिहार्य आपदा घटनाएं, जैसे कि युद्ध, अग्नि काण्ड, प्राकृतिक आपदाएं आदि;
- (ख) कानून में परिवर्तन;
- (ग) कर तथा शुल्क ;

- (घ) विक्रयों में विषमता तथा;
- (ङ.) इन विनियमों की सुसंगत धाराओं में निर्दिष्ट परिस्थितियों के अंतर्गत विद्युत् उत्पादन और/या विद्युत् क्रय की लागत में विषमता
- 18.2 आवेदक के निष्पादन के अंतर्गत कुछ निदर्शी विषमताएं या प्रत्याशित विषमताएं जिन्हें आयोग द्वारा नियन्त्रणीय कारकों से संबद्ध माना जा सकता है, में निम्न कारकों को शामिल किया जा सकता है, जो मात्र निम्न तक ही सीमित नहीं होंगे :
- (क) पूंजीगत व्यय परियोजना के क्रियान्वयन में समय और/या लागत आधिक्यों /दक्षताओं से उद्भूत किसी पूंजीगत व्यय में विषमताएं जो ऐसी परियोजना में किसी अनुमोदित परिवर्तन के फलस्वरूप वैधानिक उद्ग्रहणों अथवा विशेष आकस्मिक घटनाओं में परिवर्तन पर आरोप्य न हों;
- (ख) समग्र तकनीकी तथा वाणिज्यिक हानियों में विषमताएं जिनका मापन विद्युत् वितरण प्रणाली द्वारा निवेशित की गई यूनिट संख्या तथा वसूल की गई यूनिट संख्या (बिल किये गये तथा संग्रहीत की गई यूनिट संख्या) के अंतर के रूप में किया जाएगा, जिसके अंतर्गत वसूल किये गये यूनिटों का मूल्य बिल की गई यूनिट संख्या तथा संग्रहण दक्षता का गुणनफल होगा (जहां संग्रहण दक्षता का मापन उक्त वर्ष के दौरान वसूल किये गये राजस्व तथा कुल बिल किये गये राजस्व अनुपात के रूप में किया जाएगा);
- (ग) वितरण हानियां, जिनका मापन उसके अनुज्ञप्ति क्षेत्र में, उक्त वर्ष में विक्रय हेतु उसके समस्त उपभोक्ताओं को विक्रय के संबंध में कुल ऊर्जा के निवेश तथा बिल की गई कुल ऊर्जा के योग के अंतर के रूप में किया जाएगा;
- (घ) पूंजी पर प्रतिलाभ, अवमूल्यन तथा कार्यकारी पूंजी की आवश्यकताओं में विषमताएं;
- (ङ.) अनुपालन मानदण्ड विनियमों के अंतर्गत विनिर्दिष्ट मानदण्डों के अनुपालन में विफलता, केवल उन्हें छोड़कर जहां छूट प्रदान की गई हो;
- (च) प्रचालन तथा संधारण व्ययों में अंतर, केवल उन्हें छोड़कर, जो आयोग के दिशा-निर्देशों के अंतर्गत आते हों, तथा
- (छ) तन्तुपथों तथा विद्युत् प्रदाय की उपलब्धता में विषमता ।
19. अनियन्त्रणीय कारकों के कारण लाभों तथा हानियों का अंतरण किये जाने संबंधी क्रियाविधि
- अनियन्त्रणीय कारकों के कारण वितरण अनुज्ञप्तिधारी को अनुमोदित लाभ अथवा हानि का अंतरण, वितरण अनुज्ञप्तिधारी के टैरिफ में समायोजन के रूप में किया जाएगा जैसा कि आयोग द्वारा इन विनियमों के अंतर्गत पारित आदेश में अवधारित किया जाए।
20. पूंजीगत लागत एवं पूंजीगत संरचना :
- 20.1 किसी परियोजना की पूंजीगत लागत में निम्नलिखित सम्मिलित होंगे :

(क) कार्य के मूल प्रावधान के अनुसार किया गया व्यय अथवा जिसे व्यय किया जाना प्रक्षेपित किया गया हो, जिसमें निर्माण अवधि के दौरान ब्याज तथा वित्तीय प्रबंधन प्रभार सम्मिलित होंगे परंतु प्रारंभिक पूंजीगत कलपुर्जे तथा परियोजना की वाणिज्यिक प्रचालन तिथि तक विदेशी विनिमय दर परिवर्तन के कारण कोई लाभ तथा हानि, जैसा कि ये आयोग द्वारा युक्तियुक्त परीक्षण के उपरान्त स्वीकार किये गये हों, शामिल न होंगे, विद्युत्-दर (टैरिफ) अवधारण का आधार बनेंगे।

(ख) निम्नलिखित उच्चतम मानदण्डों के अध्यक्षीन रहते हुए प्रारंभिक कल-पुर्जों की पूंजीगत राशि :-

(एक) तन्तुपथ -मूल परियोजना लागत का 0.75%

(दो) उपकेन्द्र - मूल परियोजना लागत का 2.5%

(तीन) अन्य यन्त्र, जैसे कि कैपेसिटर, आदि-मूल परियोजना लागत का 3.5%

20.2 आयोग द्वारा युक्तियुक्त परीक्षण के उपरान्त अनुज्ञेय की गई पूंजीगत लागत ही विद्युत्-दर (टैरिफ) अवधारण का आधार बनेगी। युक्तियुक्त परीक्षण में पूंजीगत व्यय, का सूक्ष्म परीक्षण वित्तीय प्रबंधन योजना, निर्माण अवधि के दौरान ब्याज, दक्ष प्रौद्योगिकी का प्रयोग, लागत-आधिक्य तथा समय-आधिक्य तथा ऐसे अन्य विषय जिन्हें आयोग द्वारा विद्युत्-दर (टैरिफ) के अवधारण हेतु उपयुक्त पाया जाए, शामिल होंगे:

परन्तु यह कि विद्यमान परियोजनाओं की दशा में, दिनांक 1.4.2016 से पूर्व स्वीकार की गई पूंजीगत लागत, पूंजीगत लागत के अवधारण का आधार बनेगी।

20.3 पूंजी (इक्विटी) एवं ऋण के आनुपातिक अंशदान के संबंध में पूंजीगत लागत की पुनर्संरचना को विद्युत्-दर (टैरिफ) अवधि के दौरान अनुज्ञेय किया जा सकेगा, बशर्ते यह विद्युत्-दर (टैरिफ) पर प्रतिकूल प्रभाव न डाले। इस प्रकार की गई पुनर्संरचना द्वारा प्राप्त किसी लाभ को उपभोक्ताओं के मध्य अन्तरित कर दिया जाएगा जैसा कि आयोग द्वारा इस बाबत निर्दिष्ट किया जाए।

21. ऋण-पूंजी अनुपात :

21.1 विद्युत्-दर (टैरिफ) अवधारण के प्रयोजन से पूर्ण रूप से निर्मित की गई परिसम्पत्तियों हेतु कुल लगाई गई पूंजी पर ऋण-पूंजी अनुपात विनियम 21.2 के अध्यक्षीन 70:30 होगा। इस विनियम के अनुसार मूल्यांकित की गई ऋण-पूंजी राशि को ऋण पर ब्याज, पूंजी पर प्रतिलाभ, अवमूल्यन तथा विदेशी विनिमय दर परिवर्तन की गणना हेतु प्रयोग किया जाएगा।

21.2 किसी परियोजना हेतु जिसे दिनांक 1.4.2016 को अथवा तत्पश्चात् वाणिज्यिक प्रचालन के अंतर्गत घोषित किया जाए, यदि वास्तविक रूप से लगाई गई पूंजी, पूंजीगत लागत से 30 प्रतिशत से अधिक हो तो 30 प्रतिशत से अधिक पूंजी को मानदण्डीय ऋण माना जाएगा :

परन्तु जहां वास्तविक रूप से नियोजित की गई पूंजी, पूंजीगत लागत से 30 प्रतिशत कम हो ऐसी दशा में विद्युत्-दर (टैरिफ) अवधारण हेतु वास्तविक पूंजी को ही मान्य किया जाएगा :

परन्तु यह भी कि विदेशी मुद्रा में निवेश की गई पूंजी को प्रत्येक निवेश तिथि को भारतीय रुपयों में निर्दिष्ट किया जाएगा।

व्याख्या : वितरण अनुज्ञप्तिधारी द्वारा परियोजना के निधीयन हेतु उसकी मुक्त संचिति में से सृजित आन्तरिक स्त्रोतों की अंशपूँजी तथा पूँजी निवेश जारी करते समय अधिमूल्य (प्रीमियम) राशि, यदि कोई हो, की पूँजी पर प्रतिलाभ की गणना हेतु चुकाई गई पूँजी के रूप में गणना की जाएगी परन्तु प्रतिबन्ध यह होगा कि ऐसी अधिमूल्य (प्रीमियम) राशि तथा आन्तरिक स्त्रोतों को वितरण प्रणाली के पूँजीगत व्यय की पूर्ति हेतु वास्तविक रूप से उपयोग में लाए गए हों।

- 21.3 ऐसी दशा में, जहां विद्युत् वितरण प्रणाली को दिनांक 1.4.2016 से पूर्व वाणिज्यिक प्रचालन के अन्तर्गत घोषित किया गया हो, आयोग द्वारा दिनांक 31.3.2016 को समाप्त होने वाली अवधि के अन्तर्गत विद्युत्-दर (टैरिफ) के अवधारण हेतु अनुज्ञेय किये गये ऋण-पूँजी अनुपात को ही मान्य किया जाएगा।
- 22. कार्यकारी पूँजी :**
- 22.1 अनुज्ञप्तिधारी की विद्युत् प्रदाय गतिविधि हेतु कार्यकारी पूँजी में निम्नलिखित शामिल होंगे :
- (एक) औसत बिलिंग के दो माह के बराबर प्राप्य सामग्रियों में से एक माह की विद्युत् क्रय लागत तथा कोई उपभोक्ता प्रतिभूति राशि का योग घटा कर,
- (दो) एक माह के प्रचालन एवं संधारण व्यय, तथा
- (तीन) पूर्व वर्ष की वार्षिक आवश्यकता पर आधारित दो माह की अवधि हेतु सामग्री की सूची (इन्वेंटरी) [विद्युत् प्रदाय गतिविधि में विशेष रूप से मापयंत्र (मीटर), मापयंत्र उपकरण तथा जांच उपकरण सुसंगत होंगे]
- 22.2 अनुज्ञप्तिधारी की चक्रण गतिविधि हेतु कार्यकारी पूँजी में निम्नलिखित शामिल होंगे :
- (एक) एक माह के प्रचालन एवं संधारण व्यय, तथा
- (दो) दो माह की अवधि हेतु सामग्री की सूची (इन्वेंटरी) (मापयंत्रों, आदि को छोड़कर जिन्हें विद्युत् प्रदाय गतिविधि का भाग माना गया है) जो वार्षिक आवश्यकता पर आधारित होगी तथा जिसे पूर्व वर्ष की सकल स्थायी परिसम्पत्तियों के एक प्रतिशत की दर से माना जाएगा।
- 22.3 उपरोक्त दर्शाये गये मानदण्ड विद्युत्-दर (टैरिफ) अवधि के प्रत्येक वर्ष हेतु प्रयोज्य होंगे।
- 23. पूँजी निवेश योजना :**
- 23.1 वितरण अनुज्ञप्तिधारी अपनी सम्पूर्ण राजस्व आवश्यकता याचिका के साथ विभिन्न पूँजीगत व्यय योजनाओं से संबद्ध एक विस्तृत पूँजी निवेश योजना, तथा वित्त-प्रबंधन योजना भौतिक लक्ष्यों को दर्शाते हुए, भार में अभिवृद्धि, वितरण हानियों में कमी, विद्युत् प्रदाय की गुणवत्ता में सुधार, विश्वसनीयता, मीटरीकरण की आवश्यकताओं आदि की पूर्ति हेतु प्रस्तुत करेगा।

23.2 पूंजीगत निवेश योजना में पृथक् से निर्माणाधीन परियोजनाओं संबंधी विवरण, सुसंगत तकनीकी एवं वाणिज्यिक विवरण के साथ, जिनका कार्य विचाराधीन आगामी वर्ष के दौरान भी जारी रहेगा तथा इसके साथ नवीन परियोजनाएं (औचित्य दर्शाते हुए) जो टैरिफ अवधि में प्रारंभ तो की जाएंगी परन्तु टैरिफ अवधि के अंतर्गत अथवा उसके उपरांत ही पूर्ण की जा सकेंगी, दर्शाई जाएंगी।

23.3 अनुमोदित पूंजीनिवेश हेतु ऋण तथा पूंजी (इक्विटी) का अनुपात विनियम 21 के अनुरूप होगा।

24. विक्रयों का प्राक्कलन :

24.1 विद्युत्-दर (टैरिफ) अवधि के प्रत्येक वर्ष हेतु विक्रय का प्राक्कलन पूर्व के तीन वर्षों के श्रेणीवार तथा खण्डवार विद्युत् के विक्रय, उपभोक्ता संख्या, संयोजित/ संविदाकृत भार, आदि के वास्तविक/अंकेक्षित आंकड़ों पर आधारित होगा जिसके साथ अन्य सुसंबद्ध कारकों अथवा कार्यान्वित अध्ययनों पर भी विचार किया जाएगा जिनका परिणाम विक्रयों के आकलन में विषमताओं से लेकर वास्तविक/अंकेक्षित आंकड़ों के रूप में प्रकट हो सकते हों। विषमताओं से संबंधित कारणों के वास्तविक रुझानों को अनुज्ञप्तिधारी द्वारा यथोचित औचित्यों के साथ आयोग के विचारार्थ प्रस्तुत किया जाएगा। विद्युत्-दर अवधि हेतु उपरोक्त उल्लेखित मानदण्डों के वर्षवार प्रक्षेपण भी टैरिफ याचिका के साथ उपलब्ध कराये जाएंगे।

24.2 पूर्व वर्षों में उपभोक्ताओं की संख्या में वृद्धि, विद्युत् खपत, विद्युत् की मांग तथा पूर्व वर्षों में हानियों में कमी के रुझान के औचित्य तथा आगामी वर्षों में प्रत्याशित वृद्धि तथा अन्य कोई कारक, जो कि आयोग द्वारा सुसंगत समझे जाएं, का परीक्षण आयोग द्वारा किया जा सकेगा तथा अनुवर्ती रूप से अनुज्ञप्तिधारियों द्वारा अधिप्राप्त की जाने वाली विद्युत् की अनुमानित मात्रा का मय ऐसे संशोधनों के जो उचित समझे जाएं, विद्युत्-दर के अवधारण हेतु अनुमोदन किया जाएगा।

24.3 ऐसे किसी प्राक्कलन के प्रयोजन हेतु वितरण अनुज्ञप्तिधारी को निम्नानुसार दर्शाई गई दर्शाये अनुसार जानकारी प्रस्तुत करनी होगी:

(क) उसकी प्रणाली का उपयोग कर रहे श्रेणीवार खुली पहुंच के उपभोक्ताओं, व्यापारियों तथा अन्य अनुज्ञप्तिधारियों की संख्या। उपभोक्ताओं के संबंध में मांग तथा चक्रित ऊर्जा निम्नानुसार पृथक्-पृथक् दर्शाई जाएगी :

(एक) विद्युत् प्रदाय क्षेत्र के भीतर; तथा

(दो) विद्युत् प्रदाय क्षेत्र के बाहर

(ख) विद्युत् व्यापारियों अथवा अन्य वितरण अनुज्ञप्तिधारियों हेतु विद्युत् का विक्रय, यदि कोई हो, तो इसका पृथक् से उल्लेख किया जाएगा।

24.4 वितरण अनुज्ञप्तिधारी द्वारा अमीटकरीकृत उपभोक्ता श्रेणियों की विद्युत् खपत को वितरण ट्रांसफार्मर मीटरीकरण के माध्यम से प्रतिनिधि नमूने/अंकेक्षण आदि द्वारा प्रमाणित करना होगा।

ऐसे ऊर्जा अंकेक्षण/प्रतिनिधि नमूनों/वितरण ट्रांसफार्मर मीटरीकरण, आदि के अभाव में, वितरण अनुज्ञप्तिधारियों के दावे को स्वीकार नहीं किया जाएगा तथा ऐसी दशा में विद्युत् की खपत का प्राक्कलन ऐसे मानदण्डों पर आधारित होगा जैसा कि आयोग द्वारा उचित समझा जाए।

24.5 आयोग वितरण अनुज्ञप्तिधारी को निम्नलिखित के संबंध में एक स्वतंत्र अध्ययन हेतु निर्देशित कर सकेगा :

- (एक) मापयंत्रों की प्रामाणिकता की वस्तुस्थिति, मीटरीकृत उपभोक्ताओं के भार तथा उपभोक्ताओं की श्रेणी के वर्गीकरण का विधिमान्यकरण ;
- (दो) अमीटरीकृत उपभोक्ता क्षेत्रों के अंतर्गत विद्युत् की खपत का यादृच्छिक नमूना आधार पर निर्धारण करना;
- (तीन) किसी चयनित नमूना क्षेत्र के अंतर्गत स्थापित प्रत्येक विवरण ट्रांसफार्मर पर स्थापित किये गये मीटरों पर आधारित कृषि संभरकों द्वारा विद्युत् खपत के आधार पर निर्धारण;
- (चार) पृथक्कृत कृषि संभरकों के माध्यम से उपकेन्द्र पर, संभरक के आहरण बिन्दु पर मीटरों की स्थापना द्वारा तथा भार प्रवाह अध्ययनों के आधार पर तकनीकी हानियों का अवधारण करना तथा तदनुसार कृषि संबंधी विद्युत् खपत का अवधारण करना।

24.6 आयोग द्वारा मीटरीकृत तथा अमीटरीकृत खपत को स्थापित करने/प्रमाणित किये जाने के प्रयोजन से किये जाने वाले अध्ययनों के बारे में उसकी विधि तथा क्रियाविधि के बारे में निर्देश प्रदान किये जा सकेंगे । आयोग द्वारा, तदनुसार, अमीटरीकृत खपत हेतु मानदण्डों की समीक्षा की जा सकेगी तथा उसके द्वारा वितरण अनुज्ञप्तिधारी को अग्रिम कार्यवाही किये जाने संबंधी निर्देश प्रदान किये जा सकेंगे जैसा कि उपयुक्त समझा जाए।

25. वितरण हानियां :

25.1 आयोग द्वारा पिछली नियंत्रण अवधि हेतु समस्त सुसंगत कारकों पर यथोचित विचार करते हुए समस्त हितधारकों से परामर्श द्वारा, समस्त अनुज्ञप्तिधारियों तथा म.प्र. शासन को सम्मिलित करते हुए, वितरण हानियों के प्रक्षेप-वक्र पर विचार किया गया था। आयोग के संज्ञान में है कि पूंजीगत निवेश की विशाल राशि के माध्यम से कार्यों का निष्पादन किया जा रहा है तथा इसके इन विनियमों की नियंत्रण अवधि के अंतर्गत भविष्य में पूर्ण किये जाने की आशा की जाती है। आयोग का यह दृष्टिकोण है कि इस पूंजीगत व्यय के माध्यम से वितरण हानियों में उल्लेखनीय कमी की जा सकेगी। इन विनियमों की नियंत्रण अवधि के अंतर्गत मानदण्डीय वितरण हानि स्तर प्रक्षेत्र-वक्र निम्न तालिका में प्रदर्शित किया गया है :

सरल क्रमांक	वितरण अनुज्ञप्तिधारी	वित्तीय वर्ष 2016-17	वित्तीय वर्ष 2017-18	वित्तीय वर्ष 2018-19
1	पूर्व क्षेत्र विद्युत् वितरण कंपनी	18%	17%	16%

2	पश्चिम क्षेत्र विद्युत् वितरण कंपनी	16%	15.5%	15%
3	मध्य क्षेत्र विद्युत् वितरण कंपनी	19%	18%	17%
4	विशेष आर्थिक परिक्षेत्र, पीथमपुर	2.0%	1.9%	1.8%

- 25.2 यदि वितरण अनुज्ञप्तिधारी द्वारा हानियों को कम किये जाने में तीव्र गति लाई जाती है तथा इस प्रकार यदि वह विद्युत् क्रय पर होने वाले व्ययों में बचत करता हो तो इस प्रकार प्राप्त किये गये लाभ अनुज्ञप्तिधारी द्वारा उनकी परिचालन प्रक्रिया को प्रोत्साहित किये जाने हेतु अपने स्वयं के पास धारित रखा जाना अनुज्ञेय किया जाएगा।
- 25.3 विद्युत् वितरण अनुज्ञप्तिधारी द्वारा ऊर्जा अंकेक्षणों के माध्यम से तकनीकी तथा वाणिज्यिक हानियों के पृथक्करण हेतु एक समयबद्ध कार्यक्रम तैयार किया जाना चाहिए।
26. **विद्युत् क्रय की आवश्यकता एवं उपलब्धता का प्राक्कलन :**
- 26.1 प्रत्येक वर्ष के अनुमानित विक्रय को मानदण्डीय वितरण हानियों के अनुसार समेकित किया जाएगा जिसके अनुसार उक्त वर्ष हेतु अनुज्ञप्तिधारी की विद्युत् क्रय आवश्यकता का आंकड़ा विद्युत्-दर (टैरिफ) के अवधारण के प्रयोजन हेतु प्राप्त किया जाएगा। विनिर्दिष्ट वितरण हानियों के अतिरिक्त, उक्त वर्ष हेतु दोनों अन्तर्राज्यीय तथा राज्यान्तरिक वितरण प्रणालियों हेतु वितरण हानियों को भी अनुज्ञेय किया जाएगा।
- 26.2 विद्युत् वितरण अनुज्ञप्तिधारी विद्युत् क्रय आवश्यकता का प्रक्षेपण, ऊर्जा दक्षता तथा मांग परक प्रबंधन योजनाओं के अंतर्गत निर्धारित किये गये लक्ष्यों के प्रभाव पर विचार करते हुए करेंगे।
- 26.3 विद्युत् वितरण कम्पनीवार विद्युत् की उपलब्धता म.प्र. शासन द्वारा समय-समय पर अधिसूचित आवंटन के अनुसार होगी। समग्र उपलब्धता का अवधारण करते समय, कैप्टिव विद्युत् संयंत्रों तथा किसी अन्य स्रोत से उपलब्धता पर भी विचार किया जाएगा।
- 26.4 इसके अतिरिक्त, आयोग ने अधिनियम की धारा 86(1)(ई) द्वारा अपेक्षित किए गए अनुसार, वितरण अनुज्ञप्तिधारियों द्वारा अपारम्परिक/नवकरणीय ऊर्जा स्रोतों से क्रय की जाने वाली विद्युत् की मात्रा भी निर्दिष्ट की है। विद्युत् की समग्र आवश्यकता में ऐसे स्रोतों से विद्युत् की उपलब्धता को भी शामिल किया जाएगा।
27. **विद्युत् क्रय की लागत का प्राक्कलन :**
- 27.1 विद्युत् उत्पादक केन्द्रों से विद्युत् क्रय की लागत समुचित आयोग द्वारा समय-समय पर अवधारित/अनुमोदित विद्युत्-दर (टैरिफ) पर आधारित होगी तथा नाभिकीय विद्युत् केन्द्रों की दशा में भारत सरकार द्वारा की जाएगी।
- 27.2 मध्यप्रदेश राज्य द्वारा अन्य राज्यों के सहयोग से निष्पादित की गई परियोजनाओं के संबंध में, आयोग टैरिफ का अवधारण अन्य संबंधित विद्युत् नियामक आयोगों के परामर्श से करेगा, जहां इस दायित्व को केन्द्रीय विद्युत् नियामक आयोग को सौंपा न गया हो।

- 27.3 अन्य विद्युत् उत्पादक कंपनियों, व्यापारियों तथा अन्य वितरण अनुज्ञप्तिधारियों से क्रय की गई विद्युत् लागत, आयोग द्वारा अनुमोदित विद्युत् क्रय अनुबंधों तथा व्यापारिक व्यवस्थाओं के अन्तर्गत इस शर्त के अध्वधीन की जाएगी कि वितरण अनुज्ञप्तिधारी आयोग से ऐसी व्यवस्थाओं के संबंध में समुचित विनियमों के अनुसार पूर्व अनुमोदन प्राप्त करें।
- 27.4 किसी वितरण अनुज्ञप्तिधारी के विद्युत् उत्पादक संयंत्र द्वारा उत्पादित विद्युत् की लागत तथा उपभोक्ताओं को किया गया इसका विक्रय आयोग द्वारा अवधारित विद्युत्-दर (टैरिफ) पर आधारित होगा।
- 27.5 कैप्टिव विद्युत् संयंत्रों से अधिप्राप्त की गई विद्युत् की लागत आयोग द्वारा समय-समय पर निर्धारित की जाएगी।
- 27.6 विद्युत् वितरण अनुज्ञप्तिधारियों द्वारा ऊर्जा के अपारंपरिक/नवकरणीय ऊर्जा स्रोतों से क्रय की जाने वाली विद्युत् की लागत आयोग द्वारा समय-समय पर अवधारित किए गए अनुसार होगी। विद्युत् की अधिप्राप्ति की लागत का प्राक्कलन करते समय अनुज्ञप्तिधारी द्वारा यह लागत सम्पूर्ण राजस्व आवश्यकता में शामिल की जाएगी।
- 27.7 अनुज्ञप्तिधारी द्वारा किसी वर्ष में क्रय की गई ऊर्जा से संबंधित किसी वित्तीय हानि, को, जो हानियों के मानदण्डीय स्तर से अधिक अतिरिक्त हानियों की पूर्ति हेतु व्यय की गई हो, अनुज्ञप्तिधारी द्वारा वहन किया जाएगा।
28. पारेषण अनुज्ञप्तिधारियों को भुगतानयोग्य प्रभार :
- 28.1 राज्य के बाहर से क्रय की गई विद्युत् हेतु केन्द्रीय पारेषण अनुज्ञप्तिधारियों की पारेषण प्रणाली का उपयोग किये जाने पर, पारेषण प्रभारों को केन्द्रीय विद्युत् नियामक आयोग द्वारा समय-समय पर जारी आदेशों के अनुसार मान्य किया जाएगा।
- 28.2 राज्य पारेषण अनुज्ञप्तिधारी की राज्य पारेषण प्रणाली के उपयोग हेतु पारेषण प्रभार तथा राज्य भार प्रेषण केन्द्र (एसएलडीसी) प्रभार आयोग द्वारा समय-समय पर इस संबंध में जारी आदेशों के अनुसार देय होंगे।
29. वितरण विद्युत्-दर :
- विद्युत् के वितरण हेतु विद्युत्-दर (टैरिफ) में विद्युत् क्रय लागत, चक्रण लागत तथा विद्युत् प्रदाय लागत सम्मिलित होगी जिसके घटक विनियम 8.15 में विनिर्दिष्ट अनुसार होंगे।
30. पूंजी पर प्रतिलाभ :
- 30.1 पूंजी पर प्रतिलाभ की गणना, चुकाई गई पूंजी पर, रूपयों में, विनियम 21 के अनुसार की जाएगी।
- 30.2 पूंजी पर प्रतिलाभ की गणना 16% की दर पर पूर्व-कर आधार पर की जाएगी। आयकर के भुगतान पर किये गये व्ययों को वितरण अनुज्ञप्तिधारी के अनुज्ञप्ति-प्राप्त व्यापार पर वास्तविक आधार पर अतिरिक्त रूप से अनुज्ञेय किया जाएगा।

- 30.3 पूंजीगत अंशदान जारी करते समय अनुज्ञप्तिधारी द्वारा उगाही किये गये अधिमूल्य (प्रीमियम) एवं सुरक्षित कोष से सृजित आंतरिक संसाधनों का निवेश, यदि कोई हो, की गणना चुकाई गई पूंजी पर बतौर पूंजी(इक्विटी) पर प्रतिलाभ के अनुरूप इस शर्त पर की जाएगी कि ऐसी अधिमूल्य (प्रीमियम) राशि एवं आंतरिक संसाधन वास्तविक तौर पर पूंजीगत व्यय की पूर्ति हेतु उपयोग किये जाएंगे तथा अनुमोदित वित्तीय संवेष्टन (पैकेज) का भाग बनेंगे। प्रतिलाभ की गणना के प्रयोजन हेतु, पूंजीगत व्यय की प्रतिपूर्ति हेतु सुरक्षित कोष के भाग को उस तिथि से, जब से वह विद्युत् वितरण व्यापार में उत्पादकता हेतु प्रयुक्त किया गया हो, माना जाएगा
31. ऋण पूंजी पर ब्याज तथा वित्तीय प्रभार :
- 31.1 ऋण पर ब्याज की गणना के प्रयोजन हेतु विनियम 21 में दर्शाई गई विधि अनुसार प्राप्त किये गये ऋण ही सकल मानदण्डीय ऋण माने जाएंगे।
- 31.2 दिनांक 1.4.2016 की स्थिति में बकाया मानदण्डीय ऋणों की गणना आयोग द्वारा दिनांक 31.3.2016 तक अनुज्ञेय किये गये सकल मानदण्डीय ऋण में से संचिति अदायगी को घटाकर की जायेगी।
- 31.3 विद्युत्-दर (टैरिफ) अवधि 2016-17 से 2018-19 के प्रत्येक वर्ष हेतु अदायगी को उक्त वर्ष हेतु अनुज्ञेय किये गये अवमूल्यन के बराबर माना जाएगा।
- 31.4 वितरण अनुज्ञप्तिधारी द्वारा भले ही कोई भी विलम्बकाल अवधि का लाभ प्राप्त किया गया हो, ऋण की अदायगी को परियोजना के वाणिज्यिक प्रचालन के प्रथम वर्ष से ही माना जाएगा तथा यह वार्षिक अनुज्ञेय किये गये अवमूल्यन के बराबर होगा।
- 31.5 ब्याज की दर, ब्याज की भारित औसत दर के बराबर होगी, जिसकी गणना, परियोजना हेतु प्रयोज्य प्रत्येक वर्ष के प्रारम्भ में वास्तविक ऋण की श्रेणी के आधार पर की जाएगी :
- परन्तु यदि किसी विशिष्ट वर्ष हेतु कोई वास्तविक ऋण न हो तथा यदि मानदण्डीय ऋण अभी भी बकाया हो तो ऐसी दशा में अन्तिम उपलब्ध भारित औसत ब्याज दर मानी जाएगी :
- परन्तु आगे यह और कि यदि वितरण प्रणाली में वास्तविक ऋण लंबित न हो तो ऐसी दशा में वितरण अनुज्ञप्तिधारी की समग्र रूप से भारित औसत ब्याज दर मानी जाएगी।
- 31.6 ऋण पर ब्याज की गणना वर्ष के मानकीकृत औसत ऋण पर भारित औसत ब्याज दर की प्रयुक्ति द्वारा की जाएगी।
- 31.7 वितरण अनुज्ञप्तिधारी ऋण की पुनर्वित्त व्यवस्था हेतु समस्त संभव प्रयास करेगा जब तक यह ब्याज पर सकल बचतों में परिणत हो तथा ऐसी दशा में ऐसी पुनर्वित्त व्यवस्था हेतु संबद्ध लागतों को उपभोक्ताओं द्वारा वहन किया जाएगा तथा इस प्रकार की गई सकल बचत को उपभोक्ताओं तथा वितरण अनुज्ञप्तिधारी के मध्य 2 : 1 के अनुपात में विभाजित किया जाएगा।

31.8 ऋणों के निबंधनों तथा शर्तों में किये गये परिवर्तनों को इस प्रकार की गई पुनर्वित्त व्यवस्था की तिथि से दर्शाया जाएगा।

31.9 अनुज्ञापिधारी के पास जमा किये गये प्रतिभूति निक्षेपों पर ब्याज प्रभारों को आयोग द्वारा समय-समय पर विनिर्दिष्ट की गई दर पर मान्य किया जाएगा।

32. अवमूल्यन या अवक्षयण :

32.1 विद्युत्-दर (टैरिफ) के प्रयोजन हेतु, अवमूल्यन या अवक्षयण की गणना निम्न विधि द्वारा की जाएगी :

(क) परिसम्पत्तियों की पूंजीगत लागत, अवमूल्यन के प्रयोजन हेतु मूल्य आधार होगा जैसा कि आयोग द्वारा इसे अनुज्ञेय किया जाए।

(ख) अनुमोदित/स्वीकृत लागत में विदेशी मुद्रा का निधीयन शामिल होगा जिसे वास्तविक तिथि को प्राप्त की गई विदेशी मुद्रा पर प्रचलित विनिमय दर पर समतुल्य रूप्यों में परिवर्तित किया जाएगा।

(ग) परिसम्पत्ति का उपादेय मूल्य 10 प्रतिशत माना जाएगा तथा परिसम्पत्ति की पूंजीगत लागत के अधिकतम 90 प्रतिशत तक अवमूल्यन अनुज्ञेय किया जाएगा।

(घ) पट्टे पर ली गई भूमि के अतिरिक्त किसी भी भूमि को अवमूल्यनयोग्य परिसम्पत्ति नहीं माना जाएगा तथा परिसम्पत्ति के अवमूल्यनयोग्य मूल्य की गणना करते समय इसकी लागत को पूंजीगत लागत में शामिल नहीं किया जाएगा।

(ङ) अवमूल्यन की गणना प्रतिवर्ष "सरल रेखा विधि" के आधार पर तथा वितरण प्रणाली की उन परिसम्पत्तियों हेतु जो दिनांक 31.03.2016 के उपरान्त वाणिज्यिक प्रचालन हेतु घोषित की जाएं, परिशिष्ट-2 में विनिर्दिष्ट दरो के अनुसार की जाएगी :

परन्तु वर्ष के 31 मार्च की स्थिति में अवशेष अवमूल्यनयोग्य मूल्य को वाणिज्यिक प्रचालन तिथि के 12 वर्षों की अवधि के उपरान्त परिसम्पत्तियों के शेष उपयोगी जीवनकाल के अन्तर्गत प्रसारित कर दिया जाएगा :

परन्तु यह और कि परिसम्पत्ति के सृजन हेतु उपभोक्ता के अंशदान अथवा पूंजीगत सहायतानुदान/अनुदान आदि को आयोग द्वारा समय-समय पर जारी की गई अधिसूचना के अनुसार संव्यवहारित किया जाएगा।

(च) विद्यमान परियोजनाओं की दशा में, दिनांक 1.4.2016 की स्थिति में शेष अवमूल्यन मूल्य की गणना आयोग द्वारा दिनांक 31.3.2016 तक स्वीकार की गई परिसम्पत्तियों के सकल अवमूल्यनयोग्य मूल्य में अवमूल्यन के विरुद्ध अग्रिम राशि को सम्मिलित कर में से संचयी अवमूल्यन को घटाकर की जाएगी। अवमूल्यन दर को परिशिष्ट-2 में विनिर्दिष्ट दर पर प्रभारित किया जाना जारी रखा जाएगा जब तक संचयी अवमूल्यन 70% तक पहुंच न जाए। तत्पश्चात्, शेष अवमूल्यनयोग्य मूल्य को परिसम्पत्ति के शेष

जीवनकाल के अंतर्गत इस प्रकार प्रसारित किया जाएगा ताकि अधिकतम अवमूल्यन की बढ़ोत्तरी 90% से अधिक न हो।

- (छ) अवमूल्यन वाणिज्यिक प्रचालन के प्रथम वर्ष से प्रभावी होगा। यदि परिसम्पत्ति का वाणिज्यिक प्रचालन वर्ष के एक अंश हेतु हो तो अवमूल्यन को आनुपातिक दर पर प्रभाविता किया जाएगा।

33 पट्टा/भाड़ा क्रय प्रभार :

पट्टे (लीज) पर ली गई परिसम्पत्तियों हेतु पट्टा प्रभारों पर वितरण अनुज्ञप्तिधारी द्वारा पट्टा संबंधी अनुबंध अनुसार विचार किया जा सकेगा बशर्ते आयोग द्वारा प्रभारों को युक्तियुक्त समझा जाए।

34. प्रचालन एवं संधारण व्यय :

- 34.1 आयोग द्वारा इन विनियमों में विनिर्दिष्ट मानदण्डीय प्रचालन एवं संधारण व्ययों के आधार पर विद्युत-दर (टैरिफ) अवधि हेतु प्रचालन एवं संधारण व्ययों का अवधारण किया जाएगा। प्रचालन एवं संधारण व्ययों में सम्मिलित होंगे : कर्मचारी लागत, मरम्मत एवं अनुरक्षण लागत और प्रशासनिक एवं सामान्य लागत। प्रचालन एवं संधारण व्ययों के मानदण्ड वितरण अनुज्ञप्तिधारियों के पूर्व के अंकेक्षित आकड़ों के आधार पर निर्धारित किये गये हैं। इन मानदण्डों में कर्मचारियों को भुगतान किये जाने वाले विभिन्न व्ययों के अन्तर्गत शामिल किये गये मंहगाई भत्ते, राष्ट्रीय पेंशन योजना व्यय, कर्मचारियों को देय पेंशन, सेवान्त प्रसुविधाएं, प्रोत्साहन की राशि, प्रशासनिक एवं सामान्य व्ययों के रूप शासन को देय कर, मप्रविनिआ को देय शुल्क, तथा मध्यप्रदेश पावर मैनेजमेंट कम्पनी लिमिटेड व्यय शामिल नहीं हैं। वितरण अनुज्ञप्तिधारी द्वारा मंहगाई भत्ते, राष्ट्रीय पेंशन योजना व्ययों, शासन को देय करों तथा मप्रविनिआ को देय शुल्कों का दावा पृथक से वास्तविक भुगतान की गई राशि के आधार पर किया जाएगा। पेंशन तथा सेवान्त प्रसुविधाओं के दावे का संव्यवहार मप्रविनिआ (मण्डल तथा उत्तराधिकारी इकाईयों के कार्मिकों को पेंशन तथा सेवान्त प्रसुविधा दायित्वों की स्वीकृति हेतु निबंधन तथा शर्तें) विनियम, 2012 के अनुसार किया जाएगा।

- 34.2 लेखांकन नीति में किसी परिवर्तन के कारण कोई व्यय, वेतन/वेतन संरचना में पुनरीक्षण के कारण वेतन में वृद्धि, वेतन/वेतन संरचना के पुनरीक्षण के कारण देय बकाया राशि के भुगतान, प्रक्षेप वक्र के मानदण्डों में शामिल नहीं किया जायेगा तथा इन्हें वास्तविक भुगतान के आधार पर ही अनुज्ञेय किया जाएगा। युद्ध, विद्रोह अथवा कानून में कतिपय परिवर्तनों अथवा ऐसी समतुल्य परिस्थितियों के कारण प्रचालन तथा संधारण व्ययों में अभिवृद्धि के संबंध में जहां आयोग का यह अभिमत हो कि उपरोक्त वृद्धि न्यायोचित है, वहां आयोग इसे किसी विनिर्दिष्ट अवधि के लिए लागू करने पर विचार कर सकेगा।

- 34.3 मरम्मत तथा अनुरक्षण व्ययों के संबंध में मानदण्डों पर विचार राज्य के अनुज्ञप्तिधारियों द्वारा पूर्व वर्षों के दौरान वास्तविक रूप से किये गये व्ययों के आधार पर किया गया है, जिनमें विशेष आर्थिक परिक्षेत्र पीथमपुर भी शामिल है। इन मानदण्डों पर मरम्मत तथा अनुरक्षण व्यय सुसंबद्ध

वर्ष में नियंत्रण अवधि के दौरान प्रारंभिक सकल स्थाई परिसम्पत्तियों के आधार पर किया जाएगा।

34.4 प्रशासनिक एवं सामान्य व्ययों के बारे में विद्युत् वितरण कंपनियों हेतु वर्ष 2013-14 के अंकेक्षित आंकड़ों तथा विशेष आर्थिक परिक्षेत्र हेतु वित्तीय वर्ष 2015-16 के टैरिफ आदेश को आधार माना गया है तथा थोक मूल्य सूचकांक तथा उपभोक्ता मूल्य सूचकांक के भारित औसत का अनुपात 60:40 मानकर, इनमें प्रतिवर्ष 6.80 प्रतिशत की दर से वृद्धि की गई है जिसके अनुसार नियंत्रण अवधि हेतु अनुज्ञेय राशियां प्राप्त की गई हैं।

34.5 किसी अनुज्ञप्तिधारी द्वारा किसी वर्ष में अर्जित किसी बचत को उसे स्वयं के पास धारित रखे जाने की अनुमति दी जाएगी। किसी वर्ष में प्रचालन व संधारण व्ययों के निर्धारित लक्ष्य से आधिक्य के कारण होने वाली हानि को अनुज्ञप्तिधारी को वहन करना होगा।

34.6 प्रचालन एवं संधारण व्ययों हेतु मानदण्ड निम्नानुसार होंगे :

(क) मरम्मत तथा अनुरक्षण व्यय वित्तीय वर्ष के प्रारंभिक सकल स्थाई परिसम्पत्तियों पर पूर्व क्षेत्र विद्युत् वितरण कंपनी हेतु 2.3 प्रतिशत की दर से, पश्चिम क्षेत्र विद्युत् वितरण कंपनी हेतु 2.3 प्रतिशत की दर से तथा मध्य क्षेत्र विद्युत् वितरण कंपनी हेतु 2.3 प्रतिशत की दर से तथा विशेष आर्थिक परिक्षेत्र पीथमपुर हेतु 5 प्रतिशत की दर से अनुज्ञेय किये जाएंगे।

(ख) कर्मचारी व्यय तथा प्रशासनिक एवं सामान्य व्ययों की गणना अंकेक्षित तुलन-पत्रों के अनुसार पूर्व में किये गये वास्तविक व्ययों के आधार पर की गई है। मानदण्डीय व्ययों को निम्न तालिकाओं में दर्शाये अनुसार अनुज्ञेय किया जाएगा:

(एक) कर्मचारी व्यय, [कर्मचारियों को देय मंहगाई भत्ते, राष्ट्रीय पेंशन योजना व्ययों, पेंशन, सेवान्त प्रसुविधाओं तथा प्रोत्साहन को छोड़कर]

(राशि करोड़ रुपये में)

वर्ष	पूर्व क्षेत्र विद्युत् वितरण कंपनी	पश्चिम क्षेत्र विद्युत् वितरण कंपनी	मध्य क्षेत्र विद्युत् वितरण कंपनी	विशेष आर्थिक परिक्षेत्र पीथमपुर
वित्तीय वर्ष 2016-17	385	403	359	0.98
वित्तीय वर्ष 2017-18	396	415	370	1.01
वित्तीय वर्ष 2018-19	408	428	381	1.04

(दो) प्रशासनिक एवं सामान्य व्यय

(राशि करोड़ रुपये में)

वर्ष	पूर्व क्षेत्र विद्युत् वितरण कंपनी	पश्चिम क्षेत्र विद्युत् वितरण कंपनी	मध्य क्षेत्र विद्युत् वितरण कंपनी	विशेष आर्थिक परिक्षेत्र पीथमपुर
वित्तीय वर्ष	168	129	96	1.91

2016-17				
वित्तीय वर्ष 2017-18	179	138	103	2.04
वित्तीय वर्ष 2018-19	192	147	110	2.18

35. डूबन्त तथा संदिग्ध ऋण :

सम्पूर्ण राजस्व आवश्यकता के अंतर्गत, डूबन्त तथा संदिग्ध ऋणों को जिस सीमा तक वितरण अनुज्ञप्तिधारी द्वारा पूर्व में, अन्तिम अंकेक्षित वित्तीय विवरणों पत्रों में वास्तविक रूप से बट्टे खाते में डाला गया है, अनुज्ञेय किया जाएगा जैसा कि आयोग द्वारा इन्हें उपयुक्त समझा जाए, तथा सुसंबद्ध वर्ष हेतु इनका सत्यापन, सत्यापन प्रक्रिया के दौरान किया जाएगा तथा वार्षिक राजस्व राशि के एक प्रतिशत के अध्यधीन होगा।

36. कार्यकारी पूंजी पर ब्याज प्रभार :

कार्यकारी पूंजी की गणना इन विनियमों के उपबन्धों में किये गये प्रावधान के अनुसार की जाएगी तथा कार्यकारी पूंजी पर ब्याज की दर भारतीय स्टेट बैंक की सुसंगत वर्ष की दिनांक 1 अप्रैल को प्रयोज्य अग्रिम दर के बराबर होगी। कार्यकारी पूंजी पर ब्याज मानकीकृत आधार पर देय होगा, भले ही अनुज्ञप्तिधारी ने किसी बाह्य संस्था से पूंजीगत ऋण प्राप्त किया हो अथवा मानकीकृत आधार पर गणना की गई कार्यकारी पूंजीगत ऋण से अधिक राशि का ऋण लिया हो।

37. विदेशी विनिमय दर परिवर्तन :

37.1 वितरण अनुज्ञप्तिधारी विदेशी विनिमय की अनावृत्ति को वितरण प्रणाली हेतु विदेशी मुद्रा में प्राप्त किये गये ऋण तथा विदेशी ऋण के पुनर्भुगतान के संबंध में समायोजन आंशिक अथवा पूर्ण रूप से उसकी स्वेच्छानुसार कर सकेगा।

37.2 प्रत्येक वितरण अनुज्ञप्तिधारी, मानदण्डीय विदेशी ऋण से तत्संबंधी विदेशी विनिमय दर परिवर्तन का समायोजन, सुसंगत वर्ष में, वर्ष-दर-वर्ष आधार पर, उक्त अवधि के दौरान जब वह व्यय के रूप में उद्भूत हो, कर सकेगा तथा इस प्रकार के विदेश विनिमय दर परिवर्तन से तत्संबंधी अतिरिक्त रूप्यों के भुगतान के दायित्व को, समायोजित किये गये विदेशी ऋण के विरुद्ध अनुज्ञेय नहीं किया जाएगा।

37.3 उक्त सीमा तक जहां वितरण अनुज्ञप्तिधारी विदेशी विनिमय अनावृत्ति का समायोजन करने में असमर्थ हो, रूप्यों में भुगतान के दायित्व में किसी परिवर्तन हेतु ब्याज का भुगतान तथा ऋण की अदायगी जो मानदण्डीय विदेशी मुद्रा ऋण से सुसंगत वर्ष से तत्संबंधी हो, को अनुज्ञेय किया जाएगा यदि यह अनुज्ञप्तिधारी अथवा उसके सामग्री प्रदायकों अथवा ठेकेदारों के कारण न हो।

37.4 वितरण अनुज्ञप्तिधारी समायोजन की लागत तथा विदेश विनिमय दर परिवर्तन के प्रभाव का समायोजन आय के रूप में उक्त अवधि के दौरान, जिस अवधि के अंतर्गत वह उद्भूत हो, वर्ष-दर-वर्ष आधार पर इसे वसूल करेगा।

38. आय पर कर

वितरण अनुज्ञप्तिधारी के आय स्रोतों पर देय वास्तविक कर व्ययों के रूप में स्वीकार्य होगा :

परन्तु यह कि दिनांक 31 मार्च, 2016 तक की अवधि के विलम्बित कर दायित्व के अतिरिक्त लाभ को छोड़कर, क्रियान्वित होने पर ये विद्युत्-दर (टैरिफ) के माध्यम से प्रत्यक्ष रूप से उपभोक्ताओं से वसूली योग्य होंगे।

39. विद्युत्-दर (टैरिफ) आय :

आयोग द्वारा विद्युत् के वितरण एवं प्रदाय हेतु अवधारित समस्त प्रभारों से आय को विद्युत्-दर (टैरिफ) आय माना जाएगा। विद्युत्-दर (टैरिफ) आय में स्थाई प्रभार, ऊर्जा प्रभार, न्यूनतम प्रभार तथा अन्य प्रभार शामिल होंगे जैसे कि वे भिन्न-भिन्न उपभोक्ता श्रेणियों हेतु आयोग द्वारा निर्दिष्ट किये जाएं।

40. अन्य आय :

40.1 मध्यप्रदेश विद्युत् नियामक आयोग यथासंशोधित (टैरिफ अवधारण के लिये उत्पादन कंपनियों तथा अनुज्ञप्तिधारियों द्वारा दिये जाने वाला विवरण एवं आवेदन की रीति और उसके लिये भुगतान योग्य फीस) विनियम, 2004, के अन्तर्गत अन्य आय संबंधी अनुसूची, जैसा कि इसका प्रावधान विविध प्रभारों तथा सामान्य प्रभारों की अनुसूची में किया गया है, को 'अन्य आय' के अन्तर्गत वर्गीकृत किया जाएगा।

40.2 अन्य व्यवसाय से प्राप्त राजस्व को, अधिनियम की धारा 41 में विनिर्दिष्ट उक्त सीमा तक, जिसे आयोग द्वारा प्राधिकृत किया जाए, आय माना जाएगा।

41. विलंब भुगतान अधिभार :

41.1 यदि उपभोक्ताओं द्वारा देयकों का भुगतान निर्धारित तिथि तक नहीं किया जाता है तो उपभोक्ताओं को अवधारित किये गये अधिभार का भुगतान करना होगा। विलंबित भुगतान अधिभार की गणना के प्रयोजन से माह के किसी भाग को पूर्ण माह माना जाएगा। उपभोक्ता के विद्युत् प्रदाय के स्थाई तौर पर विच्छेदन के उपरान्त की अवधि के दौरान विलंबित भुगतान अधिभार को अधिरोपित नहीं किया जाएगा।

41.2 सम्पूर्ण राजस्व आवश्यकता व विद्युत्-दर एवं अन्य आय के मध्य अंतर के अवधारण हेतु, विलंबित भुगतान अधिभार को आय नहीं माना जाएगा।

41.3 अनुज्ञप्तिधारी द्वारा यदि राजस्व वसूली में वृद्धि की दृष्टि से ऐसा करना वह आवश्यक समझे, किसी उपभोक्ता या उपभोक्ताओं के किसी वर्ग या श्रेणी हेतु विलंब भुगतान अधिभार की वसूली

को माफ किया जा सकेगा, परन्तु ऐसी कार्यवाही सम्पूर्ण राजस्व आवश्यकता के माध्यम से वसूली की अर्हता नहीं रखेगी।

42. उपभोक्ताओं को विद्युत् प्रदाय हेतु विद्युत्-दरों (टैरिफ) का अवधारण :

42.1 आयोग द्वारा विभिन्न उपभोक्ता श्रेणियों से वसूली योग्य प्रभारों का अवधारण निम्न सिद्धांतों के आधार पर किया जाएगा :

- (क) उपभोक्ताओं को प्रदाय की गई विद्युत् की औसत लागत तथा प्राक्कलित वितरण हानियों की वसूली ऊर्जा प्रभार के रूप में की जाएगी ;
- (ख) अधिनियम की धारा 62(3) में उल्लेखित कारकों के आधार पर विद्युत् चक्रण तथा प्रदाय गतिविधियों पर दक्षता से किये गये व्यय उपभोक्ताओं से वसूली योग्य होंगे ;
- (ग) यथासंभव, एक विशिष्ट वोल्टेज श्रेणी के अन्तर्गत स्थाई प्रभार तथा ऊर्जा प्रभार एक समान होंगे। किसी विशिष्ट वोल्टेज श्रेणी के अन्तर्गत प्रभारों का विभेदन आवश्यकतानुसार अधिनियम की धारा 62(3) में सूचीबद्ध कारकों के आधार पर किया जाएगा।
- (घ) विद्युत्-दर न्यूनतम : उपभोक्ताओं के किसी वर्ग अथवा श्रेणी के टैरिफ न्यूनतम प्रभार, उपभोक्ताओं से ऐसे समय तक जब तक स्थाई प्रभार सम्पूर्ण स्थाई लागत की वसूली के साथ संरेखित नहीं कर दिये जाते, उपभोक्ताओं से वसूली योग्य होंगे।
- (ङ) भार-कारक प्रोत्साहन : आयोग द्वारा समय-समय पर जारी विद्युत्-दर (टैरिफ) आदेशों के अन्तर्गत अनुमोदित योजनाओं के आधार पर उपभोक्ताओं को विद्युत्-दर में भार-कारक आधारित रियायतें अनुज्ञेय की जा सकेंगी।
- (च) त्वरित भुगतान प्रोत्साहन : त्वरित भुगतान किये जाने पर, उपभोक्ताओं को प्रोत्साहन प्रदान किया जा सकेगा जैसा कि आयोग द्वारा इसके संबंध में निर्णय लिया जाए। वे उपभोक्ता, जिनके विरुद्ध बकाया राशि का भुगतान शेष है, उन्हें इस प्रोत्साहन को प्राप्त करने की पात्रता नहीं होगी।
- (छ) ऊर्जा कारक प्रोत्साहन/अधिभार : आयोग द्वारा समय-समय पर जारी अपने विद्युत्-दर (टैरिफ) आदेशों के अन्तर्गत अनुमोदित योजना के आधार पर विद्युत्-दर (टैरिफ) में ऊर्जा कारक प्रोत्साहन/अधिभार उपभोक्ताओं को अनुज्ञेय किया जा सकेगा।
- (ज) अस्थायी संयोजन प्रभार : अस्थायी संयोजन प्रभार अधिरोपित किये जा सकेंगे, जैसा कि आयोग द्वारा इनके बारे में निर्णय लिया जाए।

- (झ) विद्युत् प्रदाय विश्वसनीयता प्रभार : आयोग उपभोक्ताओं की उन श्रेणियों हेतु विद्युत् प्रदाय विश्वसनीयता प्रभार निर्दिष्ट कर सकेगा जिनके लिए अबाधित विद्युत् प्रदाय का प्रावधान किया जाता है।
- (ञ) मंहगी ऊर्जा की अधिप्राप्ति के कारण अतिरिक्त प्रभारों का आरोपण: मंहगी ऊर्जा की अधिप्राप्ति किये जाने पर आयोग अतिरिक्त प्रभारों का निर्धारण किये जाने पर विचार कर सकेगा।
- (ट) ऊर्जा संरक्षण तथा मांग-परक प्रबन्धन हेतु देय प्रोत्साहन: आयोग ऊर्जा संरक्षण उपायों तथा मांग-परक प्रबन्धन हेतु प्रोत्साहनों का निर्धारण कर सकेगा।
- (ठ) वेल्डिंग अधिभार : उन स्थापनाओं के लिए, जिनमें वेल्डिंग मशीनों के भार विद्यमान हैं, आयोग वेल्डिंग अधिभार का निर्धारण कर सकेगा।
- (ड) समयानुपाती (टीओडी) प्रोत्साहन/अधिभार : आयोग विद्युत् ऊर्जा के दिवस के समय/मौसम के अन्तर्गत उपयोग हेतु समयानुपाती (टाईम ऑफ डे) प्रोत्साहनों/अधिभार का निर्धारण कर सकेगा।
- (ढ) प्रतिक्रिया ऊर्जा प्रभार : वे स्थापनाएं जो प्रतिक्रिया ऊर्जा का आहरण करती हैं उनके लिये आयोग प्रतिक्रिया ऊर्जा प्रभार का निर्धारण कर सकेगा।
- (ण) अन्य कोई प्रोत्साहन/अधिभार : आयोग अन्य किसी प्रोत्साहन/अधिभार का भी निर्धारण कर सकेगा।

43. प्रति-सहायतानुदान का अन्तर-श्रेणी अन्तरण :

विद्युत्-दर (टैरिफ) अवधारण की समग्र प्रक्रिया यह सुनिश्चित करेगी कि युक्तियुक्त लागतों को समस्त उपभोक्ताओं को अन्तरित किया जाए। तथापि, उपभोक्ताओं के समस्त समूहों को बिना किसी असहनीय टैरिफ आघात के वहनीय दर पर विद्युत् प्रदान करने के सामाजिक लक्ष्य की प्राप्ति पर विचार किये जाने की आवश्यकता है। अतएव, टैरिफ नीति के उपबन्धों को दृष्टिगत रखते हुए वैयक्तिक श्रेणी हेतु विद्युत्-दर का अवधारण करते समय प्रति-सहायतानुदान प्रदान किये जाने की आवश्यकता है। विद्युत्-दर अवधारण में उपभोक्ता श्रेणियों हेतु प्रति-सहायतानुदान दर्शाया जा सकता है तथा इसकी गणना इस प्रकार की जाएगी जिससे टैरिफ नीति के उद्देश्यों की पूर्ति की जा सके।

44. विद्युत्-दर श्रेणियों तथा उपभोक्ताओं को विद्युत्-दरों से अवगत कराया जाना :

- 44.1 आयोग, अधिनियम की धारा 62 के अन्तर्गत, विद्युत्-दर (टैरिफ) का निर्धारण करते समय वितरण अनुज्ञापिधारी द्वारा विभिन्न उपभोक्ता श्रेणियों से वसूलीयोग्य प्रभारों का विस्तृत विवरण निर्दिष्ट करेगा। टैरिफ अवधि हेतु, विनिर्दिष्ट वोल्टेज स्तरों पर उपभोक्ता श्रेणियां व्यापक तौर पर निम्नानुसार होंगी :

- (एक) भारी औद्योगिक उपयोग, जिसमें रेलवे कर्षण (ट्रेक्शन), कोयला खदानें, मौसमी (सीजनल) उपयोग आदि सम्मिलित हैं,

- (दो) गैर-औद्योगिक उपयोग
- (तीन) घरेलू उपयोग
- (चार) गैर-घरेलू उपयोग
- (पांच) सार्वजनिक प्रकाश व्यवस्था/जलप्रदाय व्यवस्था
- (छह) कृषि, सिंचाई तथा कृषि आधारित उद्योग
- (सात) लघु तथा मध्यम उद्योग पैमाने पर औद्योगिक प्रेरक बल (मोटिव पावर)
- (आठ) अन्य कोई श्रेणियां, जिन्हें आयोग द्वारा उपयुक्त समझा जाए।

- 44.2 आयोग, विद्युत्-दर (टैरिफ) अवधि के किसी भी वर्ष में, उपरोक्त दर्शाई गई व्यापक श्रेणियों के अन्तर्गत उपयुक्त उप-श्रेणियों/खपत खण्डों/भार खण्डों को निर्धारित कर सकेगा तथा पृथक्-पृथक् विद्युत्-दर (टैरिफ) ऐसी प्रत्येक उप-श्रेणी/ खपत खण्ड/ भार खण्डों बाबत निर्धारित कर सकेगा।
- 44.3 आयोग द्वारा अनुमोदित विभिन्न प्रभारों के विवरण वितरण अनुज्ञप्तिधारी को प्रत्येक विद्युत्-दर (टैरिफ) अवधारण के उपरान्त ऐसी रीति के अनुसार, जैसी कि आयोग द्वारा अनुमोदित की जाए, उपभोक्ताओं की जानकारी हेतु प्रकाशित करने होंगे।

अध्याय तीन— विविध

45. स्वच्छ विकास क्रियाविधि लाभ:

अनुमोदित स्वच्छ विकास क्रियाविधि के कार्बन आकलन से प्राप्तियों का परस्पर बंटवारा निम्न विधि द्वारा किया जाएगा, अर्थात्:

- (क) स्वच्छ विकास क्रियाविधि के कारण सकल प्राप्तियों की शत प्रतिशत राशि परियोजना के विकासक द्वारा वितरण प्रणाली की वाणिज्यिक प्रचालन तिथि के प्रथम वर्ष में स्वयं द्वारा धारित रखी जाएगी।
- (ख) द्वितीय वर्ष में, उपभोक्ताओं का अंशदान 10 प्रतिशत होगा, जिसमें प्रतिवर्ष 10 प्रतिशत की दर से उत्तरोत्तर वृद्धि की जाएगी, जिसे 50 प्रतिशत तक पहुंचने के उपरान्त, प्राप्तियों का बंटवारा वितरण अनुज्ञप्तिधारी तथा उपभोक्ताओं द्वारा समान अनुपात में किया जाएगा।

46. मानदण्डों से विचलन :

वितरण अनुज्ञप्तिधारी द्वारा उपभोक्ताओं से वसूल की जाने वाली वितरण विद्युत्-दर (टैरिफ) को इन विनियमों में विनिर्दिष्ट मानदण्डों से विचलन द्वारा भी अवधारित किया जा सकेगा।

47. कठिनाइयों को दूर करने की शक्ति :

यदि इन विनियमों के किसी भी उपबन्ध को मूर्त रूप देने में कोई कठिनाई आती हो तो आयोग किसी सामान्य अथवा विशेष आदेश द्वारा अनुज्ञप्तिधारी को ऐसा कार्य करने अथवा उसका जिम्मा लेने हेतु निर्देशित कर सकेगा जो आयोग के मत में कठिनाइयां दूर करने हेतु आवश्यक अथवा समीचीन हैं।

48. संशोधन करने की शक्ति:

- 48.1 आयोग, किसी भी समय इन विनियमों के उपबन्धों में जोड़ने, बदलने, परिवर्तन करने, सुधारने अथवा संशोधन संबंधी प्रक्रिया कर सकेगा।

49. निरसन तथा व्यावृत्ति :

विनियम अर्थात् इन विनियमों की विषयवस्तु को लागू हुए रूप में “मध्यप्रदेश विद्युत् नियामक आयोग (विद्युत् प्रदाय व चक्रण के टैरिफ अवधारण संबंधी निबंधन तथा शर्तें तथा प्रभारों के निर्धारण के संबंध में विधियां तथा सिद्धांत) विनियम, 2012 (आरजी-35(i), वर्ष 2012)” जो

राजपत्र में अधिसूचना क्रमांक 3296 / मप्रविनिआ / 2012 दिनांक 7.12.2012 द्वारा प्रकाशित किए गए हैं तथा संशोधनों के साथ पठित हैं, एतद्वारा निरसित किए जातै हैं।

- 49.2 उपरोक्त दर्शाए गये विनियमों की निर्दिष्ट अवधि हेतु सम्पूर्ण राजस्व आवश्यकता के सत्यापन तथा विद्युत् दर (टैरिफ) से संबंधित अन्य विषयों को इन विनियमों के उपबंधों के अनुसार व्यवहार में लाया जाएगा।
- 49.3 इस विनियमों की कोई भी बात आयोग की ऐसे किसी आदेश को पारित करने हेतु अन्तर्निहित शक्तियों को सीमित अथवा प्रभावित नहीं करेगी जो न्याय के उद्देश्य प्राप्त करने अथवा आयोग की प्रक्रिया के दुरुपयोग रोकने के उद्देश्य से आवश्यक हो।
- 49.4 इन विनियमों में की कोई भी बात आयोग को अधिनियम के उपबंधों के अनुरूप कोई ऐसी प्रक्रिया अपनाने से नहीं रोकेगा, जो इन विनियमों के किन्हीं उपबंधों से भिन्न हो, लेकिन जिन्हें आयोग मामले या मामलों के वर्ग की विशेष परिस्थितियों के परिपेक्ष्य में और अभिलिखित किए जाने वाले कारणों से आवश्यक या समीचीन समझता हो।
- 49.5 इन विनियमों में किया गया कोई भी उल्लेख स्पष्टतया या परोक्ष रूप से आयोग को अधिनियम के अधीन किसी मामले में कार्यवाही करने से या शक्ति का प्रयोग करने से नहीं रोकेगा, जिसके लिये कोई विनियम नहीं बनाए गए हों और आयोग ऐसे मामलों में ऐसी कार्यवाही कर सकता है और ऐसी शक्तियों का प्रयोग या कृत्य कर सकता है, जैसा कि वह उचित समझे।

आयोग के आदेशानुसार

शैलेन्द्र सक्सेना, सचिव

Bhopal, the 17 December, 2015

No. 2256-MPERC.2015.—Whereas, the Commission had notified Madhya Pradesh Electricity Regulatory Commission (Terms and Conditions for Determination of tariff for Supply and wheeling of Electricity and Methods & Principles for Fixation of Charges) Regulations, 2012 (RG-35 (I) of 2012) on 7th December, 2012 and whereas the third control period of Multi Year Tariff will cease to be in vogue on 31st March, 2016, therefore, in order to specify the terms and conditions for Distribution Tariff for the next control period of FY 2016-17 to FY 2018-19, these Regulations are being notified.

Now, therefore, in exercise of powers conferred under Section 181 (2) (zd) read with Section 45 and 61 of the electricity Act, 2003 (No. 36 of 2003), the Madhya Pradesh Electricity Regulatory Commission makes the following regulations to specify the methods and principles for fixing the charges for electricity supplied by a Distribution Licensee and to specify the terms and conditions for determination of tariff for wheeling and supply of electricity in Madhya Pradesh during the Tariff Period of three years commencing from 1st April 2016 and continuing up to 31st March 2019.

REGULATION**CHAPTER I - PRELIMINARY****1. Short Title, extant and Commencement.-**

- 1.1. These Regulations shall be called the "Madhya Pradesh Electricity Regulatory Commission (Terms and Conditions for Determination of Tariff for Supply and Wheeling of Electricity and Methods and Principles for Fixation of Charges) Regulations, [2015 (RG-35 (II) of 2015]".
- 1.2. These Regulations shall extend to the whole of the State of Madhya Pradesh.

- 1.3. These Regulations shall be in force for the tariff period commencing from 01st April 2016 and up to 31st March 2019. The petitions for the determination of Aggregate Revenue Requirement and Tariff for wheeling and supply of Electricity for the period commencing from 01st April 2016 shall be filed in accordance with these Regulations only.

2. Scope and Extent of Application.-

- 2.1. These Regulations shall apply in all case^s of determination of tariff under Section 62 of the Electricity Act, 2003 for wheeling and supply of electricity to be charged by the Distribution Licensees of the State from the consumers.

3. Norms of Operation to be threshold norms.-

- 3.1. For removal of doubts, it is clarified that the norms of operation specified under these Regulations are the threshold norms and this shall not preclude the Distribution Licensees and Consumers from agreeing to improved norms of operation and in such cases the improved norms as and when agreed to shall be applicable for determination of Tariff.

4. Definitions.-

- 4.1. In these Regulations, unless the context otherwise requires,

- (a) **"Act"** shall mean the Electricity Act, 2003 (36 of 2003);
- (b) **"Aggregate Revenue Requirement"** (ARR) shall mean the estimate of the aggregate expenses to be incurred by the Distribution Licensee for its licensed businesses for the Year(s) for which it is prepared.;
- (c) **"Applicant"** shall mean a Distribution Licensee who has made an application for determination of tariff for wheeling and supply of electricity in accordance with these Regulations;
- (d) **"Auditor"** shall mean an Auditor appointed by the Distribution Licensee in accordance with the provisions of Sections 224, 233B and 619 of the Companies Act, 1956 (1 of 1956) as amended from time to time or Chapter X of the Companies Act, 2013 (18 of 2013) or any other law for the time being in force;
- (e) **"Authorised Load"** shall be expressed in kW, kVA or HP units and shall be determined as per procedure laid down in the MP Electricity Supply Code, 2013 as amended from time to time;
- (f) **"Bank Rate"** shall mean the Bank Rate of Reserve Bank of India as on 1st April, of the relevant year;

- (g) **"Commission"** shall mean the Madhya Pradesh Electricity Regulatory Commission;
- (h) **"Secretary"** shall mean Secretary of the Commission;
- (i) **"Contracted Power"** shall mean the power in MW, which the Distribution Licensee has agreed to wheel on his Distribution System;
- (j) **"Customer"** shall mean a person who has set up a captive generating plant or a Licensee or a consumer availing open access, utilising the Distribution System of the Distribution Licensee.
- (k) **"Date of Commercial Operation"** (COD) shall mean the date of charging the electric line or substation of a Distribution Licensee to its Declared Voltage level or seven days after the date on which it is declared ready for charging by the Distribution Licensee, but could not be charged for reasons attributable to its Customers;
- (l) **"Declared Voltage"** shall mean the voltage as specified in MP Electricity Supply Code, 2013 as amended from time to time;
- (m) **"Discom"** shall mean the Distribution Company or Vidyut Vitaran Company, wherein the "East Discom" shall mean the M.P. Poorv Kshetra Vidyut Vitaran Company Ltd., "West Discom" shall mean M.P. Paschim Kshetra Vidyut Vitaran Company Ltd. and "Central Discom" shall mean M.P. Madhya Kshetra Vidyut Vitaran Company Ltd.
- (n) **"Distribution Licensee"** means a Licensee authorised to operate and maintain a Distribution System for supplying electricity to the consumers in his area of supply;
- (o) **"Distribution loss"** shall mean the total energy losses occurring in the Distribution System of a Distribution Licensee expressed in percentage as difference between energy input to its system and its sale;
- (p) **"Existing Project"** means the project declared under commercial operation on a date prior to 01.04.2016;
- (q) **"Expenditure incurred"** means the funds, whether the equity or debt or both, actually deployed and paid in cash or cash equivalent, for creation or acquisition of a useful asset and does not include commitments or liabilities for which no payment has been released;
- (r) **"Extra High Tension (EHT) consumer"** shall mean a consumer who is supplied electricity at a voltage higher than 33000 volts under normal conditions subject to the percentage

variation permitted in MP Electricity Supply Code ,2013 as amended from time to time;

- (s) **"Financial Statement"** shall be prepared in accordance with the relevant schedules of the Companies Act, 2013 and shall include -
- (i) A Balance Sheet as at the end of the financial year;
 - (ii) A Profit and Loss account, or in the case of a company carrying on any activity not for profit, an income and expenditure account for the financial year;
 - (iii) Cash Flow statement for the financial year;
 - (iv) A statement of changes in equity, if applicable; and
 - (v) Any explanatory note annexed to, or forming part of, any document referred to in sub-clause (i) to sub-clause (iv);
- (t) **"High Tension (HT) consumer"** shall mean a consumer who is supplied electricity at a voltage not exceeding 33000 volts but higher than 650 volts under normal conditions subject, to the percentage variation permitted in MP Electricity Supply Code , 2013 as amended from time to time;
- (u) **"Licensee"** means a person who has been granted a licence under Section 14 of the Electricity Act, 2003;
- (v) **"Low Tension (LT) consumer"** shall mean a consumer who is supplied electricity at a voltage which does not exceed 650 volts under normal conditions subject, to the percentage variation permitted in MP Electricity Supply Code , 2013 as amended from time to time;
- (w) **"Long-Term Customer"** shall mean a person having a long-term lien exceeding three years over an inter / intra-Distribution Company System by virtue of paying wheeling charges;
- (x) **"Medium-term Customer"** shall mean a person having a lien for the period exceeding three months and up to three Years over an inter / intra-Distribution Company System by virtue of paying wheeling charges;
- (y) **"Officer"** shall mean an Officer of the Commission;
- (z) **"Operation and Maintenance expenses"** or "O&M expenses" shall mean the expenditure incurred on operation and maintenance of the Distribution and supply network of Distribution Licensee, including part thereof, and includes the

expenditure on manpower, repairs, spares, consumables, insurance and overheads;

- (za) **"Project"** shall mean a scheme for addition, alteration or augmentation of Distribution System;
- (zb) **"Rated Voltage"** shall mean the voltage at which the Distribution System is designed to operate;
- (zc) **"Short-term Customer"** shall mean a person having short term lien for a period up to three months over an inter / intra-Distribution Company System by virtue of paying wheeling charges;
- (zd) **"Tariff"** shall mean the schedule of charges payable by the consumers for Distribution and Supply of electricity together with terms and conditions thereof;
- (ze) **"Tariff Period"** shall mean the period for which Tariff is determined by the Commission under these Regulations;
- (zf) **"Uncontrollable costs"** shall mean the costs which include but not limited to fuel costs, costs on account of inflation, taxes and cess, variations in power purchase unit costs including on account of change in hydro-thermal mix due to adverse natural events or force majeure conditions or any other items as may be determined by the Commission;
- (zg) **"Useful life"** in relation to a unit of a Distribution System from the COD shall mean 25 years for sub-station and 35 years for lines;
- (zh) **"Year"** shall mean financial year commencing on 1st April and ending on 31st March of the succeeding year, accordingly
 - (i) **"Current Year"** shall mean the year in which the statement of annual accounts or petition for determination of tariff is filed;
 - (ii) **"Previous Year"** shall mean the year immediately preceding the current year; and
 - (iii) **"Ensuing Year"** shall mean the year next following the current year.

4.2 Words and expressions used but not defined in these Regulations shall have the same meaning as assigned to them in the Act.

5. Determination of Tariff.-

The Commission shall determine Tariff and charges including terms and conditions thereof under Section 62 of the Act read with Section 86 for wheeling and supply of electricity to consumers.

6. Principles for Tariff determination.-

- 6.1. The Commission, while specifying the terms and conditions for the determination of Tariff under these Regulations is guided by the principles contained in Section 61 of the Act.
- 6.2. The tariff shall provide for recovery of prudent cost incurred in the operation of the licensed activities of wheeling and supply of the Distribution Licensee plus Return on Equity (RoE) at prescribed level of performance. The Distribution Licensee shall be required to prepare their Accounting Statements, which shall be regularly submitted before the Commission as detailed in clause 10.
- 6.3. The Multi Year Tariff principles adopted in these Regulations seek to promote competition, adoption of commercial principles, efficient working of the Distribution Licensees and protection of Consumers' interest. The operating and cost parameter norms for the Tariff Period have been prescribed after duly considering the past performance. The allowable Tariffs shall be determined in accordance with these norms. The Distribution Licensees are allowed to share savings as a reward for performance better than those prescribed in these Regulations. This is expected to incentivise the Distribution Licensees for efficient performance and economical use of resources.
- 6.4. Only cost of servicing the investments and capital expenditure that are in accordance with the guidelines issued by the Commission in this regard shall be allowed to be recovered through Tariff. This shall ensure prudent investments by the Distribution Licensees. The Distribution Licensee shall be required to ensure optimum investments and to make sufficient provision in the aggregate revenue requirement for investment to enhance distribution system capacity and meet performance standards prescribed by the Commission.

- 6.5. The cross-subsidy shall be progressively reduced in accordance with the Tariff Policy.

7. Procedure for making an application for determination of Tariff.-

- 7.1. Procedure for making application for determination of tariffs for wheeling and supply of electricity has earlier been notified under the title MPERC (Details to be furnished and fees payable by Licensee or generating company for determination of tariff and manner of making an application) Regulations, 2004 and its amendments from time to time. An application for determination of Tariff shall be accompanied by such fees as may be specified.
- 7.2. The Distribution Licensee shall submit the information for determination of ARR and Tariff for the Tariff period in the formats annexed with these Regulations (Annexure - I). The information furnished by the Licensee in these formats shall form a part of the application. The Licensee is also required to publish in an abridged form, the application for ARR & tariff determination for the tariff period in the manner as may be directed by the Commission. The Licensee shall also have to file additional information as may be directed by the Commission in such formats as and when required for the purpose of finalization of ARR/Tariff.
- 7.3. The Commission shall, at all times, have the authority, either Suo-Motu or on a petition filed by any interested or affected party, to determine the Tariff, including terms and conditions thereof, of Distribution Licensees and shall initiate the process of such determination in accordance with the procedure as may be specified:

Provided that the proceedings for such determination of Tariff, including terms and conditions thereof, shall be in the same manner as set out in the Conduct of Business Regulations, as amended from time to time.

- 7.4. The Commission or the Secretary or any Officer designated for the purpose by the Commission may, upon scrutiny of the application, require the Applicant to furnish such additional information or particulars or documents as may be considered necessary for the purpose of processing the application. The Commission upon receipt of incomplete application or upon non receipt of such additional information or particulars or documents as may be considered necessary for the purpose of processing the application, within stipulated time period, may reject the application.

- 7.5. Only, upon receipt of the complete application accompanied by all requisite information, particulars and documents in compliance with all the requirements, the application shall be deemed to have been accepted and the Commission or the Secretary or the Officer designated for the purpose by the Commission shall intimate to the Applicant that the application is ready for publication, in such abridged form and manner, as may be specified [Refer MPERC (Details to be furnished and fees payable by Licensee or generating company for determination of Tariff and manner of making an application) Regulation, 2004 as amended from time to time].
- 7.6. The Distribution Licensees shall put all the details of the petition filed before the Commission on its Website not later than 3 working days of receipts of a formal Order of the Commission conveying its acceptance by the Commission.
- 7.7. The Applicant shall furnish to the Commission all such books and records or certified true copies thereof, including the Accounting Statements, operational and cost data, as may be required by the Commission for determination of Tariff. The Commission may, if deemed necessary, make available to any person, at any time, such information as has been provided by the Applicant to the Commission including abstracts of such books and records or certified true copies thereof.

Provided that the Commission may, by order, direct that any information, documents and papers/materials maintained by the Commission, shall be confidential or privileged and shall not be available for inspection or supply of certified copies, and the Commission may also direct that such document, papers or materials shall not be used in any manner except as specifically authorised by the Commission.

8. Methodology for Determination of Tariff and True-up.-

- 8.1. The Commission shall define Tariff Period for the Distribution Licensee from time to time. The principles for Tariff determination shall be applicable for the duration of the Tariff Period. The principles that guide tariff determination for the next tariff period shall be valid for a period up to 31st March, 2019 from the date of commencement of these Regulations.
- 8.2. The Distribution Licensee shall file the petitions at the beginning of the Tariff Period. A review shall be undertaken by the Commission to scrutinise and true up the Tariff on the basis of the Capital expenditure and additional Capital expenditure incurred in the Year for which the true up is being requested. However, in such true up, any abnormal and uncontrollable variation may also be considered.

- 8.3. If the Tariff already recovered is more than the Tariff determined after true up, the Distribution Licensees shall refund to the consumers the excess amount so recovered in the manner as may be decided by the Commission in the True-up order. Similarly, in case the Tariff already recovered is less than the Tariff determined after true up, the Distribution Licensees shall recover from the consumers, the under recovered amount in the manner as may be decided by the Commission subject to adhering to the timelines specified by the Commission for filing of True-up Application. The decision of the Commission on the mechanism of recovery of balance amount due to under recovery shall be final.
- 8.4. The Tariff and True up filing shall be in accordance with and in the formats prescribed in MPERC [(Details to be furnished and fees payable by Licensee or Generating Company for determination of Tariff and manner of making an application)] Regulations, 2004 [(as amended from time to time)] by 31st October every Year.
- 8.5. The Distribution Licensee's Aggregate Revenue Requirement shall be presented to the Commission through a petition in three distinct parts i.e. first for the energy cost i.e. power purchase cost including transmission and distribution losses and inter-state and intra-state transmission charges as well as SLDC charges, second for wheeling expenses and third for expenses pertaining to supply of energy to consumers, including customer services.
- 8.6. The expenses pertaining to the creation, maintenance, upkeep, renewal and development including replacement and expansion of wires network shall be considered as wheeling activity. This shall cover sub-stations, conductors, transformers, plants and apparatus used in the Distribution System for wheeling of electricity by the Distribution Licensee.
- 8.7. The costs associated with the supply activity of the Distribution Licensee include (a) establishment cost for arranging the power to the consumers and (b) providing consumer services, which may include expenses of metering, billing, recovery and associated activities.
- 8.8. A Distribution Licensee having any other business which utilises the assets of the distribution business, shall maintain and submit to the Commission, separate accounts with respect to its licensed business and such other business.
- 8.9. The Commission may, for the entire tariff period, approve the Aggregate Revenue Requirement for the Wheeling and Supply activities of the Distribution Licensee based on the principles contained in these Regulations and authorise the Distribution Licensee to recover the charges from the consumers during the period of authorisation. Based on MYT principles the Distribution Licensee shall be required to file Tariff / True-up petitions in the manner specified at Regulation 8.4.

- 8.10. The ARR once approved for the tariff period shall only require annual adjustments in order to pass through uncontrollable variations in costs and revenues. All controllable variations shall normally be treated only at the end of the tariff period; however, if the magnitude of such variations is substantial then such variations could be reviewed during the tariff period also. However, the Distribution Licensee shall file an application before the Commission every year latest by 31st October for seeking renewal of authorisation to recover charges from the consumers after the period for which he is already authorised by the Commission.
- 8.11. The Commission will treat each Licensee area as a single territory for the purpose of recovering the expenses allocable to wheeling activity of Distribution Licensee and accordingly separate wheeling charges for each Licensee could be determined.
- 8.12. The Commission envisages making a distinction between interruptible and un-interruptible supply. The Commission may authorise the Licensee to recover a supply reliability charge for un-interruptible supply.
- 8.13. The Commission shall determine average per unit cost of supply of energy to be recovered from the consumers duly giving consideration to the distribution losses allowed to the particular Licensee for the Tariff Period.
- 8.14. The approved ARR shall include components of power purchase cost, wheeling expenses and supply expenses and shall be recoverable from all consumers availing supply from the Distribution Licensee
- 8.15. While determining the Aggregate Revenue Requirement of the Distribution Licensee, the Licensee, apart from details of energy cost, shall also provide accounting details / cost allocation details of activities pertaining to Wheeling (distribution wires) and Supply separately.
- (a) The items allocated to energy cost i.e. power purchase cost
- (i) Fixed Cost of power purchase
 - (ii) Variable Cost of power purchase
 - (iii) Inter-State Transmission Losses
 - (iv) Inter-State Transmission Charges
 - (v) Intra-State Transmission Losses
 - (vi) Intra-State Transmission Charges
 - (vii) SLDC charges
 - (viii) Any taxes or levies that are applicable as per law
 - (ix) Any other charges attributable to power purchase

(b) The items allocable to wheeling activity may include:

- (i) Operations and Maintenance expenses of the distribution network relating to wheeling activity;
- (ii) Depreciation on assets identified with wheeling activity;
- (iii) Interest and finance charges on project loans identified with wheeling activity as far as possible or notionally;
- (iv) Interest and finance charges on Working Capital identified with wheeling activity;
- (v) Return on Equity allocable to wheeling activity;
- (vi) Lease / Hire purchase charges; and
- (vii) Cost of hedging or swapping to take care of FERV;

(c) The expenses allocable to supply activity may include:

- (i) Operations and Maintenance expenses relating to supply activity;
- (ii) Depreciation on assets identified with supply activity;
- (iii) Interest and finance charges on project loans identified with supply activity as far as possible or notionally;
- (iv) Interest and finance charges on Working Capital identified with supply activity;
- (v) Interest on Consumer Security Deposit;
- (vi) Return on Equity allocable to supply activity;
- (vii) Bad and doubtful debts; and
- (viii) Lease / Hire purchase charges;

8.16. Subject to other provisions of these Regulations, the expenses allowed to be recovered by means of tariff for any financial year, shall be subject to adjustments in any tariff to be fixed for the subsequent period, if the Commission is satisfied, that such adjustments for the excess amount or shortfall in the amount actually realized or expenses incurred are necessary and the same are not on account of any reason attributable to or within the control of the Distribution Licensee.

9. Fuel Cost Adjustment.-

- 9.1. As provided in Section 62(4) of the Act, a Fuel Cost Adjustment charge formula may be specified by the Commission and tariff may be permitted to be charged under the terms of specified formula. The Commission may specify the formula for levy of Fuel Cost Adjustment charge in the tariff Order of the relevant year or through a separate Order and may make any further changes in this formula as may be considered necessary as and when required. The impact of Fuel Cost Adjustment charge whenever permitted in respect of a Generating company or Distribution Licensee shall be recoverable from consumers and also from open access consumers for the quantum of such supply as is availed by them from the Distribution Licensee notwithstanding their open access consumer status.
- 9.2. The Commission may also allow recovery of incremental power purchase cost on quarterly basis. The incremental power purchase cost shall be worked out based on the formula specified by the Commission for this purpose in the tariff Order of the relevant year or through a separate Order and may make any further changes in this formula as may be considered necessary as and when required. The incremental power purchase cost will be in addition to the fuel cost adjustment charge. The incremental power purchase cost shall be based on normative losses and permitted under the circumstances wherein the power purchase has been made by the Distribution Licensee due to factors which were beyond his control, which may include shortages in supply from the identified power supply sources in the Tariff Order requiring Licensee to purchase power at higher price from the power market or other source to meet the demand. This may also include purchase of power at higher price than given in the Tariff Order on account of sale to the consumers in excess of the sales as admitted in the Tariff Order which results in sourcing additional power from power market or other sources.

10. Preparation & submission of Annual Accounts, Reports etc.-

Every Distribution Licensee shall submit annual statement of accounts and such other information as may be specified by the Commission. In addition to the submission of annual accounts, the Distribution Licensee shall be required to comply with the information requirements of various Regulations and license conditions notified by the Commission from time to time.

11. Periodicity of Tariff determination.-

No Tariff or part of any Tariff may ordinarily be amended, more frequently than once in a Year. The Commission may, after satisfying itself for reasons to be recorded in writing, allow for the revision of Tariff in duration of less than a year.

12. Public suggestions, objections and hearings.-

In accordance with the provisions of the Act under Section 64 (3), the Commission shall invite suggestions and objections from the public for consideration before determination of ARR and Tariff. Subsequently, the Commission may, if it considers necessary, conduct hearing of the stakeholders on the suggestions and objections submitted by them or may determine the ARR and Tariff giving due consideration to the suggestions and objections received. The Commission may also conduct hearing with the applicant as and when considered necessary.

13. Admission of the petition and orders of the Commission.-

- 13.1. The petition submitted by the Licensee for ARR/ tariff determination including true up petition shall be given a provisional receipt number. Any deficiency in the information submitted in the petition or additional information required shall be communicated to the Licensee. The licensee shall submit the information desired by the Commission within the specified time limit failing which the petition may be rejected and returned to the Licensee. The petition shall only be construed as accepted after it is submitted by the Licensee with the complete information including the additional information. After such acceptance of the petition, a final petition number would be allotted and the petition would be treated as complete for processing in accordance with time lines specified in the Act under Section 64(3) for issue of tariff Order.
- 13.2. The Commission, after accepting the petition shall require the Distribution Licensee to furnish any particulars, documents, public records etc as the Commission may consider appropriate to enable the Commission to review the petitioner's calculations, assumptions and assertions.
- 13.3. After receipt of information or otherwise, the Commission may make appropriate orders to continue with the process of determination of the ARR/ Tariff or reject the application.

14. Charging of Tariff other than approved.-

Any Distribution Licensee found to be charging a Tariff different from the one approved by the Commission from the consumers shall be deemed to have not complied with the directions of the Commission and shall be liable to be proceeded against under Section 142 of the Act without prejudice to any other liability becoming due from the Licensee under any other provisions of the Act. In case the amount recovered exceeds the amount allowed by the Commission, the excess amount so recovered shall be refunded to the Consumers who have paid such excess charges, along with simple interest for that period equivalent to the bank rate of RBI as on 1st April, of the relevant Year.

15. Review during and at the end of period of the Tariff Order.-

- 15.1. The Distribution Licensee shall submit periodic returns as may be specified, containing operational and cost data to enable the Commission to monitor the implementation of its order.
- 15.2. The Distribution Licensee shall submit to the Commission annual statements of its performance and accounts including latest report of audited accounts.
- 15.3. For each year of the tariff period, sales shall be grossed up by normative level of losses as indicated in MYT trajectory for estimating power purchase cost subject to justifiable power purchase mix variation (for example, more energy may be purchased from thermal generation in the event of poor rainfall).
- 15.4. The variations during the tariff period in other approved costs shall be considered by the Commission only if the Licensee is able to prove to the satisfaction of the Commission that these variances are on account of factors beyond its reasonable control. Variations on account of controllable reasons shall be considered only if they have a substantial impact on the business of the Licensee.
- 15.5. At least twelve months before the end of the tariff period, the Commission shall initiate a comprehensive review of norms and the long term tariff principles contained in these Regulations.
- 15.6. Such review shall be with the objective of analysing implementation of the long term principles and making modification or improvement in the norms, principles, procedures and methodology.

CHAPTER II

PRINCIPLES FOR DETERMINATION OF TARIFF

16. Petition for determination of Tariff.-

The Distribution Licensee shall file the petition accompanied by such forms as may be specified for determination of Tariff complying with provisions of Chapter I of these Regulations and MPERC [(Details to be furnished and fee payable by Licensee or generating company for determination of Tariff and manner of making application)] Regulations 2004, based on the principles specified by the Commission in these Regulations. These principles shall be implemented from 01st April, 2016 and shall be applicable for a period up to 31st March, 2019. .

17. Basis for determination of Tariff.-

17.1. As stated, multiyear tariff principles shall be applicable from April 01, 2016 for a period of three years and the Distribution Licensees are required to file the proposals for determination of tariff within stipulated time lines.

17.2. The wheeling and supply expenses shall be determined by the Commission every year. Further, the Commission may allow a change in wheeling and supply expenses on account of unforeseen additional and extraordinary investment needed for the distribution network on receipt of true-up applications.

18. Controllable and uncontrollable factors.-

18.1. The "uncontrollable factors" shall comprise the following factors which were beyond the control of, and could not be mitigated by the licensee:

- (a) Force Majeure events, such as acts of war, fire, natural calamities, etc.
- (b) Change in Law;
- (c) Taxes and Duties;
- (d) Variation in sales; and
- (e) Variation in the cost of power generation and/or power purchase due to the circumstances specified in relevant sections of these Regulations.

18.2. Some illustrative variations or expected variations in the performance of the applicant, which may be attributed by the Commission to controllable factors include, but are not limited to the following:

- (a) Variations in capital expenditure on account of time and/or cost overruns/ efficiencies in the implementation of a capital

- expenditure project not attributable to an approved change in scope of such project, change in statutory levies or force majeure events;
- (b) Variations in Aggregate Technical & Commercial (AT&C) losses which shall be measured as the difference between the units input into the distribution system and the units realized (units billed and collected) wherein the units realized shall be equal to the product of units billed and collection efficiency (where Collection Efficiency shall be measured as ratio of total revenue realized to the total revenue billed for the same year);
 - (c) Distribution Losses which shall be measured as the difference between total energy input for sale to all its consumers and sum of the total energy billed in its license area in the same year;
 - (d) Variations in Return on Equity (RoE), depreciation and working capital requirements;
 - (e) Failure to meet the standards specified in the Standards of Performance Regulations, except where exempted;
 - (f) Variation in operation & maintenance expenses, except those attributable to directions of the Commission, and
 - (g) Variation in Wires Availability and Supply Availability.

19. Mechanism for pass through of gains or losses on account of uncontrollable factors.-

The approved aggregate gain or loss to the Distribution Licensee on account of uncontrollable factors shall be passed through, as an adjustment in the tariff of the Distribution Licensee as may be determined in the Order of the Commission passed under these Regulations.

20. Capital Cost and Capital structure.-

20.1. Following shall be included in the capital cost for a project:

- (a) The Expenditure incurred or projected to be incurred on original scope of work, including interest during construction and financing charges excluding initial capital spares, any gain or loss on account of foreign exchange rate variation on the loan up to the Date of Commercial Operation of the Project, as admitted by the Commission after prudent check, which shall form the basis for determination of Tariff.
- (b) Capitalized initial spares subject to the following ceiling norms:
 - (i) Lines- 0.75% of Original Project cost
 - (ii) Substations- 2.5% of Original Project cost
 - (iii) Other devices such as capacitors etc.- 3.5 % of Original Project cost

- 20.2. The capital cost admitted by the Commission after prudent check shall form the basis for determination of Tariff. Prudent check may include scrutiny of the reasonableness of the capital expenditure, financing plan, interest during construction, use of efficient technology, cost over-run and time over-run, and such other matters as may be considered appropriate by the Commission for determination of Tariff.

Provided that in case of the Existing Projects, the capital cost admitted by the Commission prior to 01.04.2016 shall form the basis for determination of capital cost.

- 20.3. Restructuring of capital cost in terms of relative share of equity and loan component shall be permitted during the Tariff Period provided it does not affect Tariff adversely. Any benefit from such restructuring shall be passed on to the Consumers as may be specified by the Commission.

21. Debt-equity ratio.-

- 21.1. For the purpose of determination of tariff, the debt-equity ratio of the total capital employed in completed assets shall be 70:30 subject to clause 21.2. The debt-equity amount arrived in accordance with this clause shall be used for calculation of interest on loan, return on equity, depreciation and foreign exchange rate variation

- 21.2. For a Project declared under commercial operation on or after 01.04.2016, if the equity actually deployed is more than 30% of the capital cost, equity in excess of 30% shall be treated as normative loan:

Provided that where equity actually deployed is less than 30% of the capital cost, the actual equity shall be considered for determination of Tariff.

Provided further that the equity invested in foreign currency shall be designated in Indian rupees on the date of each investment.

Explanation - The premium, if any, raised by the Distribution Licensee, while issuing share capital and investment of internal resources created out of its free reserve, for the funding of the Project, shall be reckoned as paid up equity capital for the purpose of computing return on equity, provided such premium amount and internal resources are actually utilized for meeting the capital expenditure of the Distribution System.

- 21.3. In case of the Distribution System declared under commercial operation prior to 01.04.2016, debt-equity ratio allowed by the Commission for determination of Tariff for the period ending 31.3.2016 shall be considered.

22. Working capital.-

22.1. Following shall be included in the working capital for supply activity of the Licensee:

- (i) Receivables of two months of average billing reduced by power purchase cost of one month and any consumer security deposit ,
- (ii) O&M expenses for one month, and
- (iii) Inventory (meters, metering equipment, testing equipment are particularly relevant in case of supply activity) for 2 months based on annual requirement for previous year.

22.2. Following shall be included in the working capital for wheeling activity of the Licensee:

- (i) O&M expenses for one month, and
- (iii) Inventory (excluding meters, etc. considered part of supply activity) for 2 months based on annual requirement considered at 1% of the gross fixed assets for previous year.

22.3. The norms described above shall be applicable for each year of the tariff period.

23. Capital Investment Plan.-

23.1. The Distribution Licensee shall submit in the ARR petition a detailed Capital Investment Plan, financing plan and physical targets indicating physical and financial achievements against various Capex schemes for meeting the requirement of load growth, reduction in Distribution losses, improvement in quality of supply, reliability, metering etc.

23.2. The Capital Investment Plan shall show separately, ongoing Projects that will spill into the Year under review and new Project (along with justification) that may commence but may be completed within or beyond the Tariff Period providing relevant technical and commercial details.

23.3. The proportion for debt and equity for the approved Capital Investment shall be as per Regulation 21.

24. Estimation of Sales.-

24.1. The estimation of the sale for each year of the Tariff period shall be based on the category wise and slab wise actual / audited data of the sale of electricity, number of consumers, connected / contracted load, etc. of the preceding three years as well as considering any other

relevant factors or studies carried out that may result in to variance in estimation of sale to that of based on actual / audited data. Reasons for variance with the historical trends shall be submitted by the Licensee with due justifications for consideration of the Commission. The year wise projections of the aforementioned parameters for the tariff period shall also be provided along with the tariff petition.

- 24.2. The reasonableness of growth in number of consumers, consumption, demand of electricity and trend of reduction of losses in previous years and anticipated growth in the next years and any other factor, which the Commission may consider relevant, would be examined and subsequently approved by the Commission for determining the estimated quantum of electricity required by the Licensees on the basis of normative loss level for determination of tariff with such modifications as deemed fit.
- 24.3. For the purpose of such estimation Distribution Licensee shall also indicate:
- (a) Category wise open access consumers, traders and other Distribution Licensees using its system. The demand and energy wheeled for them shall be shown separately in respect of consumers;
 - (i) Within the area of supply and
 - (ii) Outside the area of supply.
 - (b) Sale of electricity, if any, to electricity traders or other distribution licensees shall be separately indicated.
- 24.4. The Distribution Licensee shall have to establish consumption of un-metered consumer categories through feeder as well as DTR metering through representative sampling / audit etc. In the absence of such energy audit / representative samples / DTR metering, etc. the Distribution Licensees' claim may not be accepted and the estimation of consumption in such cases shall be based on the benchmarks as the Commission may consider appropriate.
- 24.5. The Commission may direct the Distribution Licensee to conduct an independent study for
- (i) validation of status of meters, load of metered consumers and category classification of consumers;
 - (ii) to assess consumption of power by un-metered consumers' segments based on random sampling basis;
 - (iii) assessment of consumption on agricultural feeders based on meters installed at each DT in the sample area and

- (iv) segregated agricultural feeders by installing meters at input points of the feeder at the sub-station and based on load flow studies to determine technical losses and thereby agricultural consumption.

24.6. The Commission may direct the manner and the methodology of the studies to be conducted for the purpose of establishing/validating metered and unmetered consumption. The Commission may accordingly review the benchmarks for unmetered consumption and may direct the Distribution Licensee to take further action as may be considered appropriate.

25. Distribution Losses

25.1. The Commission had considered the trajectory of distribution losses for the preceding control period after giving due consideration to all relevant factors after consultations with all stakeholders including the Licensees and the GoMP. The Commission is aware that huge Capital Investments are in pipe line and projected for completion in near future during the control period of these regulations. The Commission is of the view that this Capex should result in substantial reduction in distribution losses. The normative distribution loss level trajectory for control period of these Regulations is given in the table below:

Sl. No.	Distribution Licensee	FY 16-17	FY 17-18	FY 18-19
1.	East Discom	18%	17%	16%
2.	West Discom	16%	15.5%	15%
3.	Central Discom	19%	18%	17%
4.	SEZ, Pithampur	2.0%	1.9%	1.8%

25.2. If the Distribution Licensee is able to achieve a faster reduction in losses and thus able to save expenses on power purchase, the gains thus made shall be allowed to be retained by the Licensee to incentivise their operations.

25.3. A time bound programme shall be drawn up by the Distribution Licensee for segregation of technical and commercial losses through energy audits.

26. Estimation of power purchase requirement and availability.-

26.1. Each year's estimated sale shall be grossed up by the normative distribution losses to arrive at the quantum of power purchase requirement of the Licensee for such year for the purpose of determination of tariff. Transmission losses both for inter-State and intra-State transmission systems will be allowed in addition to prescribed distribution losses for that year.

- 26.2. The Distribution Licensees shall project the power purchase requirement after considering effect of target set for Energy Efficiency (EE) and Demand Side Management (DSM) schemes.
- 26.3. The Discom wise availability of the power shall be as per the allocation notified by the GoMP from time to time. The availability from CPPs and any other source shall also be considered while determining the total availability.
- 26.4. In addition, as per the requirement under Section 86(1)(e) of the Act, the Commission has indicated the quantum of power to be purchased by Distribution Licensees from non-conventional / renewable energy sources. Availability of power from such sources shall also be included in the total availability of power.

27. Estimation of power purchase cost.-

- 27.1. The cost of power purchase from Generating Stations shall be as per the tariff determined/approved by the appropriate Commission from time to time and in case of nuclear power stations by the Government of India.
- 27.2. In respect of projects executed by the State of Madhya Pradesh along with any other State(s), the Commission shall determine the tariff in consultation with the other concerned Regulatory Commissions where the responsibility is not assigned to CERC.
- 27.3. The cost of power purchased from other Generating Companies, Traders and other Distribution Licensees shall be as per the PPAs and trading arrangements approved by the Commission, subject to the condition that the Distribution Licensees gets prior approvals of such arrangements from the Commission in accordance with the appropriate regulations.
- 27.4. The Cost of power generated by the Generating plant of the Distribution Licensee and sold by it to its consumers shall be based on tariff determined by the Commission.
- 27.5. The cost of power to be procured from the Captive Power Plants shall be as decided by the Commission from time to time.
- 27.6. The cost of power to be purchased by Distribution Licensees from non-conventional / renewable sources of energy shall be as determined by the Commission from time to time. This cost shall be included in the ARR while estimating the cost for procurement of power by the Licensee.
- 27.7. Any financial loss on account of power purchased by the Licensee in any year to meet additional losses over and above the normative level of losses shall be borne by the Licensee.

28. Charges payable to Transmission Licensees.-

28.1. The transmission charges for the use of transmission system of Central Transmission Licensees for the power purchased from outside the State shall be considered as per the Orders issued by the CERC from time to time.

28.2. The transmission charges for the use of State Transmission System of the State Transmission Licensee and SLDC charges shall be as per the orders of the Commission as issued from time to time.

29. Distribution Tariff.-

The Tariff for distribution of electricity shall consist of power purchase cost, wheeling cost and supply cost consisting of the components specified in Regulation 8.15.

30. Return on Equity.-

30.1. Return on equity shall be computed in rupee terms, on the paid up equity capital determined in accordance with Regulation 21.

30.2. Return on equity shall be computed on pre-tax basis at the rate of **16%**. Any expenses on payment of Income Tax shall be allowed extra on actual basis on the licensed business of Distribution Licensee.

30.3. The premium raised by the Licensee while issuing share capital and investment of internal resources created out of free reserve, if any, shall also be reckoned as paid up capital for the purpose of computing return on equity, provided such premium amount and internal resources are actually utilised for meeting capital expenditure and forms part of the approved financial package. For the purposes of calculation of computation of return, the portion of free reserves utilized for meeting the capital expenditure shall be considered from the date the asset created is productively deployed in the distribution business.

31. Interest and finance charges on loan capital.-

31.1. The loans arrived at in the manner indicated in Regulation 21 shall be considered as gross normative loan for calculation of interest on loan.

31.2. The normative loan outstanding as on 01.04.2016 shall be worked out by deducting the cumulative repayment as admitted by the Commission up to 31.3.2016 from the gross normative loan.

- 31.3. The repayment for each Year of the Tariff period 2016-17 to 2018-19 shall be deemed to be equal to the depreciation allowed for that Year.
- 31.4. Notwithstanding any moratorium period availed by the Distribution Licensee, the repayment of loan shall be considered from the first Year of commercial operation of the Project and shall be equal to the annual depreciation allowed.
- 31.5. The rate of interest shall be the weighted average rate of interest calculated on the basis of the actual loan portfolio at the beginning of each Year applicable to the Project:

Provided that if there is no actual loan for a particular Year but normative loan is still outstanding, the last available weighted average rate of interest shall be considered.

Provided further that if the Distribution System does not have actual loan, then the weighted average rate of interest of the Distribution Licensee as a whole shall be considered.

- 31.6. The interest on loan shall be calculated on the normative average loan of the Year by applying the weighted average rate of interest.
- 31.7. The Distribution Licensee shall make every effort to re-finance the loan as long as it results in net savings on interest and in that event the costs associated with such re-financing shall be borne by the consumers and the net savings/gain shall be shared between consumers and Distribution Licensee in ratio 2:1.
- 31.8. The changes to the terms and conditions of the loans shall be reflected from the date of such re-financing.
- 31.9. Interest charges on security deposits with the Licensee shall be considered at the rate specified by the Commission from time to time.

32. Depreciation.-

- 32.1. For the purpose of Tariff, depreciation shall be computed in the following manner:

- (a) The value base for the purpose of depreciation shall be the capital cost of the assets as admitted by the Commission.

- (b) The approved/accepted cost shall include foreign currency funding converted to equivalent rupee at the exchange rate prevalent on the date of foreign currency actually availed.
- (c) The salvage value of the asset shall be considered as 10% and depreciation shall be allowed up to maximum of 90% of the capital cost of the asset.
- (d) Land other than land held under lease shall not be a depreciable asset and its cost shall be excluded from the capital cost while computing depreciable value of the asset.
- (e) Depreciation shall be calculated annually based on 'straight line method' and at rates specified in Annexure II to these Regulations for the assets of the Distribution System declared in commercial operation after 31/03/2016.

Provided that, the remaining depreciable value as on 31st March of the Year closing after a period of 12 Years from Date of Commercial Operation shall be spread over the balance useful life of the assets.

Provided further that the Consumer contribution or capital subsidy/ grant etc. for asset creation shall be treated as may be notified by the Commission from time to time.

- (f) In case of the existing Projects, the balance depreciable value as on 01.04.2016 shall be worked out by deducting the cumulative depreciation including Advance against Depreciation as admitted by the Commission up to 31.3.2016 from the gross depreciable value of the assets. The rate of Depreciation shall be continued to be charged at the rate specified in Annexure-II till cumulative depreciation reaches 70%. Thereafter, the remaining depreciable value shall be spread over the remaining life of the asset such that the maximum depreciation does not exceed 90 %.
- (g) Depreciation shall be chargeable from the first Year of commercial operation. In case of commercial operation of the asset for part of the Year, depreciation shall be charged on pro rata basis.

33. Lease/ Hire Purchase charges.-

Lease charges for assets taken on lease by Distribution Licensee shall be considered as per lease agreement provided the charges are considered reasonable by the Commission.

34. Operation & Maintenance expenses.-

- 34.1. Operation and Maintenance expenses shall be determined for the Tariff Period based on normative O&M expenses specified by the Commission in these Regulations. The O&M expenses comprise of employee cost, repairs & maintenance (R&M) cost and administrative & general (A&G) cost. The norms for O&M expenses have been fixed on the basis of past audited figures of the Distribution Licensees. These norms exclude various expenses covered under employee expenses such as dearness allowance, NPS expenses, pension, terminal benefits and incentive to be paid to employees and under A&G expenses such as taxes payable to the Government and fee payable to MPERC and MPPMCL expenses. The Distribution Licensee shall claim the dearness allowance, NPS expenses, taxes payable to the Government and fees to be paid to MPERC separately as actual. The claim of expenses towards pension and terminal benefits shall be dealt with as indicated in the MPERC (Terms and Conditions for allowing pension and terminal benefits liabilities of personnel of Board and successor entities) regulations, 2012.
- 34.2. Any expenses due to change in accounting policy, increase in salary etc. due to revision in pay / salary structure including payment of arrears on account revision in pay / salary structure etc. shall be excluded from the norms in the trajectory and shall be allowed on actual basis. Increase in O&M charges on account of war, insurgency or changes in laws, or like eventualities where the Commission is of the opinion that an increase in O&M charges is justified, may be considered by the Commission for a specified period.
- 34.3. The norms for R&M expenses have been considered on the basis of the actual expenses incurred during past years by the Licensees of the State including SEZ Pithampur. The R&M expenses on these norms shall be allowed on the opening GFA of the relevant year during the control period.
- 34.4. For administration & general expenses, audited figures for the year 2013-14 for Discoms and for SEZ based on tariff order for FY 2015-16 have been taken as base and escalated every year at the inflation rate of 6.80%, considering a weighted average of Wholesale Price Index and Consumer Price Index in the ratio of 60: 40, to arrive at the amounts allowed for the control period.
- 34.5. Any saving achieved by a Licensee in O&M expenses in any Year shall be allowed to be retained by it. The Licensee shall bear the loss if it exceeds the targeted O&M expenses for that Year.

34.6. The norms for O&M expenses shall be as under:

- (a) The R&M Expenses shall be allowed on the opening GFA of the financial year @2.3% for East Discom, @2.3% for West Discom, @2.3% for Central Discom and @ 5% for SEZ Pithampur.
- (b) Employee expenses and A&G expenses have been worked out after taking in to account the past actual expenses as per audited balance sheets. Normative expenses shall be allowed as given below:
- (i) Employee expenses excluding dearness allowance, NPS expenses, pension, terminal benefits and incentive to be paid to employees:

Year	Rs. in Crore			
	East Discom	West Discom	Central Discom	SEZ Pithampur
FY 2016-17	385	403	359	0.98
FY 2017-18	396	415	370	1.01
FY 2018-19	408	428	381	1.04

- (ii) A&G expenses

Year	Rs. in Crore			
	East Discom	West Discom	Central Discom	SEZ Pithampur
FY 2016-17	168	129	96	1.91
FY 2017-18	179	138	103	2.04
FY 2018-19	192	147	110	2.18

35. Bad and doubtful debts.-

Bad and Doubtful Debts in the ARR shall be allowed based on actually written off bad debts in the past as per the available latest audited financial statements to the extent Commission considers it appropriate and shall be trued up during the true up exercise for the relevant year subject to a maximum limit of 1% of the yearly revenue.

36. Interest charges on Working Capital.-

Working capital shall be computed as provided in these Regulations and Rate of interest on working capital shall be equal to the State Bank of India Advance Rate as on 1st April of the relevant Year. The interest on working capital shall be payable on normative basis notwithstanding that the Licensee has not taken working capital loan from any outside agency or has borrowed in excess of the working capital loan computed on normative basis.

37. Foreign Exchange Rate Variation (FERV).-

37.1. The Distribution Licensee may hedge foreign exchange exposure in respect of the interest on foreign currency loan and repayment of foreign loan acquired for the Distribution System, in part or full in the discretion of the Distribution Licensee.

37.2. Every Distribution Licensee shall recover the cost of hedging of Foreign Exchange Rate Variation corresponding to the normative foreign debt, in the relevant Year on year-to-year basis as expense in the period in which it arises and extra rupee liability corresponding to such Foreign Exchange Rate Variation shall not be allowed against the hedged foreign debt.

38. Tax on Income.-

Actual payment of Income Tax on Income streams of the Distribution Licensee shall be admissible as expenses.

Provided that the deferred tax liability, excluding Fringe Benefit Tax, for the period up to 31st March, 2016 whenever it materializes, shall be recoverable from the Consumers through tariff.

39. Tariff income.-

Income from all charges determined by the Commission for Distribution and supply of electricity shall be considered as Tariff income. Tariff income shall include fixed charges, energy charges, minimum charges and other charges as may be specified by the Commission for different consumer categories.

40. Other income.-

- 40.1. A schedule for other income as provided in the schedule of Miscellaneous Charges and General Charges under MPERC (Details to be furnished by licensees for determination of Tariff and manner of making an application) Regulation 2004 as amended from time to time shall be classified under 'Other Income'.
- 40.2. Revenue from other business shall be treated as income to the extent authorized by the Commission under Section 41 of the Act.

41. Late payment surcharge.-

- 41.1. Surcharge as may be determined will be payable if the bills are not paid up to due date. A part of a month will be reckoned as full month for the purpose of calculation of delayed payment surcharge. The delayed payment surcharge will not be levied for the period after supply to the consumer is permanently disconnected.
- 41.2. The delayed payment surcharge shall not be considered as income for the purpose of determination of gap between Aggregate Revenue Requirement and tariff & other income.
- 41.3. The Licensee may waive the late payment surcharge payable by any consumer or class or category of consumers if he considers it necessary to boost recovery of revenue on its own but this shall not qualify as expense to be recovered through ARR.

42. Determination of tariffs for supply to consumers.-

- 42.1. The Commission shall determine the charges recoverable from different consumer categories based on the following principles:
- (a) The average cost of energy supplied to consumers and estimated distribution losses shall be recovered as energy charge;
 - (b) The expenses efficiently incurred on wheeling and supply activities shall be recoverable from the consumers on the basis of factors mentioned in Section-62(3) of the Act;
 - (c) To the extent possible, the fixed charges and energy charges shall be uniform within a particular voltage class. The differentiation in charges within a particular voltage class, if necessary, shall be done based on the factors listed in Section 62(3) of the Act;
 - (d) Tariff minimum: Tariff minimum charges for a class or category of the consumers shall be recoverable from the consumers till the time fixed charges are aligned with recovery of full fixed costs.;

- (e) Load factor incentive: Load factor based concessions in tariff may be allowed to consumers based on the scheme approved by the Commission in its Tariff Orders issued from time to time.
- (f) Prompt payment incentive: An incentive for prompt payment could be given to the consumers as may be decided by the Commission. The consumers in arrears shall not be entitled for this incentive.
- (g) Power Factor incentive/ surcharge: Power factor incentive/ surcharge in tariff may be allowed/levied to consumers based on the scheme approved by the Commission in its Tariff Orders issued from time to time.
- (h) Temporary connection charges: Temporary connection charges will be imposed as may be decided by the Commission.
- (i) Supply reliability charge: The Commission may consider to prescribe supply reliability charges for those categories of consumers who are provided with uninterruptible supply.
- (j) Additional charge on account of procurement of costly power: The Commission may consider to prescribe additional charges on account of procurement of costly power.
- (k) Incentives for energy conservation and demand side management: The Commission may prescribe incentives for energy conservation measures and demand side management.
- (l) Welding surcharge: The Commission may prescribe Welding surcharge for the installation having load of welding machines.
- (m) ToD incentive/ surcharge: The Commission may prescribe Time of day incentives/ surcharge for use in the specified duration of the day/ season.
- (n) Reactive energy charge: The Commission may prescribe Reactive energy charge for the installations drawing reactive energy.
- (o) Any other incentive / surcharge: The Commission may prescribe any other surcharge / incentive.

43. Inter-category transfers or cross-subsidy.-

The overall process of determining tariff shall ensure that prudent costs are passed on to consumers. However, aspect of meeting the social objective of providing affordable power to all groups of users without an unbearable tariff shock needs to be considered. Therefore there is a need for cross subsidization while determining tariff for individual category keeping in view the provisions of Tariff policy. The cross-subsidy may be shown in the tariff determined for consumer categories and shall be worked out in such manner so as to meet the objectives of the Tariff policy.

44. Tariff categories and intimation of tariff rates to consumers.-

44.1. The Commission, while determining the tariff for supply of electricity under Section 62 shall specify details of charges to be recovered by the Distribution Licensee from different consumer categories. For the tariff period, the consumer categories shall broadly comprise of the following, at specified voltage levels:

- (i) Heavy Industrial Use, including Railway Traction, coal mines, seasonal, use etc.
- (ii) Non industrial use
- (iii) Domestic Use
- (iv) Non Domestic Use
- (v) Public Lighting / Water Supply
- (vi) Agriculture, Irrigation and agro based industry
- (vii) Light and Medium scale Industrial Motive Power
- Viii) Any other categories as considered appropriate by the Commission

44.2. The Commission may, while determining tariffs for any year of the tariff period specify suitable sub-categories / consumption slabs / load slabs within the broad categories mentioned above, and may separately prescribe tariff rates for each such sub-category / consumption slabs / load slabs.

44.3. The Distribution Licensee shall publish details of various charges approved by the Commission after each tariff determination exercise in the manner as may be approved by the Commission for information to the consumers.

CHAPTER III

MISCELLANEOUS

45. CDM benefits.-

The proceeds of carbon credit from approved Clean Development Mechanism (CDM) project shall be shared in the following manner, namely-

- (a) 100% of the gross proceeds on account of CDM to be retained by the project developer in the first Year after the Date of Commercial Operation of the Distribution System
- (b) In the second Year, the share of the Consumers shall be 10% which shall be progressively increased by 10% every Year till it reaches 50%, where after the proceeds shall be shared in equal proportion, by the Distribution Licensee and the Consumers.

46. Deviation from norms.-

The Distribution Tariff to be recovered by the Distribution Licensees from the consumers may also be determined by the Commission in deviation of the norms specified in these Regulations.

47. Power to remove difficulties.-

If any difficulty arises in giving effect to any of the provisions of these Regulations, the Commission may, by general or special order, direct the Licensees to do or undertake things, which in the opinion of the Commission is necessary or expedient for the purpose of removing the difficulties.

48. Power to Amend.-

The Commission may, at any time add, vary, alter, modify or amend any provisions of these Regulations.

49. Repeal and Savings.-

- 49.1. The Regulations namely "Madhya Pradesh Electricity Regulatory Commission (Terms and Conditions for determination of Tariff for Supply and Wheeling of Electricity and Principles for Fixation of Charges), Regulations, 2012 (RG-35 (I) of 2012)" published vide Notification No. 3296/MPERC/2012 in the Gazette dated 07/12/2012 and read with all amendments thereto, as applicable to the subject matter of these Regulations are hereby superseded.
- 49.2. True up of ARR and other matters related to tariff for the period given for the above mentioned regulations shall be dealt as per the provisions of these regulations.
- 49.3. Nothing in these Regulations shall be deemed to limit or otherwise affect the inherent power of the Commission to make such orders as may be necessary for ends of justice to meet or to prevent abuses of the process of the Commission.
- 49.4. Nothing in these Regulations shall bar the Commission from adopting, in conformity with the provisions of the Act, a procedure, which is at variance with any of the provisions of these Regulation, if the Commission, in view of the special circumstances of a matter or class of matters and for reasons to be recorded in writing, deems it necessary or expedient for dealing with such a matter or class of matters.
- 49.5. Nothing in these Regulations shall, expressly or impliedly, bar the Commission dealing with any matter or exercising any power under the Act for which no Regulations have been framed, and the Commission may deal with such matters, powers and functions in a manner it thinks fit.

By order of the Commission, SHAILENDRA SAXENA, Secretary.

ANNEXURE - I

NAME OF DISTRIBUTION LICENSEE: _____

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Instructions for the Utility:

- Electronic copy in the form of CD/ Floppy Disc shall also be furnished
- These formats are indicative in nature and the utility may align the line items to its chart of accounts

PY Previous Year FY 2014-15
CY Current Year FY 2015-16
MYT Period The Tariff Period from FY 2016-17 to 2018-19

Statement of Profit & Loss		All figures in Rs Crores					
Particulars		Old Years	FY	CY	MYT 2016-17 to 2018-19		
		FY14	FY15	FY16	FY17	FY18	FY19
I.	Revenue from operations (including Revenue Subsidy)						
II.	Other income						
III.	Income from other business allocated to Licensed business						
IV	Total Revenue (I + II+III)						
V	Expenses:						
	Purchase of Power from MP Genco						
	Purchase of Power from Other Sources						
	Inter-State Transmission charges						
	Intra-State Transmission (MP Transco) Charges						
	SLDC Charges						
	Depreciation and amortization expenses						
	Interest & Finance Charges						
	Repairs and Maintenance						
	Employee costs						
	Administration and General expenses						
	Net prior period credit charges						
	Other Debits, Write-offs						
	Lease Rental						
	Total Expenses						
VI	Profit before exceptional and extraordinary items and tax (IV-V)						
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	(2) Deferred tax						
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XV	Profit/(loss) from Discontinuing operations (after tax) (XIII-XIV)						
XV	Profit (Loss) for the period (XII+ XV)						

Balance Sheet		Form No: S2					
		All figures in Rs Crores					
Particulars		Old Years	FY	CY	MYT 2016-17 to 2018-19		
		FY14	FY15	FY16	FY17	FY18	FY19
I	EQUITY AND LIABILITIES						
	(1) Shareholders' funds						
	(a) Share capital						
	(b) Reserves and surplus						
	(c) Money received against share warrants						
	(2) Share application money pending allotment						
	(3) Non-current liabilities						
	(a) Long-term borrowings						
	(b) Deferred tax liabilities (Net)						
	(c) Other Long term liabilities						
	(d) Long-term provisions						
	(4) Current liabilities						
	(a) Short-term borrowings						
	(b) Trade payables						
	(c) Other current liabilities						
	(d) Short-term provisions						
	TOTAL						
II	ASSETS						
	(1) Non-current assets						
	(a) Fixed assets						
	(i) Tangible assets						
	Gross Block (Net of assets not in use)						
	less: Accumulated Depreciation						
	Net Block						
	(ii) Intangible assets						
	Gross Block						
	less: Accumulated Amortization						
	Net Block						
	(iii) Capital work-in-progress						
	(iv) Intangible assets under development						
	(b) Non-current investments						
	(c) Deferred tax assets (net)						
	(d) Long-term loans and advances given by Utility						
	(e) Other non-current assets						
	(2) Current assets						
	(a) Current investments						
	(b) Inventories						
	(c) Trade receivables						
	(d) Cash and cash equivalents						
	(e) Short-term loans and advances						
	(f) Other current assets						
	TOTAL						

Share Capital and Reserves & Surplus		Name of Distribution Licensee		Form No. S3		
All figures in Rs Crores						
Sl.No.	Description of capital	Account Code	Balance at the beginning of the year 1	Appropriation during the year 2	Withdrawals/ Allotment during the year 3	Balance at the end of the year 4
A	SHARE CAPITAL					
	Equity Capital					
B	RESERVES & SURPLUS					
	RESERVES					
	General Reserve					
	Capital Reserve					
	a) Capital contributions from consumers					
	b) Capital subsidies / grants					
	c) Any other Capital Reserve					
	Other reserves					
	Sub-total of Reserves					
	SURPLUS					
	Surplus (including debit balance of P&L)					
	Sub-total of Surplus					
	Total Reserves & Surplus					
C	Money received against share warrants					
D	Share Application money pending allotment					

Note:- Information to be provided for each year from FY2010-11 to FY 2015-16

Form No: S4

All figures in Rs Crores

Current Assets & Liabilities

Sl.No	Particulars	Account code	Old Years FY14	FY FY15	CY FY16	FY17	FY18	FY19
A	Current Assets, Loans and Advances							
	(a) Current investments							
	(b) Inventories							
	(c) Trade receivables							
	(d) Cash and cash equivalents							
	(e) Short-term loans and advances							
	(f) Other current assets							
	TOTAL OF 'A'							
B	Current Liabilities							
	(a) Short-term borrowings							
	(b) Trade payables							
	(c) Other current liabilities							
	(d) Short-term provisions							
	TOTAL OF 'B'							

All figures in Rs Crores

Financial Investments

Particulars	Account Code	Old Years					MYT 2016-17 to 2018-19			
		FY14	FY15	FY16	FY17	FY18	FY19			
Investment - 1										
Opening balance										
Further investments during the year										
Investments realised during the year										
Closing balance										
Investment - 2										
Opening balance										
Further investments during the year										
Investments realised during the year										
Closing balance										
Investment - 3										
Opening balance										
Further investments during the year										
Investments realised during the year										
Closing balance										
Investment - 4 and so on										
Opening balance										
Further investments during the year										
Investments realised during the year										
Closing balance										
Total Investments										
Opening balance										
Further investments during the year										
Investments realised during the year										
Closing balance										

Name of Distribution Licensee

Form No: S6

Deferred Tax Asset/Liability

All figures in Rs Crores

Sl.No.	Description of capital	Account Code	Old Years FY14	PY FY15	CY FY16	MYT 2016-17 to 2018-19		
						FY17	FY18	FY19
	Deferred Tax Asset/Liability							

Name of Distribution Licensee

Form No: S7

Other Long Term Liability

All figures in Rs Crores

Sl.No.	Description of capital	Account Code	Old Years FY14	PY FY15	CY FY16	MYT 2016-17 to 2018-19		
						FY17	FY18	FY19
A	Other Long term liabilities							
	Total							
B	Long-term provisions							
	Total							

Name of Distribution Licensee _____ Form No: A1

Aggregate Revenue Requirement

Aggregate Revenue Requirement for Distribution and Retail Sale		All figures in Rs Crores					
Particulars		Old Years	FY	CY	MYT 2016-17 to 2018-19		
		FY14	FY15	FY16	FY17	FY18	FY19
A	Expenditure						
	Power Purchase expenses, including Inter-State and Intra-State Transmission charges and wheeling charges payable to any other Distribution Licensee						
	II Aggregate Revenue Requirement of Wheeling Activity						
	III Aggregate Revenue Requirement of Retail Sale Activity						
	Total Aggregate Revenue Requirement for Distribution and Retail Sale (I+II+III)						

Aggregate Revenue Requirement for Expenses related to Purchase of power - I		All figures in Rs Crores					
Particulars		Old Years	FY	CY	MYT 2016-17 to 2018-19		
		FY14	FY15	FY16	FY17	FY18	FY19
	Power Purchase or Energy Available (MU)						
	Sale of Power (MU)						
	Loss %						
	Expenditure						
	Cost of power purchase, including T&D losses						
	Inter-State Transmission charges						
	Intra-state Transmission (MP Transco) charges						
	Wheeling charges payable to other distribution licensee						
	SLDC fees and charges						
	Any other expenses*						
	Total expenditure on power purchase						

Aggregate Revenue Requirement For Wheeling activity - II

Particulars		All figures in Rs Crores					
		Old Years	FY	CY	MYT 2016-17 to 2018-19		
		FY14	FY15	FY16	FY17	FY18	FY19
A	Expenditure						
	R&M Expense						
	Employee Expenses						
	A&G Expense						
	Depreciation						
	Interest & Finance Charges on project loans						
	Interest and Finance Charges on working capital loans						
	Lease Rental						
	Any other expenses*						
	Return on Equity						
B	Less:						
	Other Income (inclusive of income from wheeling charges)						
	Annual Revenue Requirement (A-B)						

Aggregate Revenue Requirement For Retail Sale activity - III		All figures in Rs Crores					
Particulars		Old Years	FY	CY	MYT 2016-17 to 2018-19		
		FY14	FY15	FY16	FY17	FY18	FY19
A	Expenditure						
	R&M Expense						
	Employee Expenses						
	A&G Expense						
	Depreciation						
	Interest & Finance Charges on project loans						
	Interest and Finance Charges on working capital loans						
	Interest and Finance Charges on Consumer Security Deposit						
	Bad and Doubtful debts						
	Any other expenses*						
	Return on Equity						
B	Less						
	Other Income						
	Income from other business allocated to Licensed business						
	Annual Revenue Requirement (A-B)						

* Please provide the necessary details

Name of Distribution Licensee										Amount in Rs. Crores			
Sl No	Source	Plant Capacity (MW)	MF's Share in MW	MF's Share in %	Energy Sent Out (ESU) from the Station	MU Purchased / generated (in bn)	Capacity Charge		Energy Charge Rate (Rs. per unit)	Other Charges			Amount in Rs. Crores
							Total Capacity Charge (Rs. per MW)	Total Capacity Charge (Rs. per MW)		Any Other Charge (Rs. per unit)	Total of Other Charges (Rs. per unit)	AB Charges Total in Rs. Crores	
I. NTPC													
1	NTPC-Korba												
2	NTPC-Vindhyachal I												
3	NTPC-Vindhyachal II												
4	NTPC-Kanpur												
5	NTPC-Gondia												
6	NTPC-Madgaon												
7	NTPC-TAPS												
8	NTPC-Tatkal + Tachar + Kharagpur												
NTPC-TOTAL													
II. Inter-Regional Power Purchase													
1	Western Region												
2	Southern Region												
3	Northern Region												
IR-TOTAL													
III. Bilateral Power Purchase													
1	RESEB (Chambal Project)												
2	UPCL (Rajasthan Mahanadi Project)												
3	UPCL (Rajasthan Mahanadi Project)												
4	UPCL (Rajasthan Mahanadi Project)												
Bilateral-TOTAL													
IV. Other Sources													
1	NETDC - Indira Nagar												
2	Solar Source												
3	Others (Wind & CFP)												
4	Others (Wind & CFP)												
5	Others (Wind & CFP)												
6	Others (Wind & CFP)												
7	Others (Wind & CFP)												
8	Others (Wind & CFP)												
9	Others (Wind & CFP)												
Others-TOTAL													
Grand Total													
V. Thermal													
1	MP Genco - Thermal												
2	ATPS-Chhatrapati-Ph												
3	ATPS-Chhatrapati-Ph												
4	ATPS-Chhatrapati-Ph												
5	ATPS-Chhatrapati-Ph												
6	ATPS-Chhatrapati-Ph												
7	ATPS-Chhatrapati-Ph												
8	ATPS-Chhatrapati-Ph												
9	ATPS-Chhatrapati-Ph												
10	ATPS-Chhatrapati-Ph												
11	ATPS-Chhatrapati-Ph												
12	ATPS-Chhatrapati-Ph												
13	ATPS-Chhatrapati-Ph												
14	ATPS-Chhatrapati-Ph												
Thermal-TOTAL													
VI. Hydro													
1	MP Genco - Hydro												
2	CHPS-Singhli Nagar												
3	CHPS-RP Nagar												
4	CHPS-Jawalhar Nagar												
5	Parich THPS												
6	Bansagar Term HPS-Tons												
7	Bansagar Term HPS-Silvra												
8	Bansagar Term HPS-Devolon												
9	Bansagar Term HPS-Bansagar IV												
10	Bansagar Term HPS												
11	Bansagar Term HPS												
12	Bansagar Term HPS												
13	Bansagar Term HPS												
14	Bansagar Term HPS												
Hydro-TOTAL													
VII. MF Genco GENERATION TOTAL													
A	MF Genco GENERATION TOTAL												
B	MF Genco GENERATION TOTAL												
C	MF Genco GENERATION TOTAL												
D	MF Genco GENERATION TOTAL												
E	MF Genco GENERATION TOTAL												
F	MF Genco GENERATION TOTAL												
G	MF Genco GENERATION TOTAL												
H	MF Genco GENERATION TOTAL												
I	MF Genco GENERATION TOTAL												
J	MF Genco GENERATION TOTAL												
K	MF Genco GENERATION TOTAL												
L	MF Genco GENERATION TOTAL												
M	MF Genco GENERATION TOTAL												
N	MF Genco GENERATION TOTAL												
O	MF Genco GENERATION TOTAL												
P	MF Genco GENERATION TOTAL												
Q	MF Genco GENERATION TOTAL												
R	MF Genco GENERATION TOTAL												
S	MF Genco GENERATION TOTAL												
T	MF Genco GENERATION TOTAL												
U	MF Genco GENERATION TOTAL												
V	MF Genco GENERATION TOTAL												
W	MF Genco GENERATION TOTAL												
X	MF Genco GENERATION TOTAL												
Y	MF Genco GENERATION TOTAL												
Z	MF Genco GENERATION TOTAL												

This information is to be provided for FY 2012-13 to FY 2014-15 (Actual) FY 2015-16 (estimated) and FY 2016-17 to FY 2018-19 (Projected)

This form must be accompanied by details of relevant CERC Orders and bills raised by the generating company on MP Trade/Discoms

Please add the new generating stations at appropriate places in the table above

Form No. 11a

Name of Distribution Division

Sl No.	Power purchase agreement in MW Source/Station	Month in the Financial Year												Total
		January	February	March	April	May	June	July	August	September	October	November	December	
I	NTPC													
1	NTPC-Korba													
2	NTPC-Vindhyachal I													
3	NTPC-Vindhyachal II													
4	NTPC-Kanpur													
5	NTPC-Gandhar													
6	KAPP													
7	TAPS													
8	Parbati + Talcher + Kallangpur													
	NTPC-Total													
II	Inter-Regional Power Purchase													
1	Eastern Region													
2	Southern Region													
3	Northern Region													
	IR-Total													
III	Bilateral Power Purchase													
1	UPSC (Ranikhet System)													
2	UPSC (Ranikhet Madanila Raighat)													
3	MSEB (Punjab)													
4	GridCo (Himachal)													
	Bilateral-Total+B1													
IV	Other Sources													
1	NRIIC - Indira Nagar													
2	Sardar Sarovar													
3	Others 1 (Wind & CFP)													
4	Others 2 (Short-Term Purchase)													
5	Others 3 (UP)													
6	Others 4 (Medium Term Purchase)													
7	Others 5 (Reactive Energy Charges)													
8	Others 6 (Solar Energy)													
9	Others 7 (On Demand Supply)													
	Others-Total													
	Grand Total													
I	MP Genco - Thermal													
1	ATPS-Chichol-PH1													
2	ATPS-Chichol-PH2													
3	STPS-Surat-PH1													
4	STPS-Surat-PH2													
5	STPS-Surat-PH3													
6	STPS-Birpur-PH1													
7	STPS-Birpur-PH2													
	Thermal-Total													
II	MP Genco - Hydel													
1	CHPS-Gandhi Nagar													
2	CHPS-RP Nagar													
3	CHPS-Jawahar Nagar													
4	Pench - THPS													
5	Bansagar, Tons HPS-Tons													
6	Bansagar, Tons HPS-Silpan													
7	Bansagar, Tons HPS-Derford													
8	Bansagar, Tons HPS-Bansagar IV													
9	Bansagar HPS													
10	Barg HPS													
11	Rajghat HPS													
12	Mandla HPS													
13	Mandla A HPS													
14	Mandla B HPS													
	Hydel-Total													
	MP Genco GENERATION TOTAL													
B	MP Genco requirement (A+B)													
C	Total Power requirement (A+B)													
D	Total Inter-State transmission losses													
E	Total energy input to MP system (C+D)													

Basis for month-wise split must be provided

This information is to be provided for FY 2012-13 to FY 2014-15 (Actual) FY2015-16 (estimated) and FY2016-17 to FY2018-19 (Projected)

Please add the new generating stations at appropriate places in the table above

Form F1b

Name of Distribution Licensee

Energy Balance

Particulars	Notation / Calculation	FY14		FY15		FY16		FY17		FY18		FY19	
		%	MU	%	MU	%	MU	%	MU	%	MU	%	MU
1 Energy Sales													
a) LT Sales	A1												
b) HT Sales at 11kV	A2												
c) HT Sales at 33kV	A3												
c) EHT Sales	A4												
Total Energy Sales	A												
2 Distribution Losses													
a) Distribution losses at 33kV level	B1												
b) Distribution Losses in HT i.e. at 11kV + 33kV combined	B2												
c) Distribution losses in HT 11kV and LT system combined	B3												
Total Distribution Losses	B												
3 Energy requirement at T-D boundary													
a) 11kV and LT energy requirement combined	C1 = (A1+A2)/(1-B)												
c) HT 33kV energy requirement	C2 = A3/(1-B1)												
Total energy requirement at T-D boundary	C = C1 + C2												
4 Intra-State Transmission Losses	D												
5 Energy requirement of EHT consumers	E = A4/(1-D)												
6 Energy Requirement of Distribution system consumers after grossing up for Intra-State Transmission losses	F = C/(1-D)												
7 Energy Requirement of Distribution Licensee	G = E + F												
8 Inter-State Transmission Losses in MU	H												
9 Total Energy requirement	I = G + H												
10 Total Energy available													
From MP Genco	J1												
From Other Sources	J2												
Total Energy Available	J												
11 Surplus / (Deficit)	J - I												

Cells shaded with this colour are to be filled for particular item

Name of Distribution Licensee

Intra State Transmission (MPTRANSCO) Charges **Form No: F1c**

	Year	Contracted Capacity (MW)	Monthly Transmission Charge (Rs/MW)	Total charges (Rs.Crs.)
1	FY14			
2	FY15			
3	FY16			
4	FY17			
5	FY18			
6	FY19			

Wheeling Charges Payable to Other Distribution Licensee

SL.No.	Year	Contracted Capacity (MW)	Monthly Wheeling Charge (Rs/kW)	Total charges (Rs.Crs.)
1	FY14			
2	FY15			
3	FY16			
4	FY17			
5	FY18			
6	FY19			

Form No. F1d

Name of Distribution Licensee
Intra-state Inter-Region UI Charges Details

FY 13-14	Month	Overdrawals during						Underdrawals		Total Receivable (Rs. Cr.)	Total Additional (Rs. Cr.)	Net Receivable (Rs. Cr.)
		Below 49.20			Below 49.50 and upto 49.20			49.50-50.20				
		MU	UI Charge	Additional UI Charge	MU	UI Charge	Additional UI Charge	MU	UI Charge			
	April											
	May											
	June											
	July											
	August											
	September											
	October											
	November											
	December											
	January											
	February											
	March											
	Total for the year											

Previous Year FY14-15

Month	Overdrawals during										Underdrawals		Total Receivable (Rs. Cr.)	Total UI charges (Rs. Cr.)	Total Additional (Rs. Cr.)	Net Receivable (Rs. Cr.)
	Below 49.20			Below 49.50 and upto 49.20			49.50-50.20									
	MU	UI Charge	Additional UI Charge	MU	UI Charge	Additional UI Charge	MU	UI Charge	MU	UI Charge						
April																
May																
June																
July																
August																
September																
October																
November																
December																
January																
February																
March																
Total for the year																

Current Year FY15-16

Month	Overdrawals during						Underdrawals		Total Receivable (Rs. Cr.)	Total UI charges (Rs. Cr.)	Total Additional (Rs. Cr.)	Net Receivable (Rs. Cr.)
	Below 49.20			Below 49.50 and upto 49.20			49.50-50.20					
	MU	UI Charge	Additional UI Charge	MU	UI Charge	Additional UI Charge	MU	UI Charge				
April												
May												
June												
July												
August												
September												

Attach supporting documents for each of the entries made.
Assumptions made, if any, should be clearly indicated at appropriate places.

Name of Distribution Licensee		FY14		FY15		FY16 (Actual Upto available data & Thereafter estimated)		FY17		FY18		FY19	
Inter State Transmission Charges		Contracted Capacity (MW)	Total Transmission/PoC charges (Rs.Crs.)	Contracted Capacity (MW)	Total Transmission/PoC charges (Rs.Crs.)	Contracted Capacity (MW)	Total Transmission/PoC charges (Rs.Crs.)	Contracted Capacity (MW)	Total Transmission/PoC charges (Rs.Crs.)	Contracted Capacity (MW)	Total Transmission/PoC charges (Rs.Crs.)	Contracted Capacity (MW)	Total Transmission/PoC charges (Rs.Crs.)
Month													
April													
May													
June													
July													
August													
September													
October													
November													
December													
January													
February													
March													
Total													

For justification of the claims, the relevant CERC Order and the bills raised by CTU must be enclosed

Name of Distribution Licensee

SLDC Charges

Form No: F11

Amount in Rs. Crore

Sl.No.	Year	Contracted Capacity (MW)	Monthly Transmission Charge (Rs/MW)	Total charges (Rs.Crs.)
1	FY14			
2	FY15			
3	FY16			
4	FY17			
5	FY18			
6	FY19			

Amount in Rs. Crore

Name of the Asset

Depreciation schedule for the year

Sl. No.	Particulars	Account Code	Useful life (Years)	Depreciation rate %	FY14		FY15		FY16		FY17		FY18		FY19	
					Opening balance of depreciation	Additions	Opening balance of depreciation	Withdrawals	Additions	Closing balance of depreciation	Withdrawals	Additions	Closing balance of depreciation	Withdrawals	Additions	Closing balance of depreciation
1	Land & Land rights															
2	Buildings and Civil Works															
	Others 1															
	Others 2															
	Others 3															
	Sub-Total															
3	Hydraulic Works															
4	Other Civil Works															
5	Plant & Machinery															
	Power Transformers and Lines															
	Distribution transformers and Lines															
	Other substation apparatus															
	Switchgear, Control gear & Protection															
	Others															
	Sub-Total															
	Total															
6	Land Cables, Networks etc.															
	Overhead lines upto 11KV															
	Underground cables upto 11KV															
7	Land service connections etc.															
	Others															
	Sub-Total															
	Total															
8	Communication equipment															
9	Vehicles															
10	Furniture & Fixtures															
11	Office Equipments															
	Assets of Partnership projects etc. (notified in															
	separate books)															
12	Assets taken over & pending final abolition															
13	Any other items															
14	Total (1 to 13 excluding 11)															
15	Depreciation capitalised															
16	Net depreciation (14-15)															

Note: * Account Code must be provided, otherwise, the item shall not be considered
 "Withdrawals" must also include depreciation on assets not in use

"Withdrawals" must also include depreciation on assets not in use																
Broad Bids Activity		Account Code*	Useful life (years)	Depreciation rate %	FY14		FY15		FY16		FY17		FY18		FY19	
Sl. No	Particulars				Addition	Withdrawals	Closing balance of depreciation	Additions	Withdrawals	Closing balance of depreciation	Additions	Withdrawals	Closing balance of depreciation	Additions	Withdrawals	Closing balance of depreciation
1	Land & Land rights															
2	Building and Civil Works															
	Others 1															
	Others 2															
	Others 3															
	Sub-Total															
3	Other Civil Works															
4	Communication equipment															
5	Meters, including metering equipment															
6	Vehicles															
7	Furniture & Fixtures															
8	Office Equipments															
9	Any other items															
10	Total (1 to 9)															
11	Depreciation expensed															
	Net Depreciation (10-11)															

Note: * Account Code must be provided, otherwise, the item shall not be considered

Project Details					SOURCE OF FINANCING FOR CURRENT YEARS CAPEX									
Name of scheme	Nature of Project (Select appropriate code from below)	Whether the scheme is approved Business Plan" (YES/NO)	Project Start Date (DD-MM-YY)	Project Completion date (DD-MM-YY)	Total capital expenditure approved by MoC (Rs. Crs.)	Equity component		Debt Component			Capital Subsidies / grants component	Consumer Contribution Component		
						Internal Accrual (from free reserves and surplus)	Equity Issued	Loan amount (Rs. Crs.)	Loan -1	Loan -2			Loan -3	
On-going schemes														
A.	Prior to FY 15													
B.	Starting from FY 15-16*													
Schemes commencing from FY15-16														

* Only as per the approved Business Plan
-- Support with appropriate paper work i.e. Detailed Project Reports and other documents, as necessary
Codes for selecting Nature of work

- 1.1 - New works, capacity building
- 1.2 - Augmentation works, capacity building
- 1.3 - Additional works, capacity building
- 2.1 - Augmentation works, System Strengthening
- 2.2 - Augmentation works, System Strengthening
- 3.1 - New works, Voltage Improvement
- 3.2 - Augmentation works, Voltage Improvement
- 3.3 - Additional works, Voltage Improvement
- 4.1 - New works, Loss Reduction
- 4.2 - Augmentation works, Loss Reduction
- 4.3 - Additional works, Loss Reduction
- 5.1 - New works, Consumer service
- 5.2 - Augmentation works, Consumer service
- 5.3 - Additional works, Consumer service
- 6.1 - New works, Reliability of Supply
- 6.2 - Augmentation works, Reliability of Supply
- 6.3 - Additional works, Reliability of Supply
- 7.1 - New works, Readmission of Equipment

Amount in Rs. Crore

For wheeling activity

[illegible]

For Retail Sale activity

[illegible]

	Amount	Account Number	Description	FY 11-19 or starting during FY 11-19

Information to be provided for FY11-FY19 for all schemes is

All schemes should necessarily be in the state of approved Business Plan

* Only as per the approved Business Plan. Detailed Project Reports and other documents, as necessary.

Support with appropriate paper work

- 1.1 - New works, capacity building
- 1.2 - Augmentation works, capacity building
- 2.1 - Additional works, capacity building
- 2.1 - New works, capacity building
- 2.2 - Augmentation works, System Strengthening
- 2.3 - Additional works, System Strengthening
- 3.1 - New works, Voltage Improvement
- 3.2 - Augmentation works, Voltage Improvement
- 3.3 - Additional works, Voltage Improvement
- 4.1 - New works, Loss Reduction
- 4.2 - Augmentation works, Loss Reduction
- 5.1 - Additional works, Consumer service
- 5.2 - New works, Consumer service
- 5.3 - Augmentation works, Consumer service
- 6.1 - Additional works, Reliability of Supply
- 6.1 - New works, Reliability of Supply
- 6.2 - Augmentation works, Reliability of Supply
- 6.3 - Additional works, Reliability of Supply

- 1.1 - New works, capacity building
- 1.2 - Augmentation works, capacity building
- 1.3 - Additional works, capacity building
- 2.1 - Additional works, System Strengthening
- 2.2 - Augmentation works, System Strengthening
- 2.3 - Additional works, System Strengthening
- 3.1 - New works, Voltage Improvement
- 3.2 - Augmentation works, Voltage Improvement
- 3.3 - Additional works, Voltage Improvement
- 4.1 - New works, Loss Reduction
- 4.2 - Augmentation works, Loss Reduction
- 4.3 - Additional works, Loss Reduction
- 5.1 - New works, Consumer service
- 5.2 - Additional works, Consumer service
- 5.3 - Additional works, Consumer service
- 6.1 - New works, Reliability of Supply
- 6.2 - Augmentation works, Reliability of Supply
- 6.3 - Additional works, Reliability of Supply
- 7 - New works, Replacement of Equipments

Name of Distribution Licensees
Reporting of capitalised works

Form F-2004

Wholesale Activity	Particulars	Amount in Rs. Crore					
		Old Years	FY	CY	MYT 2016-17 to 2018-19		
		FY14	FY15	FY16	FY17	FY18	FY19
	Amount Capitalised in Work/Equipment						
	Financing Details:-						
	Loan-1						
	Loan-2						
	Loan-3 and so on						
	Total Loan Amount						
	Equity						
	Internal Resources						
	Consumer Contribution						
	Capital Subsidies / Grants						
	Others						
	Total						
	Rates of Interest for Loans: %						
	Loan -1						
	Loan -2						
	Loan -3 and so on						
	Moratorium Period for the loans:						
	Loan -1						
	Loan -2						
	Loan -3 and so on						
	Moratorium effective from:						
	Loan -1						
	Loan -2						
	Loan -3 and so on						
	Repayment Period of the loans:						
	Loan -1						
	Loan -2						
	Loan -3 and so on						
	Repayment effective from:						
	Loan -1						
	Loan -2						
	Loan -3 and so on						
	Repayment Instalment Amount:						
	Loan -1						
	Loan -2						
	Loan -3 and so on						

Notes:

Loan - 1, Loan - 2 etc. should be the names of loaning / funding agency

Retail sale activity	Particulars	All figures in Rs. Crs.					
		Old Years	FY	CY	MYT 2016-17 to 2018-19		
		FY14	FY15	FY16	FY17	FY18	FY19
	Amount Capitalised in Work/Equipment						
	Financing Details:-						
	Loan-1						
	Loan-2						
	Loan-3 and so on						
	Total Loan Amount						
	Equity						
	Internal Resources						
	Consumer Contribution						
	Capital Subsidies / Grants						
	Others						
	Total						
	Rates of Interest for Loans: %						
	Loan -1						
	Loan -2						
	Loan -3 and so on						
	Moratorium Period for the loans:						
	Loan -1						
	Loan -2						
	Loan -3 and so on						
	Moratorium effective from:						
	Loan -1						
	Loan -2						
	Loan -3 and so on						
	Repayment Period of the loans:						
	Loan -1						
	Loan -2						
	Loan -3 and so on						
	Repayment effective from:						
	Loan -1						
	Loan -2						
	Loan -3 and so on						
	Repayment Instalment Amount:						
	Loan -1						
	Loan -2						
	Loan -3 and so on						

Notes:

Loan - 1, Loan - 2 etc. should be the names of loaning / funding agency

Name of Distribution Licensee
A Capital Works in Progress - Summary Statement

Form No: F2c

Amount in Rs. Crore

Wheeling Activity		MYT 2016-17 to 2018-19					
SL No.	Particulars	Account Code	Old Year FY14	PY FY15	CY FY16	FY17	FY18
A	Opening Balance of CWIP						
B	Fresh Investment during the year						
	Total Capitalisation during the year (C+D)						
C	Investment capitalised out of opening CWIP						
D	Investment capitalised out of fresh investment						
	Closing Balance of CWIP (A + B - C - D)						

Retail Supply Activity		MYT 2016-17 to 2018-19					
SL No.	Particulars	Account Code	Old Year FY14	PY FY15	CY FY16	FY17	FY18
A	Opening Balance of CWIP						
B	Fresh Investment during the year						
	Total Capitalisation during the year (C+D)						
C	Investment capitalised out of opening CWIP						
D	Investment capitalised out of fresh investment						
	Closing Balance of CWIP (A + B - C - D)						

B. Intangible assets under development

Wheeling Activity		MYT 2016-17 to 2018-19					
SL No.	Particulars	Account Code	Old Year FY14	PY FY15	CY FY16	FY17	FY18
A	Opening Balance						
B	Fresh Investment during the year						
	Total Capitalisation during the year (C+D)						
C	Investment capitalised out of opening						
D	Investment capitalised out of fresh investment						
	Closing Balance of CWIP (A + B - C - D)						

Retail Supply Activity		MYT 2016-17 to 2018-19					
SL No.	Particulars	Account Code	Old Year FY14	PY FY15	CY FY16	FY17	FY18
A	Opening Balance						
B	Fresh Investment during the year						
	Total Capitalisation during the year (C+D)						
C	Investment capitalised out of opening						
D	Investment capitalised out of fresh investment						
	Closing Balance of CWIP (A + B - C - D)						

Form No: F2d

Name of Distribution Licensee

Details of Expenses Capitalised

Amount in Rs. Crore

Wheeling activity

SLNo.	Particulars	Old Years FY14	PY FY15	CY FY16	FY17	MYT 2016-17 to 2018-19 FY18	FY19
1	Interest & Finance charges Capitalised						
2	Other expenses capitalised:						
	a. Employee expenses						
	b. R&M Expenses						
	c. A&G Expenses						
	d. Others, if any						
	Total of 2						
3	Capitalisation of depreciation, if any						
	Grand Total (1+2+3)						

All figures in Rs Crores

Retail Sale activity

SLNo.	Particulars	Old Years FY14	PY FY15	CY FY16	FY17	MYT 2016-17 to 2018-19 FY18	FY19
1	Interest & Finance charges Capitalised						
2	Other expenses capitalised:						
	a. Employee expenses						
	b. R&M Expenses						
	c. A&G Expenses						
	d. Others, if any						
	Total of 2						
3	Capitalisation of depreciation, if any						
	Grand Total (1+2+3)						

Form No: F2e
Amount in Rs. Crore

Contributions, Grants and Subsidies towards Cost of Capital Assets

[illegible]

For Retail Sale activity

[illegible]

Summary Statement of Interest & Finance Charges
 Name of Distribution Licensee
 Form No. F3
 Amount in Rs. Crore

Particulars	Old Years	FY14	FY15	CY	FY16	FY17	FY18	FY19
I								
Interest charges on State Govt. Loans, Bonds And Advances								
1 Interest charges on loans from the State Government								
2 Interest charges on Bonds								
3 Interest charges on Foreign Currency Loans / Credits								
4 Interest charges on debentures								
Total of I								
II								
Interest on Long Term Loans / Credits from the FIs/banks/organisations approved by the State								
Government								
1 Secured								
2								
3								
4								
5 Un-secured								
6								
7								
8								
9								
10								
Total of II								
Total of A : I + II								
B								
Cost of raising finance & Bank Charges on project loans								
C								
Grand Total Of Interest & Finance Charges: A + B								
D								
Less: Interest & Finance Charges Chargeable to Capital Account								
E								
Net Total Of Interest & Finance Charges on Project Loans C-D								
F								
Interest and Finance Charges on Working Capital Loans								
G								
Interest on Consumer Security Deposit								
H								
Interest at weighted average rate of loan portfolio on excess additional equity, if any**								
I								
Total interest and finance charges chargeable to revenue account (E+F+G+H)								

* Please provide the details with break-up

Allocation of Interest and Finance Charges between wheeling and retail sale activity

Wheeling activity								
Grand Total Of Interest & Finance Charges								
Less: Interest & Finance Charges Chargeable to Capital Account								
A								
Net Total Of Interest & Finance Charges on Project Loans								
B								
Interest and Finance Charges on Working Capital Loans								
C								
Interest at weighted average rate of loan portfolio on excess additional equity, if any**								
Total interest and finance charges chargeable to revenue account (A+B+C)								
Retail Sale activity								
Grand Total Of Interest & Finance Charges								
Less: Interest & Finance Charges Chargeable to Capital Account								
I								
Net Total Of Interest & Finance Charges on Project Loans								
II								
Interest and Finance Charges on Working Capital Loans								
III								
Interest on Consumer Security Deposit								
Total interest and finance charges chargeable to revenue account (II+III)								

** To be worked out as per form F3 using weighted average rate from form F3b

Form No. F34
Amount in Rs Crore

Calculation of weighted average rate of interest on actual loans

Particulars	Type of Loan (Please mention "PS" or "WC")	Old 3 years FY14	FY15	FY16	FY17	FY18	FY19
Loan - 1							
Gross Loan - Opening (Rs. Crs.) (Both current & Non current portion)							
Add: Drawals during the year (Rs. Crs.)							
Less: Repayment of Loan during the year (Rs. Crs.)							
Closing Balance of loan (Rs. Crs.) (Both current & Non current portion)							
Average loan (Rs. Crs.)							
Rate of interest on loan %							
Interest on loan (Rs. Crs.)							
Loan - 2							
Gross Loan - Opening (Rs. Crs.) (Both current & Non current portion)							
Add: Drawals during the year (Rs. Crs.)							
Less: Repayment of Loan during the year (Rs. Crs.)							
Closing Balance of loan (Rs. Crs.) (Both current & Non current portion)							
Average loan (Rs. Crs.)							
Rate of interest on loan %							
Interest on loan (Rs. Crs.)							
Loan - 3 and so on							
Gross Loan - Opening (Rs. Crs.) (Both current & Non current portion)							
Add: Drawals during the year (Rs. Crs.)							
Less: Repayment of Loan during the year (Rs. Crs.)							
Closing Balance of loan (Rs. Crs.) (Both current & Non current portion)							
Average loan (Rs. Crs.)							
Rate of interest on loan %							
Interest on loan (Rs. Crs.)							
Total Loan							
Gross Loan - Opening (Rs. Crs.) (Both current & Non current portion)							
Add: Drawals during the year (Rs. Crs.)							
Less: Repayment of Loan during the year (Rs. Crs.)							
Closing Balance of loan (Rs. Crs.) (Both current & Non current portion)							
Average loan (Rs. Crs.)							
Rate of interest on loan %							
Interest on loan (Rs. Crs.)							
Weighted average rate of interest on Loans %							
Classification of above Total Closing balance of Loan							
Non Current Portion of Long Term Borrowing							
Current Portion of Long Term Borrowing							
Short Term Borrowing							

Notes:

1. "PS" refers to Project Specific Loan, "WC" refers to Working Capital Loan
2. Calculation should only be done for principal not overdue. Over-due principal, penal interest, etc. shall not be considered
3. In place of Loan 1, Loan 2, etc. please mention the name of funding agency
4. In respect of Foreign Currency loans, the calculations in Indian Rupees is to be furnished. However, the calculations in original currency is also to be furnished separately in the same format.

Name of Distribution Licenses
 Form No. 64
 Amount in Rs. Crore

Sl. No.	Particulars	Old Years FY14	FY15	CY FY16	MYT 2016-17 to 2018-19 FY17	FY18	FY19
A)	1/6th of annual requirement of inventory for previous year						
B)	O&M expenses						
	R&M expenses						
	A&G expenses						
	Employee expenses						
B) i)	Total of O&M expenses						
B) ii)	1/12th of total						
C)	Receivables						
C) i)	Annual Revenue from wheeling charges**						
C) ii)	Receivables equivalent to 2 months average billing of wheeling charges						
D)	Total Working capital						
	(A), B) ii), C) ii))						
E)	Rate of Interest *						
F)	Interest on Working capital						

Sl. No.	Particulars	Old Years FY14	FY15	CY FY16	MYT 2016-17 to 2018-19 FY17	FY18	FY19
A)	1/6th of annual requirement of inventory for previous year						
B)	Receivables						
B) i)	Annual Revenue from Tariff and charges**						
B) ii)	Receivables equivalent to 2 months average billing						
C)	Power Purchase expenses						
C) i)	1/12th of power purchase expenses						
D)	Consumer Security Deposit						
E)	Total Working capital (A+B ii) - C i) - D)						
F)	Rate of Interest *						
G)	Interest on Working capital						

Note:

* The Interest rate for this purpose shall be the rate as specified in the Commission's Regulations under section 61

** Revenue at Current Tariffs

Form No. F5
Name of Distribution Licensee
Repair & Maintenance Expenditure
Amount in Rs. Crore

Wheeling Activity

Sl.No.	Particulars	Account Code	Old Years	FY	CY	MYT 2016-17 to 2018-19		
	Figure in Rs Crore		FY14	FY15	FY16	FY17	FY18	FY19
1	Plant and Machinery							
	- 33kV substation							
	- 11kV substation							
	- Switchgear and cable connections							
	- Others							
2	Building							
3	Civil Works							
4	Hydraulic Works							
5	Lines, Cables Net Works etc.							
	- 33kV lines							
	- 11kV lines							
	- LT lines							
	- Others							
6	Vehicles							
7	Furniture and Fixtures							
8	Office Equipments							
9	Station Supplies							
10	Any other items							
A	Gross R&M expenses							
B	R&M expenses capitalised							
A-B	Net R&M expenses							

Retail Sale Activity

Sl.No.	Particulars	Account Code	Old Years	FY	CY	MYT 2016-17 to 2018-19		
	Figure in Rs Crore		FY14	FY15	FY16	FY17	FY18	FY19
1	Building							
2	Civil Works							
3	Lines, Cables Net Works etc.							
	- Meters and metering equipment							
	- Others							
4	Vehicles							
5	Furniture and Fixtures							
6	Office Equipments							
7	Any other items							
A	Gross R&M expenses							
B	R&M expenses capitalised							
A-B	Net R&M expenses							

Partnership		Sole proprietorship		S corporation		C corporation	
A	B	A	B	A	B	A	B
Partnership							
Total employee expenses allocated to non-employee activity							
Total employee expenses allocated to employee activity							
Employee expenses capitalized							
Net Employee Expenses (A-B)							
Sole proprietorship							
Total employee expenses allocated to non-employee activity							
Total employee expenses allocated to employee activity							
Employee expenses capitalized							
Net Employee Expenses (A-B)							
S corporation							
Total employee expenses allocated to non-employee activity							
Total employee expenses allocated to employee activity							
Employee expenses capitalized							
Net Employee Expenses (A-B)							
C corporation							
Total employee expenses allocated to non-employee activity							
Total employee expenses allocated to employee activity							
Employee expenses capitalized							
Net Employee Expenses (A-B)							

_____ as mentioned in the form above or on appropriate forms

Abstracts

	Wholesale activity	OIA Year	FY14	FY15	CY FY16	MAY 2017 - MAY 2018	FY19
\$Mn.	Paid-in-kind:						
1	Gross A&G expenses allocated to wheeling activity						
2	A&G expense capitalised						
3	Net A&G capitalized (1-2)						
	Wheeling activity						
\$Mn.	Paid-in-kind:						
4	Gross A&G expenses allocated to wheeling activity						
5	A&G expense capitalised						
6	Net A&G capitalized (4-5)						

Form No: F8

Name of Distribution Licensee

All figures in Rs Crores

Return on Equity

Sl.No.	Particulars	Old Years FY14	FY15	CY FY16	FY17	MYT 2016-17 to 2018-19 FY18	FY19
A	Gross Fixed Assets at the beginning of year (net of consumer contributions)						
A1	Opening balance of GFA identified as funded through equity						
A2	Opening balance of GFA identified as funded through debt						
B	Proposed capitalisation of assets as per the investment plan (net of consumer contribution)						
B1	Proportion of capitalised assets funded out of equity, internal reserves						
B2	Balance Proportion of capitalised assets funded out of project loans (B - B1)						
C1	Normative additional equity (30% of B)						
C2	Normative additional debt (70% of B)						
D1	Excess / shortfall of additional equity over normative (B1-C1)						
D2	Excess / shortfall of additional debt over normative (B2-C2)						
E	Equity eligible for Return (A1+(C1/2)) OR (A1+(B1/2)), whichever is lower						
	Return on Equity (16% on E)						
	Interest at weighted average rate of loan portfolio on additional equity, if DA > 0*						

Note: Please provide the necessary details and calculations

* Please provide the weighted average rate of interest used for this computation

Form No. F10
Name of Distribution Licensee
Statement of Sundry Debtors and provision for Bad & Doubtful Debts
All figures in Rs Crores
MYT 2016-17 to 2018-19
FY14 FY15 FY16 FY17 FY18 FY19

Sl.No.	Particulars	Account Code	Old Years FY14	PY FY15	CY FY16	FY17	FY18	FY19
1	Receivable from customers as at the beginning of the year							
2	Revenue billed for the year							
3	Collection for the year							
	Against current dues							
	Against arrears upto previous year							
4	Gross receivable from customers as at the end of the year							
5	Bad debts written off							
6	Provision for Bad Debts							
7	Net Receivables (4-5-6)							

Form No. F10
Name of Distribution Licensee
Extraordinary Items
Amount in Rs. Crore
MYT 2016-17 to 2018-19
FY14 FY15 FY16 FY17 FY18 FY19

Sl.No.	Particulars	Account Code	Old Years FY14	PY FY15	CY FY16	FY17	FY18	FY19
1	Extraordinary Credits (incl subsidies against losses due to natural disasters)							
	TOTAL CREDITS							
2	Extraordinary Debits (incl subsidies against losses due to natural disasters)							
	TOTAL DEBITS							
	Grand Total (1-2)							

Name of Distribution Licensee
Net Prior Period Expenses / Income

Form F II
Amount in Rs. Crore

Sl.No.	Particulars	Account Code	Old Years FY14	PY FY15	CY FY16	MYT 2016-17 to 2018-19 FY17	FY18	FY19
A	Income relating to previous years:							
1	Interest income for prior periods							
2	Income Tax prior period							
3	Excess Provision for Depreciation							
4	Excess Provision for Interest and Fin. Charges							
5	Receipts from consumers							
6	Other Excess Provision							
7	Other Income							
	Sub-Total A							
B	Expenditure relating to previous years							
1	Power Purchase							
2	Operating Expenses							
4	Employee Cost							
5	Depreciation							
6	Interest and Finance Charges							
7	Admin. Expenses							
8	Withdrawal of Revenue Demand							
9	Material Related							
10	Lease/hire purchase charges in assets							
11	Others							
	Sub-Total B							
	Net prior period Credit/(Charges) : A-B							

Name of Distribution Licensee
Other expenses / debits / write-offs

Form No: FY12
All figures in Rs Crores

SLNo	Particulars	Account Code	Ref. Form No.	Old Years FY14	FY FY15	CY FY16	FY17	FY18	FY19
1	Material Cost Variance								
2	Miscellaneous Losses And Write Offs								
3	Bad Debt Written Off/Provided For								
4	Cost Of Trading & Manufacturing Activities								
5	Net Prior Period Credit/Charges								
6	Sub-Total								
7	Less Chargeable To Capital Expense								
	Net Chargeable To Revenue								

Form No: FI3

Name of Distribution Licensee

All figures in Rs Crores

Income Tax Provisions

Sl.	Particulars In Rs Crores	Account Code	Old Years FY14	FY FY15	CY FY16	FY17	FY18	FY19
1	Provision Made/Proposed For The Year							
2	As Per Return Filed For The Years							
3	As Assessed For The Years							
4	Credit/Debit Of Assessment Year(S) (Give Details)							
	Total							

Form No. F14
Name of Distribution Licence
Income from investments and other non-tariff income
Amount in Rs. Crore

For wheeling activity		Account Code		Old Years	PV	CY	MYT 2016-17 to 2018-19	
Particulars				FY14	FY15	FY16	FY17	FY18
Figure in Rs. Crore								
A	Income from Investment, Fixed & Call Deposits							
1	Interest Income from Investments							
2	Interest on fixed deposits							
3	Interest from Banks other than Fixed Deposits							
4	Interest on (any other items)							
	Sub-Total							
B	Other Non Tariff Income							
1	Interest on loans and Advances to staff							
2	Interest on Loans and Advances to other Licensee							
3	Interest on Loans and Advances to Lessors							
4	Interest on Advances to Suppliers / Contractors							
6	Gain on Sale of Fixed Assets							
7	Income/Fee/Collection against staff welfare activities							
8	Miscellaneous receipts							
9	Wheeling charges							
10	Incentive due to Securitisation of CPSU Dues							
11	Misc. charges from open access consumers							
12	Delayed payment surcharge from open access consumers							
13	Any other subsidies / grants other than those u/s 65							
	Sub-Total							
	Total (A+B)							

For retail activity		Account Code		Old Years	PV	CY	MYT 2016-17 to 2018-19	
Particulars				FY14	FY15	FY16	FY17	FY18
Figure in Rs. Crore								
A	Income from Investment, Fixed & Call Deposits							
1	Interest Income from Investments							
2	Interest on fixed deposits							
3	Interest from Banks other than Fixed Deposits							
4	Interest on (any other items)							
	Sub-Total							
B	Other Non Tariff Income							
1	Interest on loans and Advances to staff							
2	Interest on Loans and Advances to Licensee							
3	Interest on Loans and Advances to Lessors							
4	Interest on Advances to Suppliers / Contractors							
7	Income/Fee/Collection against staff welfare activities							
8	Miscellaneous receipts							
9	Meter Rent							
10	Recovery from theft of energy							
11	Surcharge and Additional Surcharge							
12	Incentive due to Securitisation of CPSU Dues							
13	Misc. charges from consumers							
14	Delayed payment surcharge from Retail Sale consumers							
15	Any other subsidies / grants other than those u/s 65							
	Sub-Total							
	Total (A+B)							

Name of Distribution Licensee
Income from Miscellaneous Charges from consumers

Income from Miscellaneous Charges from Consumers		Old Years		PY	CY	MYT 2016-17 to 2018-19	
S.No.	Particulars	Account Code	FY14	FY15	FY16	FY17	FY18
1	Hiring of plants and equipments						
2	Testing charges for consumer's equipments						
3	Contest fee for billing meters						
5	Charges from arranging supply						
6	Service connection charges						
7	Charges for requisition forms/various booklets etc.						
8	Recovery of cost of burnt meters/metering equipments						
9	Shifting of connection from one premises to another						
10	Supervision charges						
11	Compensation charges for theft of energy/malpractices						
12	Power block charges						
13	Fee for verification of old dues/records						
14	Fee for special meter reading						
15	Charges for maintenance of street lights						
16	Fees payable for representing the case and making appeals before Grievance Redressal Forum						
17	Other Miscellaneous and General Charges (specify)						
	Grand Total						
	Total for wheeling Activity*						
	Total for Retail Sale activity*						

Name of Distribution Licensee **Form No: F14b**
 Expenses and Income from Business other than Licensed business (to be filled if other business utilises the assets of Licensed bu

All figures in Rs Crores

S.No.	Particulars <include description of business>	Account Code	Old Years FY14	PY FY15	CY FY16	FY17	FY18	FY19
1	Expenses of other business							
2	Total income from other business							
3	Income allocated to Licensed business*							
4	Total profit / (loss) from other business							
5	Total profit / (loss) allocated to Licensed business*							

* Must be supported with rationale and methodology of how allocation is done

Name of Distribution Licensee

T&D Losses in LT and HT system

Form No. P/

All Figures in MU

		Old Years	FY14	FY15	FY16	FY17	FY18	FY19	Remarks
Particulars									
A	Losses in EHT System (400 kV, 220 kV, 132 kV & 66 kV)								
	1 Scheduled Energy at Ex-Bus								
	2 Scheduled Energy at Discom Periphery								
	3 Energy Lost in PGCL+MP Transco system (2-1)								
	4 Total Loss in the Transmission system $[3/(2)*100]\%$								
	5 Actual Energy Drawl at Discom periphery (at Interface point)								
	6 UI (2-5)								
	7 Actual Energy input at Discom periphery (i.e. at outgoing feeders of EHV S/S)								
	8 Energy Lost between interface point and outgoing feeders of EHV S/s (i.e. Bus loss = (5-7))								
	9 Total Loss in the Bus of Transmission system $[8/(5)*100]\%$								
	10 Direct Injection at 33 kV which is not included in scheduled energy								
	11 Direct Injection at 11 kV which is not included in scheduled energy								
	12 Total Energy Input at Discom Periphery (7+10+11)								
	13 Energy sold at this voltage level								
	14 Energy transmitted to the 33 kV level								
	15 Energy transmitted to the 11 kV level								
	16 Energy Lost in EHV feeders feeding EHV Consumers (12-13-14-15)								
	17 Total Loss in EHV feeders feeding EHV Consumers $[16/(12)*100]\%$								
B	System Losses At 33 KV								
	1 Energy injection at 33 kV level from MP Transco EHV S/s								
	2 Direct Injection at 33 kV which is not included in scheduled energy								
	3 Total Energy input at 33kV Voltage level (1+2)								
	4 Energy sold at this voltage level								
	5 Energy transmitted to the 11 kV voltage level								
	6 Energy Lost (3-4-5)								
	7 Total Loss in the system $[6/(3)*100]\%$								
C	Losses At 11 KV + LT								
	1 Energy received into the system from 33 kV system								
	2 Direct Energy injection at 11 kV level from MP Transco EHV S/s								
	3 Direct Injection at 11 kV which is not included in scheduled energy								
	4 Total Energy input at 11kV Voltage level (1+2+3)								
	5 Energy sold at 11 kV voltage level								
	6 Energy sold at LT voltage level								
	7 Total Energy sold at 11kV + LT level (5+6)								
	8 Energy transmitted to the next (lower) voltage level								
	9 Energy Lost (4-7)								
	10 Total Loss in 11KV + LT system $[8/(4)*100]\%$								
D	LT System Losses								
	1 Energy received into the system								
	2 Energy sold at this voltage level								
	3 Energy Lost								
	4 Total Loss in the system $[3/(1)*100]\%$								
E	Distribution system losses								
	1 Energy In (A12)								
	2 Energy Out (A13+B4+C7)								
	3 Total Distribution Losses $[(1-2)/(1)*100]\%$								
F	Overall Losses								
	1 Total Energy Lost (A3+A8+A16+B6+C8)								
	2 Energy Out (A13+B4+C7)								
	3 Total T&D Loss $[(1)/(2)*100]\%$								

Name of Distribution Licensee Form No. P2

Distribution Losses

Sl.No.	Particulars	Units	Old Years FY14	PY FY15	CY FY16	FY17	MYT 2016-17 to 2018-19 FY18	FY19
	Energy input received by the Discom at all voltage levels	MU						
1	Energy sold by the Discom for all categories of consumers	MU						
2	Actual Distribution losses in %	%						
3	Normative distribution losses	%						
4								

FY14		FY15		FY16		FY17		FY18		FY19	
At the start of year	Additions during the year	Withdrawal from service	At the end of year	Additions during the year	Withdrawal from service	At the end of year	Additions during the year	Withdrawal from service	At the end of year	Additions during the year	Withdrawal from service
Particulars											
Sl.No											
1	Length of lines (64-km)										
	- 33kV										
	- 11kV										
	- LT										
	Total										
2	Number of 33/11kV substations										
3	No. of Power Transformers										
	Total MVA capacity of power transformers										
4	Number of Distribution Transformers										
	Total MVA capacity of Distribution Trfs										

Name of Distribution Licensee
Monthly Projection of Sales

Form No: R1

Month	MU	MU	MU
	FY 17	FY 18	FY 19
April			
May			
June			
July			
August			
September			
October			
November			
December			
January			
February			
March			

Form R2

Name of Distribution Licensee

Summary of Actual / estimated Revenue from sale of power

Rs in Crore

Particulars	Previous Year (Actuals) FY 15	Current Year (Estimates) FY 16	MYT Period (Projected at Current Tariffs)		
			First Year FY 17	Second Year FY 18	Third Year FY 19
LOW TENSION					
LV 1: DOMESTIC					
LV 2: NON-DOMESTIC					
LV 3: PUBLIC WATER WORKS AND STREET LIGHTS					
LV 4: LT INDUSTRY					
LV 5: IRRIGATION PUMP FOR AGRICULTURE					
HIGH TENSION					
HV 1: RAILWAY TRACTION					
HV 2: COAL MINES					
HV 3: INDUSTRIAL AND NON-INDUSTRIAL					
HV 4: SEASONAL					
HV 5: HT IRRIGATION AND WATER WORKS					
HV 6: BULK RESIDENTIAL USERS					
HV 7: BULK SUPPLY TO EXEMPTEDS					
GRAND TOTAL HT + LT					

Name of Distribution Licensee

Revenue from Current Tariff & Charges for LT consumers in urban area

Form R3

Particulars	Parameter	Unit of measurement	Previous Year	Current Year	T Period (Projected at Current Tariff)			
			(Actuals) FY 15	(Estimates) FY 16	First Year FY 17	Second Year FY 18	Third Year FY 19	
LOW TENSION								
LV I: DOMESTIC								
Metered								
	Basic Rate							
	0 to 30 Units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	Upto 50 units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	51 to 100 units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	101 to 300 units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	301 to 500 units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	above 500 units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	Sub-Total General							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	Temporary							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	supply through DTR meter for jhuggi/ jhopdi							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					

		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	un- metered	Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Total - LV 1 Domestic								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	LV 2.1								
	sanctioned load based tariff								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	demand based tariff for for CD above 10 kw								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	LV 2.2: NON-DOMESTIC								
	0 to 50 Units								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Above 50 units								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Demand based tariff for for CD above 10 kw								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Sub-Total General								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Temporary								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						

		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Total LV 2 Non-Domestic								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
LV 3: PUBLIC WATER WORKS AND STREET LIGHTS									
PUBLIC WATER WORKS									
	Municipal Corporation/ Cantonment Board								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Municipality / Nagar Panchayat								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Gram Panchayat								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Sub-Total General								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Temporary								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Sub-Total Public Water Works								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
STREET LIGHTS (incl. Traffic Signals)									
	Municipal Corporation/ Cantonment Board								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Municipality / Nagar Panchayat								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						

[illegible]

	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
LV 5.1: IRRIGATION PUMP FOR AGRICULTURE									
Metered									
Permanent Connections For agriculture Use first 300 units	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Above 300 to 750 units	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Above 750 Units	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Temporary Connections	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Sub-Total Metered connections	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
un-metered consumers									
Permanent Connections For agriculture Use first 300 units	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Above 300 to 750 units	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Above 750 Units	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							

		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Temporary Connections								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Sub-Total Un-Metered connections								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	DTR metered group consumers								
	Permanent Connections								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Total LV 5.1								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	LV 5.2: Other than agriculture use - Horticulture								
	first 300 units								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Above 300 to 750 units								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Above 750 Units								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Temporary Connections								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Sub-Total								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	5.3 For other than agriculture use								

Upto 25 HP in Urban Area									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Upto 25 HP in Rural Area									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Demand base Tariff Upto 100HP- urban area									
	Consumers								
	Connected Load								
	Sales								
	Revenue from Fixed Charges								
	Revenue from Variable Charges								
	Total Revenue								
Demand base Tariff Upto 100HP- rural area									
	Consumers								
	Connected Load								
	Sales								
	Revenue from Fixed Charges								
	Revenue from Variable Charges								
	Total Revenue								
Sub-Total									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
TOTAL LV 5 AGRICULTURE									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
TOTAL LOW TENSION- URBAN AREA									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							

Name of Distribution Licensee

Revenue from Current Tariff & Charges for LT consumers in rural area

Form R4

	Particulars	Parameter	Unit of measurement	Previous Year (Actuals)	Current Year (Estimates)	Period (Projected at Current)		
				FY 15	FY 16	First Year FY 17	Second Year FY 18	Third Year FY 19
	LOW TENSION							
	LV 1: DOMESTIC							
	Metered							
	Basic Rate							
	0 to 30 Units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	Upto 50 units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	51 to 100 units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	101 to 300 units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	301 to 500 units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	above 500 units	Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	Sub-Total General							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	Temporary							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	supply through DTR meter for jhuggi/ jhopdi							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					

	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
un- metered									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Total - LV 1 Domestic									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
LV 2.1									
sanctioned load based tariff									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
demand based tariff for for CD above 10 kw									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
LV 2.2: NON-DOMESTIC									
0 to 50 Units									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Above 50 units									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Demand based tariff for for CD above 10 kw									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Sub-Total General									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Temporary									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							

		Total Revenue	Rs. Crs.						
	Total LV 2 Non-Domestic								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
LV 3: PUBLIC WATER WORKS AND STREET LIGHTS									
PUBLIC WATER WORKS									
	Gram Panchayat								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Temporary								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Sub-Total Public Water Works								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
STREET LIGHTS (incl. Traffic Signals)									
	Gram Panchayat								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Total - LV 3 PWW and Street Lights								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
LV 4: LT INDUSTRY									
	Upto 25 HP								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Demand Based - CD and CL Up to 100HP								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	Demand Based - CD 100HP and CL Up to 150HP								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						

Demand Based - CD 101HP to 150 HP and CL Up to 150HP									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Sub-Total General									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Temporary									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Total LV 4 LT Industrial									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							

LV 5.1: IRRIGATION PUMP FOR AGRICULTURE									
Metered									
Permanent Connections For agriculture Use									
first 300 units									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Above 300 to 750 units									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Above 750 Units									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Temporary Connections									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Sub-Total Metered connections									
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
un-metered consumers									

	Permanent Connections For agriculture Use								
	first 300 units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Above 300 to 750 units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Above 750 Units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Temporary Connections								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Sub-Total Un-Metered connections								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	DTR metered group consumers								
	Permanent Connections								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Total LV 5.1								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	LV 5.2: Other than agriculture use - Horticulture								
	first 300 units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Above 300 to 750 units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Above 750 Units								
	Consumers	Nos.							
	Connected Load	KW							

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Total Revenue from Current Tariff & Charges (LT URBAN + RURAL AND HT)

Name of Distribution Licensee

Form R5

Particulars	Parameter	Unit of measurement	Previous Year (Actuals)	Current Year (Estimates)	FYT Period (Projected at Current Tariff)		
			FY 15	FY 16	First Year	Second Year	Third Year
					FY 17	FY 18	FY 19
LOW TENSION							
LV 1: DOMESTIC							
Metered							
Basic Rate							
0 to 30 Units	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
Upto 50 units	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
51 to 100 units	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
101 to 300 units	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
301 to 500 units	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
above 500 units	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
Sub-Total General							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
Temporary							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
supply through DTR meter for ihuggi/ jhopdi							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
un- metered							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
Total - LV 1 Domestic							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					

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	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Temporary	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
Total LV 4 LT Industrial	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							

LV 5.1: IRRIGATION PUMP FOR AGRICULTURE

Metered	Permanent Connections - For agriculture Use first 300 units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Above 300 to 750 units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Above 750 Units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Temporary Connections								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Sub-Total Metered connections								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
un-metered consumers	Permanent Connections - For agriculture Use first 300 units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Above 300 to 750 units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Above 750 Units								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							
	Temporary Connections								
	Consumers	Nos.							
	Connected Load	KW							
	Sales	MU							
	Revenue from Fixed Charges	Rs. Crs.							
	Revenue from Variable Charges	Rs. Crs.							
	Total Revenue	Rs. Crs.							

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		Consumers	Nos.						
		Connected Load	KW						
		Sales	ML						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
TOTAL LV 5 AGRICULTURE									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
TOTAL LOW TENSION									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						

HIGH TENSION									
HV 1: RAILWAY TRACTION									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
HV 2: COAL MINES									
220 kV									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
132 kV									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
33 kV									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
11 kV									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
TOTAL HV 2 COAL MINES									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						

HV-3: INDUSTRIAL AND NON-INDUSTRIAL									
HV 3.1: Industrial Use									
11kV supply									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
33kV supply									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
132kV supply									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
200kV supply									
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						

	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
Sub-Total HV 3.4: Power Intensive							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
TOTAL HV 3 INDUSTRIAL AND NON-INDUSTRIAL							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					

HV-4: SEASONAL							
33kV supply							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
11kV supply							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
TOTAL HV 5 SEASONAL							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
HV-5: HT IRRIGATION AND WATER WORKS							
Public water works/ Group Irrigation/Lift Irrigation							
132 kV							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
33 kV							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
11 kV							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
Sub-Total							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
Other agriculture users							
132 kV							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
33kV supply							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					
	Revenue from Variable Charges	Rs. Crs.					
	Total Revenue	Rs. Crs.					
11kV supply							
	Consumers	Nos.					
	Connected Load	KW					
	Sales	MU					
	Revenue from Fixed Charges	Rs. Crs.					

		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	<i>Sub-Total Other agriculture users</i>								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	TOTAL HV 5								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						

HV 6: BULK RESIDENTIAL USERS									
<i>HV 6.1</i>									
	132 kV								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	33 kV								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	11 kV								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	<i>Sub-Total 6.1</i>								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
<i>HV 6.2</i>									
	132 kV								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	33 kV								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	11 kV								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	<i>Sub-Total 6.2</i>								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						
	TOTAL HV 6								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						

HV 7: BULK SUPPLY TO EXEMPTEDS									
	Cooperative Societies having mixed use								
		Consumers	Nos.						
		Connected Load	KW						
		Sales	MU						
		Revenue from Fixed Charges	Rs. Crs.						
		Revenue from Variable Charges	Rs. Crs.						
		Total Revenue	Rs. Crs.						

	Mixed domestic and agricultural use - Notified Rural areas							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	Mixed domestic and non-domestic use - Urban areas							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	TOTAL HV ?							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	TOTAL HT							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					
	GRAND TOTAL HT + LT							
		Consumers	Nos.					
		Connected Load	KW					
		Sales	MU					
		Revenue from Fixed Charges	Rs. Crs.					
		Revenue from Variable Charges	Rs. Crs.					
		Total Revenue	Rs. Crs.					

ANNEXURE – II**Depreciation Schedule**

S.No.	Asset Particulars	Depreciation Rate (salvage Value = 10 %)
		SLM
A	Land under full ownership	0.00%
B	Land under lease	
a	For investment in land	3.34%
b	For cost of clearing the site	3.34%
c	Assets purchased new	
(a)	Building & Civil Engineering works of	
(i)	Offices and showrooms	3.34%
(ii)	Temporary erections such as wooden structures	100.00%
(iii)	Roads other than Kutchha roads	3.34%
(iv)	Others	3.34%
(b)	Transformers, Kiosk, sub-station equipment & other fixed apparatus (including plant)	
(i)	Transformers including foundations having rating of 100 KVA and over	5.28%
(ii)	Others	5.28%
(c)	Switchgear including cable connections	5.28%
(d)	Lightning Arrestors	
(i)	Station type	5.28%
(ii)	Pole type	5.28%

(e)	Synchronous condenser	5.28%
(f)	Batteries	5.28%
(i)	Underground cable including joint boxes	5.28%
(ii)	Cable & System	5.28%
(g)	Overhead Lines on fabricated steel operating at terminal voltages of upto and higher than 66 KV	5.28%
(h)	Meters	5.28%
(i)	Self propelled vehicles	9.50%
(j)	Air Conditioning Plants	
(i)	Static	5.28%
(ii)	Portable	9.50%
K(i)	Office furniture and furnishing	6.33%
K(ii)	Office equipment	6.33%
K(iii)	Internal wiring including fittings and apparatus	6.33%
K(iv)	Street Light fittings	5.28%
(l)	Apparatus let on hire	
(i)	Other than motors	9.50%
(ii)	Motors	6.33%
(m)	Communication equipment	
(i)	Radio and high frequency carrier system	6.33%
(ii)	Telephone lines and telephones	6.33%
(n)	LT equipments	15.00%
(o)	Any other assets not covered above	5.28%